

Chomsky-Like Neural Network Hierarchy

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References

This lecture surveys selected computability results of the project

[FoNeCo: Analytical Foundations of Neurocomputing](#)

(Czech Science Foundation, GA22-02067S, 2019-2021),

published in the following papers (two won the Best ICS Paper Award):

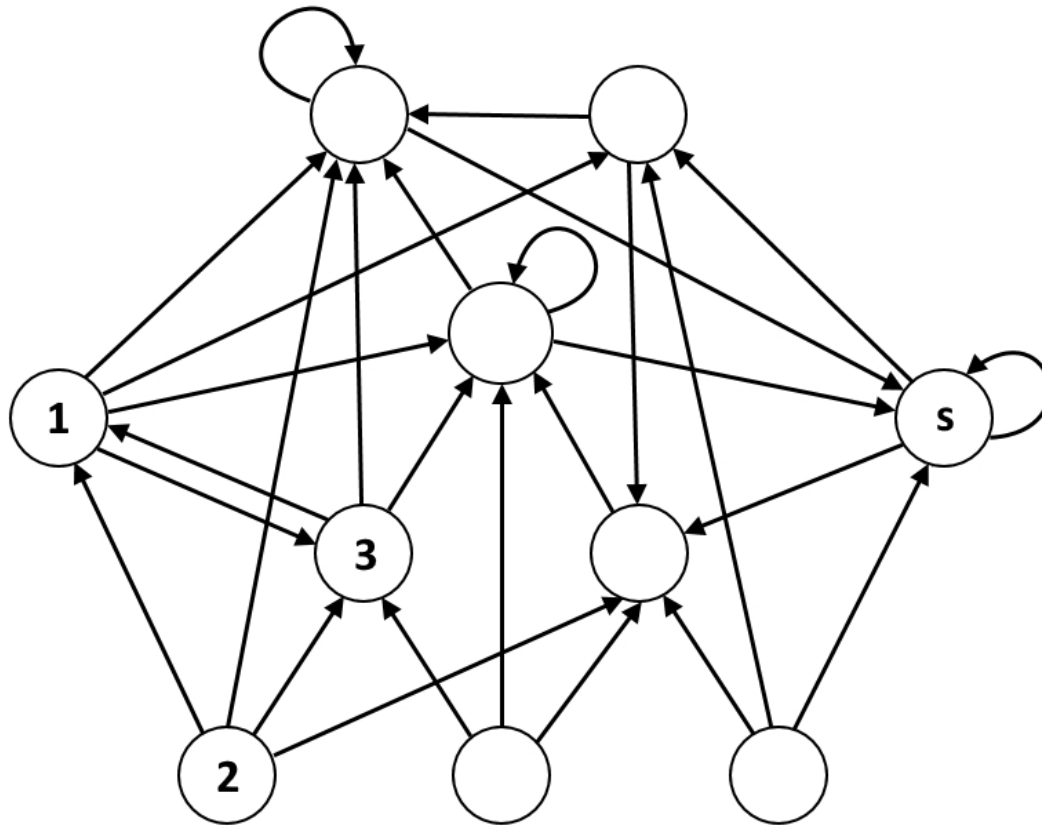
- J. Šíma: [Subrecursive neural networks](#). *Neural Networks* 116:208-223, 2019.
- J. Šíma: [Analog neuron hierarchy](#). *Neural Networks* 128:199-218, 2020.
- J. Šíma, P. Savický: [Quasi-periodic \$\beta\$ -expansions and cut languages](#). *Theoretical Computer Science* 720:1-23, 2018.
- P. Jančar, J. Šíma: [The simplest non-regular deterministic context-free language](#). *Proceedings of the MFCS 2021*, LIPIcs 202, pp. 63:1-63:18, Dagstuhl, 2021.
- J. Šíma: [Stronger separation of analog neuron hierarchy by deterministic context-free languages](#). *Neurocomputing* 493:605-612, 2022.

Outline of Talk

1. The Neural Network **Model**
2. The **Computational Power** of Neural Networks
3. A Chomsky-Like Neural Network **Hierarchy**
4. **Periodic Numbers** in Positional Systems with Non-Integer Base
5. **\mathcal{C} -Simple** Problems

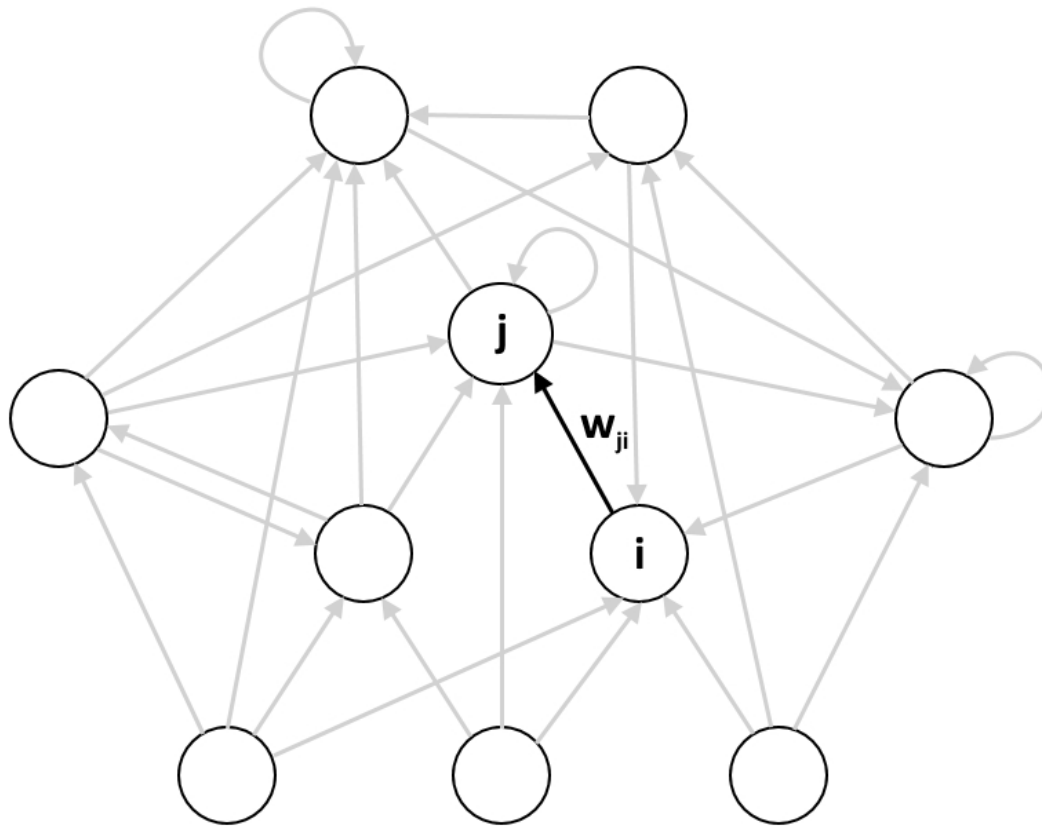
The Neural Network Model – Architecture

s computational **units (neurons)**, indexed as $V = \{1, \dots, s\}$, connected into a directed graph (V, E) where $E \subseteq V \times V$



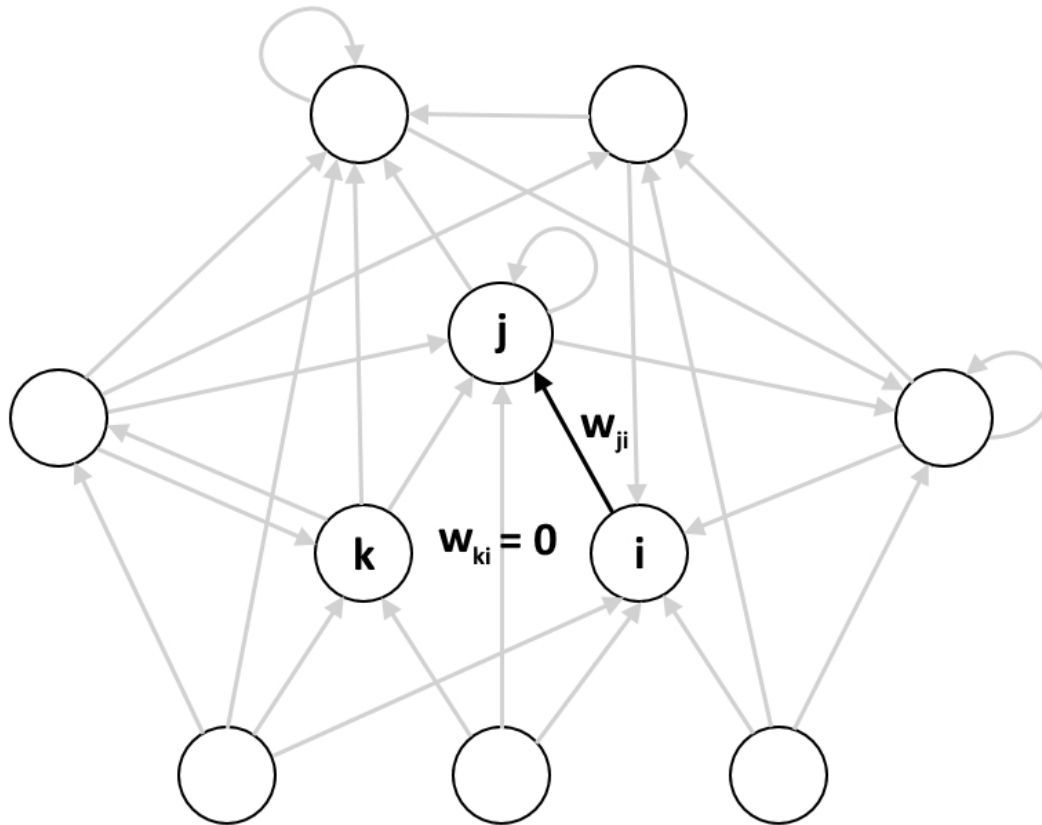
The Neural Network Model – Weights

each edge $(i, j) \in E$ from unit i to j is labeled with a real weight $w_{ji} \in \mathbb{R}$



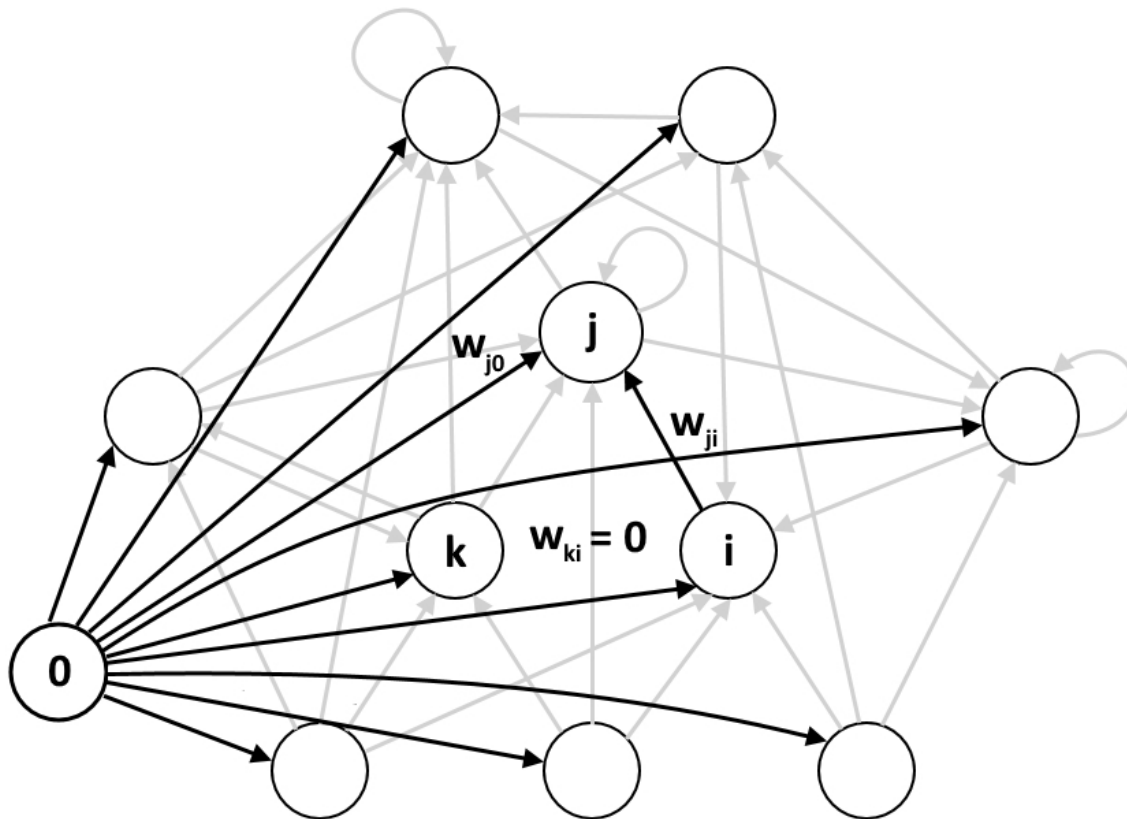
The Neural Network Model – Zero Weights

each edge $(i, j) \in E$ from unit i to j is labeled with a real weight $w_{ji} \in \mathbb{R}$
($w_{ki} = 0$ iff $(i, k) \notin E$)



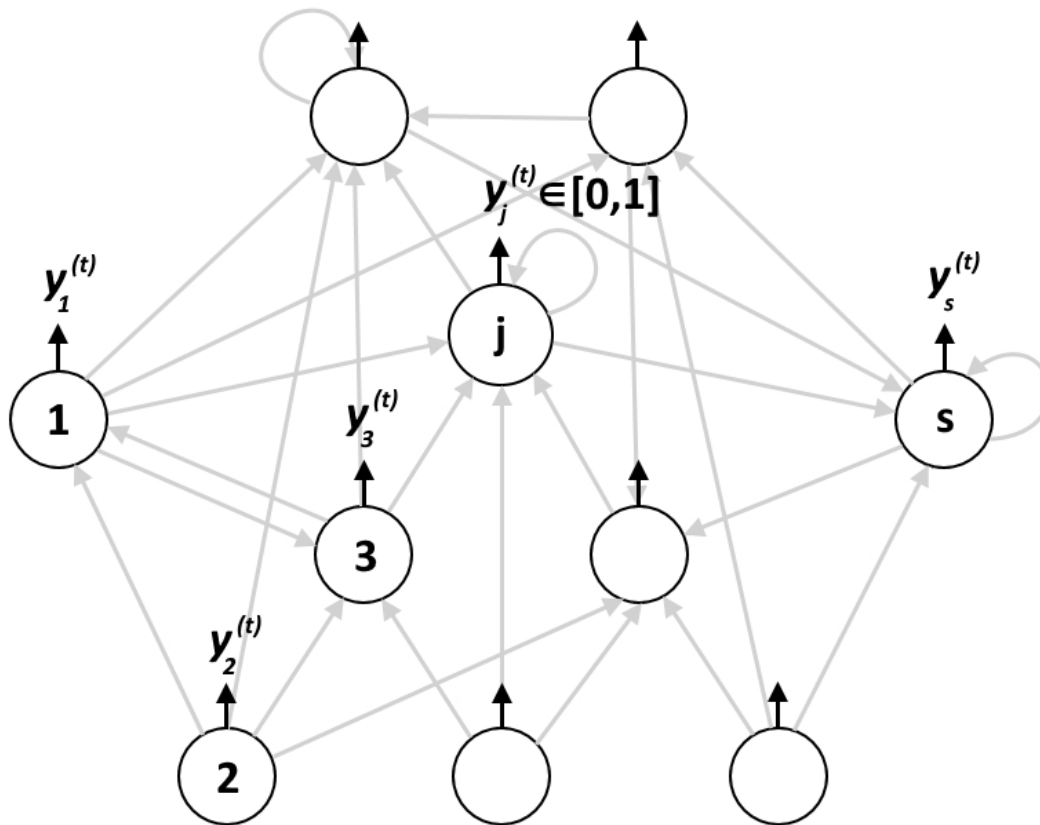
The Neural Network Model – Biases

each neuron $j \in V$ is associated with a real bias $w_{j0} \in \mathbb{R}$
(i.e. a weight of $(0, j) \in E$ from an additional formal neuron $0 \in V$)



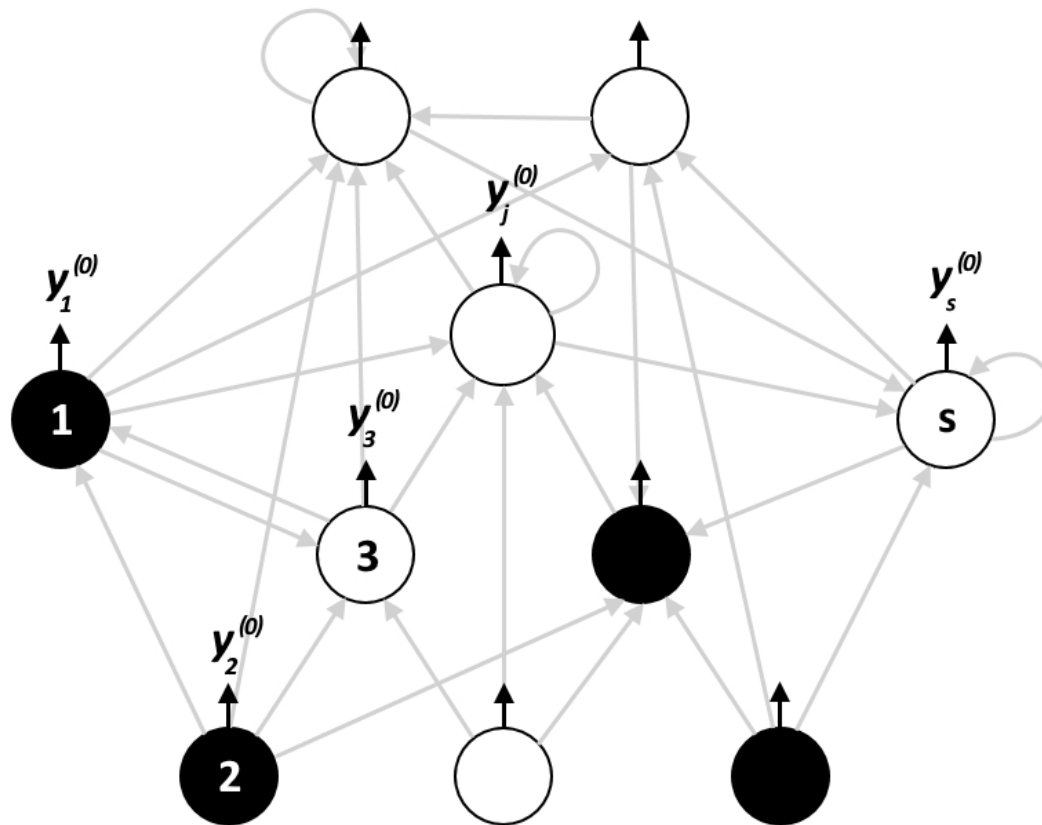
Discrete-Time Computational Dynamics – Network State

the evolution of global **network state (output)** $\mathbf{y}^{(t)} = (y_1^{(t)}, \dots, y_s^{(t)}) \in [0, 1]^s$
at discrete time instant $t = 0, 1, 2, \dots$



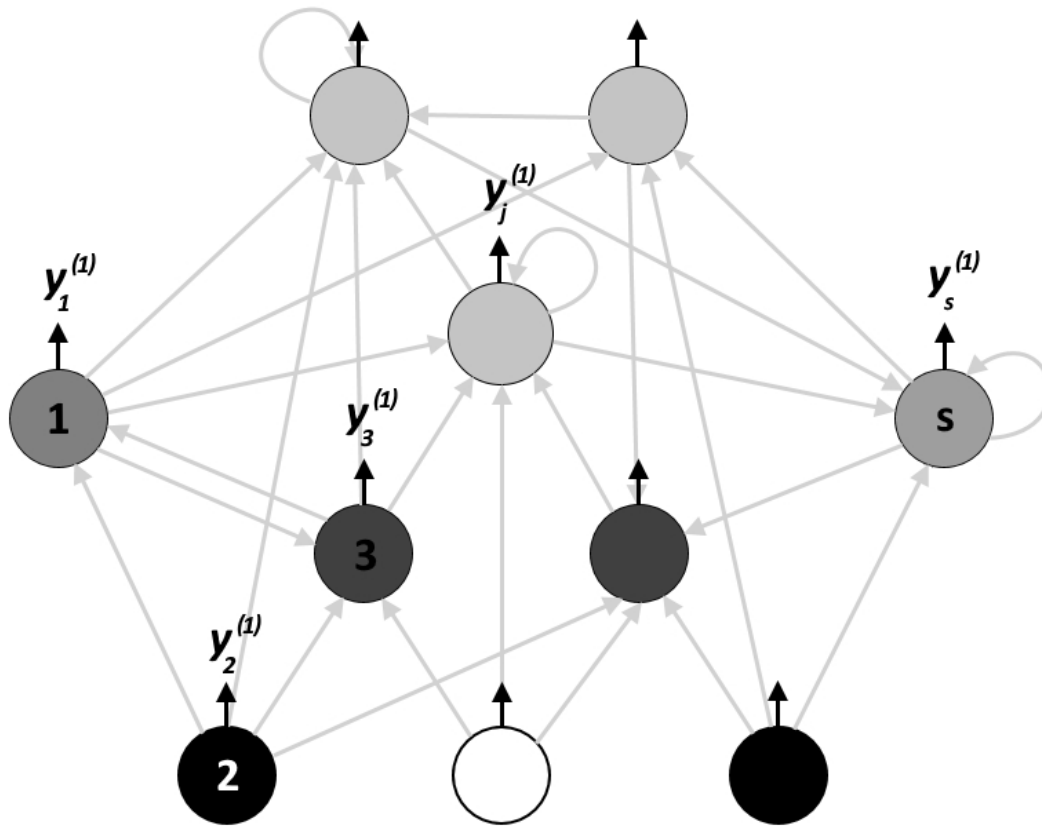
Discrete-Time Computational Dynamics – Initial State

$t = 0$: initial network state $\mathbf{y}^{(0)} \in \{0, 1\}^s$



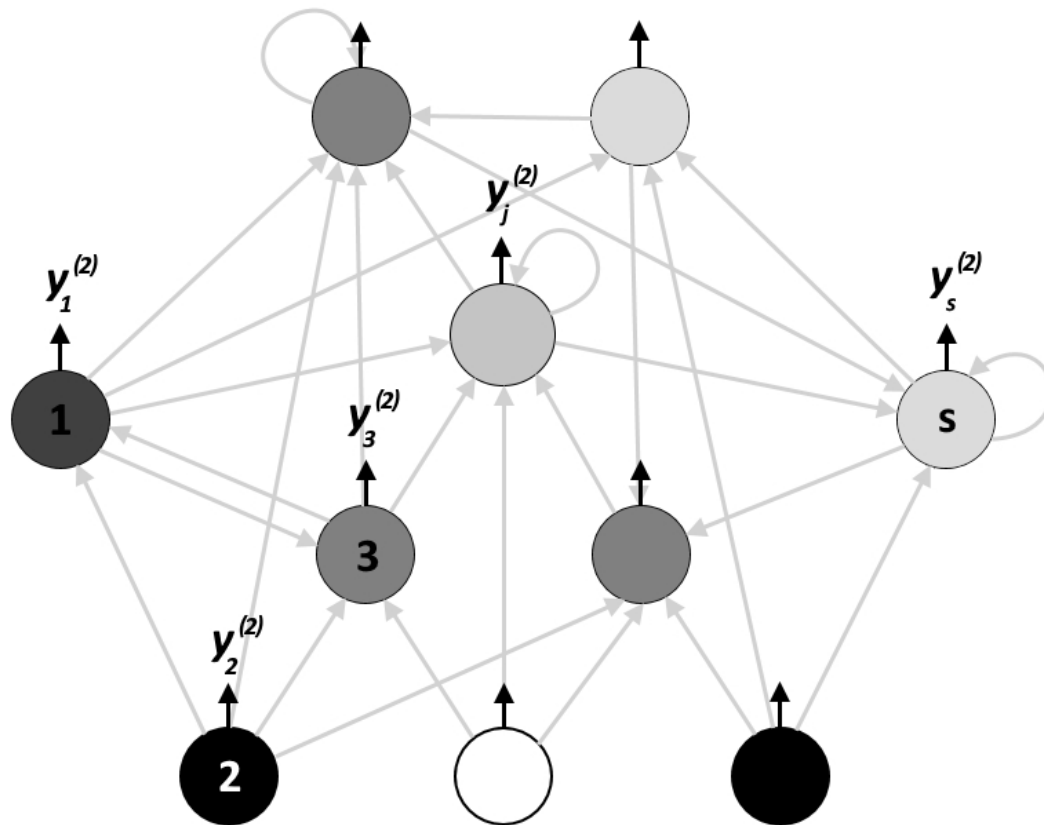
Discrete-Time Computational Dynamics: $t = 1$

$t = 1$: network state $\mathbf{y}^{(1)} \in [0, 1]^s$



Discrete-Time Computational Dynamics: $t = 2$

$t = 2$: network state $\mathbf{y}^{(2)} \in [0, 1]^s$

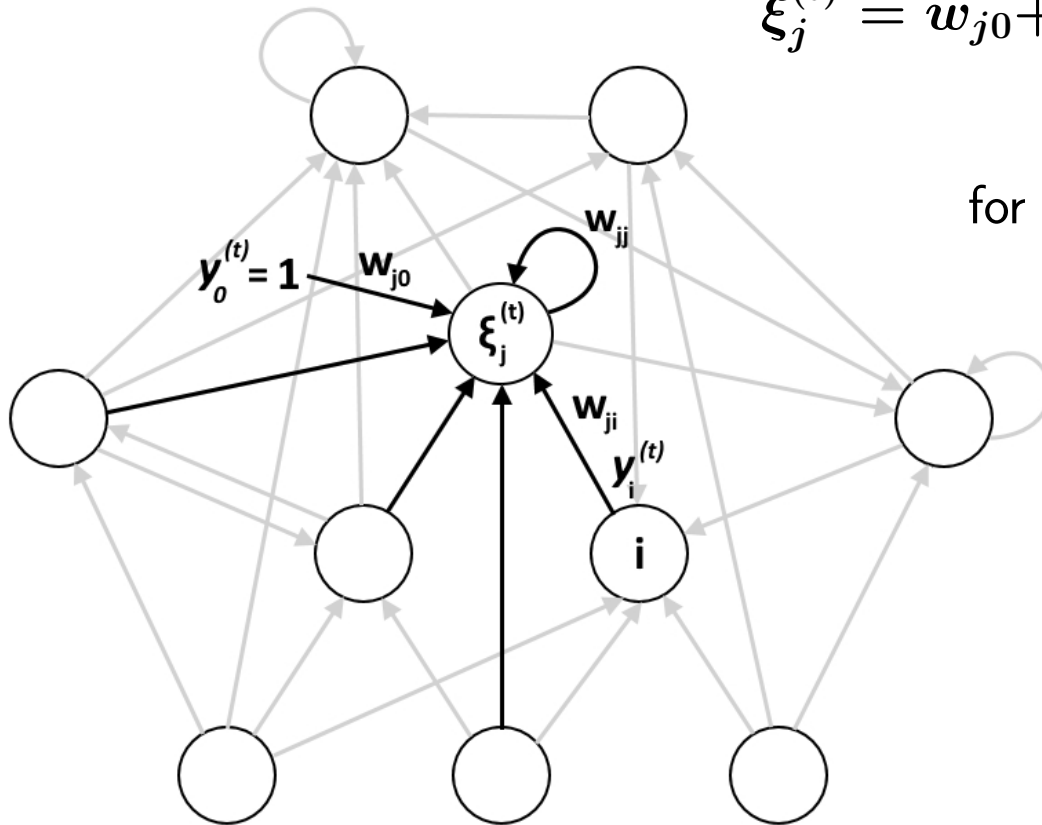


Discrete-Time Computational Dynamics – Excitations

at discrete time instant $t \geq 0$, an **excitation** is computed as

$$\xi_j^{(t)} = w_{j0} + \sum_{i=1}^s w_{ji} y_i^{(t)} = \sum_{i=0}^s w_{ji} y_i^{(t)}$$

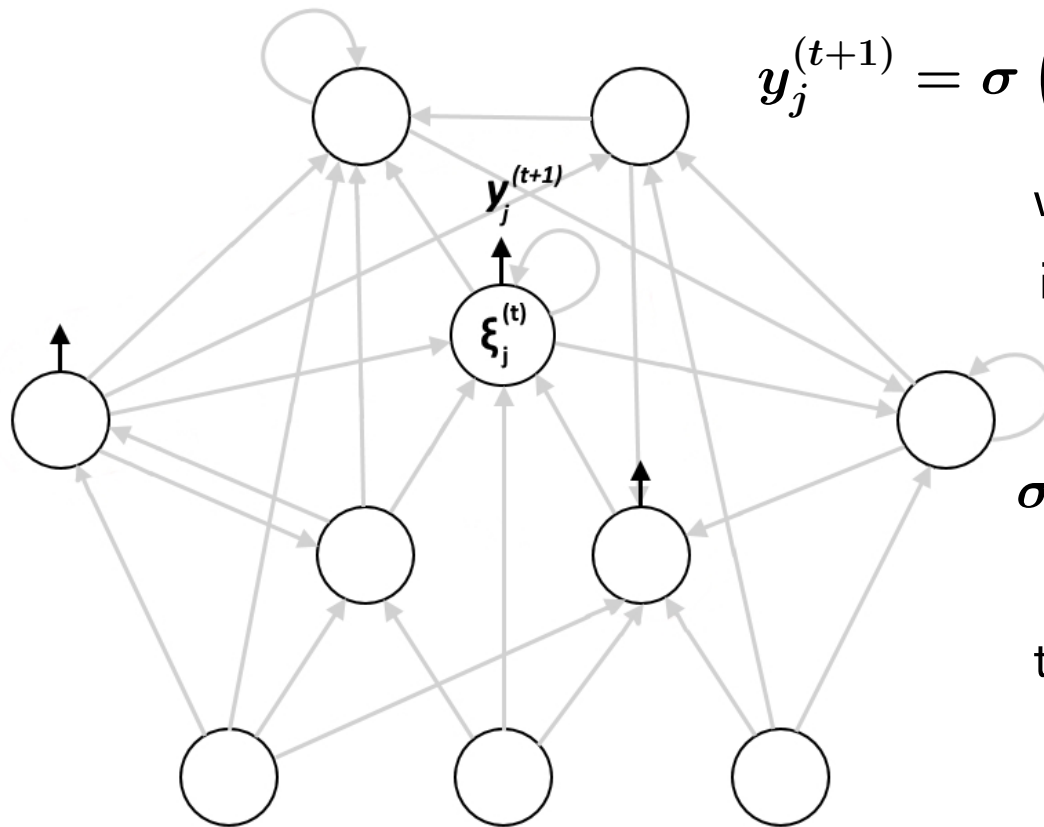
for every $j \in \{1, \dots, s\}$



where unit $0 \in V$ has constant output $y_0^{(t)} \equiv 1$ for every $t \geq 0$

Discrete-Time Computational Dynamics – Outputs

at the next time instant $t + 1$, every neuron $j \in V$ updates its **state** in parallel (a so-called **fully parallel mode**):



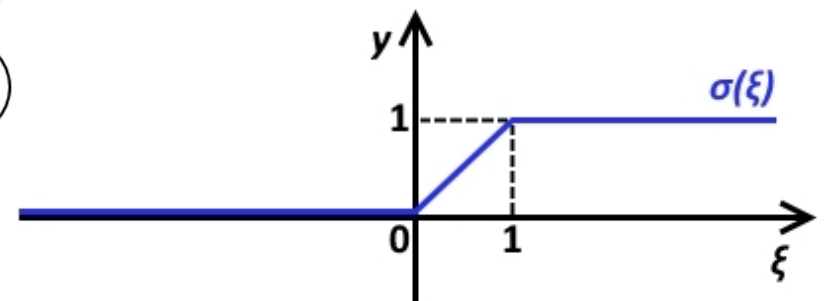
$$y_j^{(t+1)} = \sigma \left(\xi_j^{(t)} \right) \text{ for every } j = 1, \dots, s$$

where $\sigma : \mathbb{R} \longrightarrow [0, 1]$

is an **activation function**, e.g.

$$\sigma(\xi) = \begin{cases} 1 & \text{for } \xi \geq 1 \\ \xi & \text{for } 0 < \xi < 1 \\ 0 & \text{for } \xi \leq 0 \end{cases}$$

the **saturated-linear** function



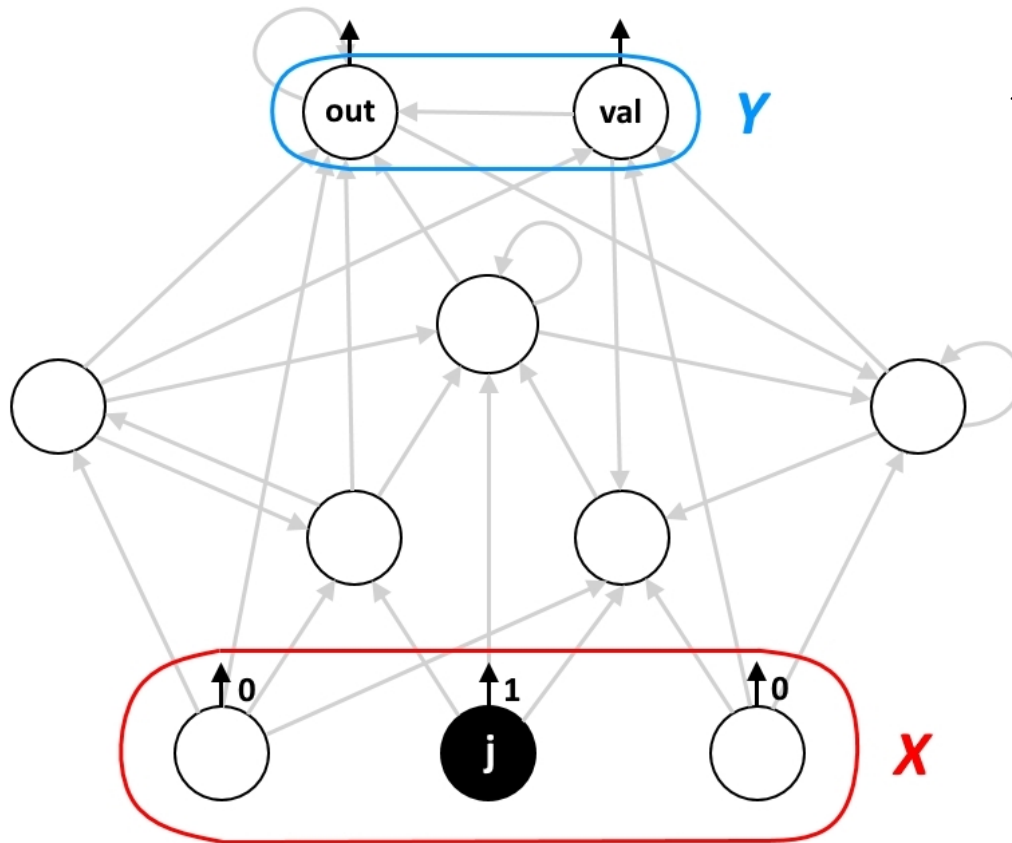
The Computational Power of NNs – Motivations

- the potential and limits of general-purpose computation with NNs:
What is **ultimately or efficiently computable** by particular NN models?
- **idealized** mathematical models of practical NNs which abstract away from implementation issues, e.g. analog numerical parameters are true real numbers
- **methodology**: the computational power and efficiency of NNs is investigated by comparing formal NNs to traditional computational models such as finite automata, Turing machines, Boolean circuits, etc.
- NNs may serve as **reference models** for analyzing **alternative computational resources** (other than time or memory space) such as analog state, continuous time, energy, temporal coding, etc.
- NNs capture basic characteristics of biological nervous systems (plenty of densely interconnected simple unreliable computational units)
→ **computational principles of mental processes**

Neural Networks As Formal Language Acceptors

a language $L \subseteq \Sigma^*$ over finite alphabet Σ represents a decision problem

$$y_{\text{out}}^{(T(n))} = \begin{cases} 1 & \text{if } x \in L \\ 0 & \text{if } x \notin L \end{cases} \quad y_{\text{val}}^{(t)} = \begin{cases} 1 & \text{if } t = T(n) \\ 0 & \text{if } t \neq T(n) \end{cases}$$



$Y = \{\text{out}, \text{val}\}$ output neurons

$T(n)$ is the computation time in terms of input length $n \geq 0$

$d \geq 1$ is the time overhead for processing a single input symbol

$X = \text{enum}(\Sigma)$ input neurons
one-hot encoding

$$\uparrow y_j^{(d(i-1)+k)} = 1 \text{ iff } j = \text{enum}(x_i)$$

$x = x_1 \dots x_{i-1} \leftarrow x_i \leftarrow x_{i+1} \dots x_n \in \Sigma^*$ input word

The Computational Power of NNs – Integer Weights

depends on the information content of **weight** parameters:

1. **integer** weights: **finite automaton** (FA) (Minsky, 1967)

$$\begin{aligned} w_{ji} \in \mathbb{Z} &\longrightarrow \text{excitations } \xi_j \in \mathbb{Z} \longrightarrow \text{states } y_j \in \{0, 1\} \\ &\longrightarrow 2^s \text{ global NN states } \mathbf{y} \in \{0, 1\}^s \sim \text{FA states} \end{aligned}$$

size-optimal implementations:

- $\Theta(\sqrt{m})$ neurons for a deterministic FA with m states
(Indyk, 1995; Horne, Hush, 1995)
- $\Theta(m)$ neurons for a regular expression of length m
(Šíma, Wiedermann 1998)

The Computational Power of NNs – Rational Weights

depends on the information content of **weight** parameters:

2. **rational** weights: **Turing machine** (Siegelmann, Sontag, 1995)

- $w_{ji} \in \mathbb{Q}$ are **fractions** $\frac{p}{q}$ where $p \in \mathbb{Z}$, $q \in \mathbb{N}$
- NNs compute **algorithmically solvable** problems
- real-time simulation of TMs \longrightarrow polynomial time \equiv **complexity class P**
- a universal NN with 25 neurons (Indyk, 1995)
 - \longrightarrow the **halting problem** of whether a small NN terminates its computation, is algorithmically undecidable

The Computational Power of NNs – Real Weights

depends on the information content of **weight** parameters:

3. arbitrary **real** weights: “super-Turing” computation (Siegelmann, Sontag, 1994)

- $w_{ji} \in \mathbb{R}$, e.g. **irrational** weights $\sqrt{2}, \pi$
- **infinite precision** of **ONE** real weight (vs. an algorithm has a **finite description**)
can encode any function f : **0 . code(C_1) code(C_2) code(C_3) . . .**
(**code(C_n)** encodes the circuit C_n computing f for inputs of length n)
→ **exponential time** \equiv any I/O mapping
(including algorithmically undecidable problems)
- polynomial time \equiv **nonuniform complexity class P/poly**:
problems solvable by a polynomial-time (**P**) algorithm that for input $x \in \Sigma^*$ of length $n = |x|$, receives an external **advise**: a string $s(n) \in \Sigma^*$ of polynomial length $|s(n)| = O(n^c)$ (**poly**), which depends only on n

The Computational Power of NNs – A Summary

depends on the information content of **weight** parameters:

1. **integer** weights: **finite automaton**
2. **rational** weights: **Turing machine**
polynomial time \equiv complexity class P
3. arbitrary **real** weights: **“super-Turing” computation**
polynomial time \equiv nonuniform complexity class P/poly
exponential time \equiv any I/O mapping

Neural Networks Between Rational and Real Weights

1. **integer** weights: finite automaton

2. **rational** weights: Turing machine

polynomial time \equiv **P**

polynomial time & increasing **Kolmogorov complexity** of real weights:

the length of the shortest program (in a fixed programming language) that produces a real weight,

$$\text{e.g. } K(\sqrt{2}) = O(1), \quad K(\text{“random strings”}) = n + O(1)$$

\equiv a proper **hierarchy** of nonuniform complexity classes **between P and P/poly**

(Balcázar, Gavalda, Siegelmann, 1997)

3. arbitrary **real** weights: “super-Turing” computation

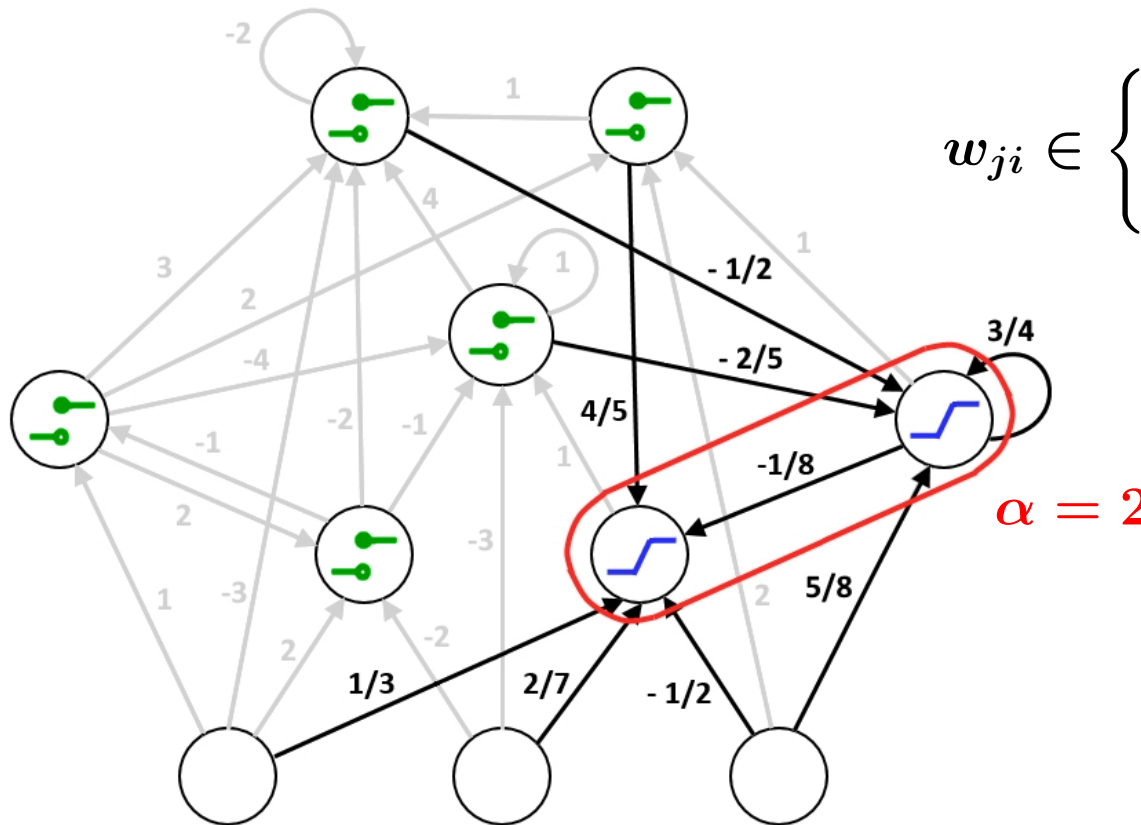
polynomial time \equiv **P/poly**

Neural Networks Between Integer and Rational Weights

from **integer** to **rational** weights

α ANN = a **binary-state** NN with **integer** weights

+ **α extra analog-state** neurons with **rational** weights



$$w_{ji} \in \begin{cases} \mathbb{Q} & j = 1, \dots, \alpha \\ \mathbb{Z} & j = \alpha + 1, \dots, s \end{cases}$$

$$i \in \{0, \dots, s\}$$

Neural Networks with Increasing Analogicity

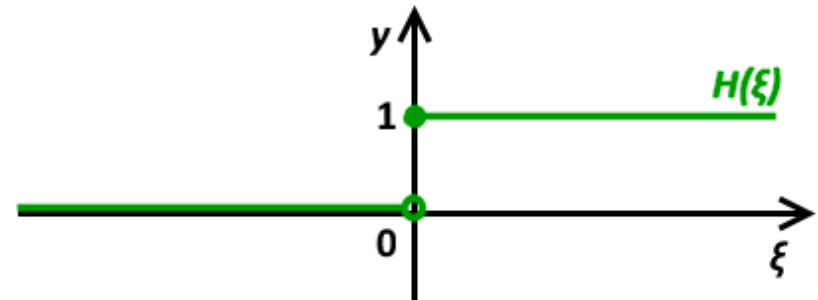
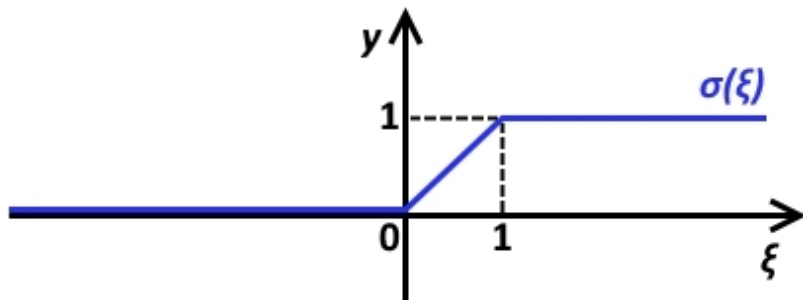
from **binary** ($\{0, 1\}$) to **analog** ($[0, 1]$) states of neurons

α ANN = a **binary-state** NN with **integer** weights

+ **α extra analog-state** neurons with **rational** weights

$$y_j^{(t+1)} = \sigma_j \left(\sum_{i=0}^s w_{ji} y_i^{(t)} \right) \quad j = 1, \dots, s \quad \text{updating the states of neurons}$$

$$\sigma_j(\xi) = \begin{cases} \sigma(\xi) = \begin{cases} 1 & \text{for } \xi \geq 1 \\ \xi & \text{for } 0 < \xi < 1 \\ 0 & \text{for } \xi \leq 0 \end{cases} & j = 1, \dots, \alpha \quad \text{saturated-linear function} \\ H(\xi) = \begin{cases} 1 & \text{for } \xi \geq 0 \\ 0 & \text{for } \xi < 0 \end{cases} & j = \alpha + 1, \dots, s \quad \text{Heaviside function} \end{cases}$$

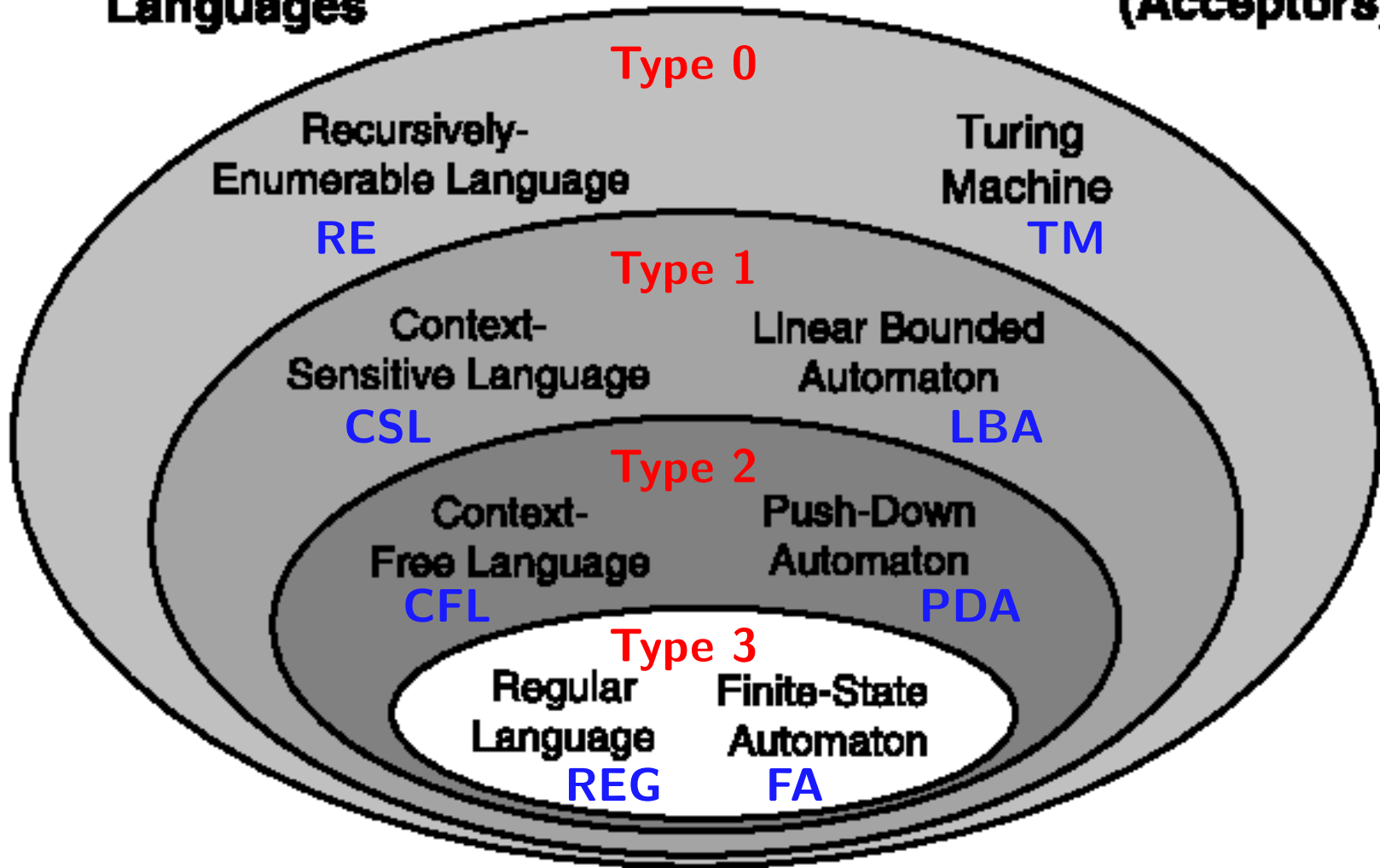


The Chomsky Formal Language Hierarchy

from finite automata to Turing machines

**Grammars (Generators) &
Languages**

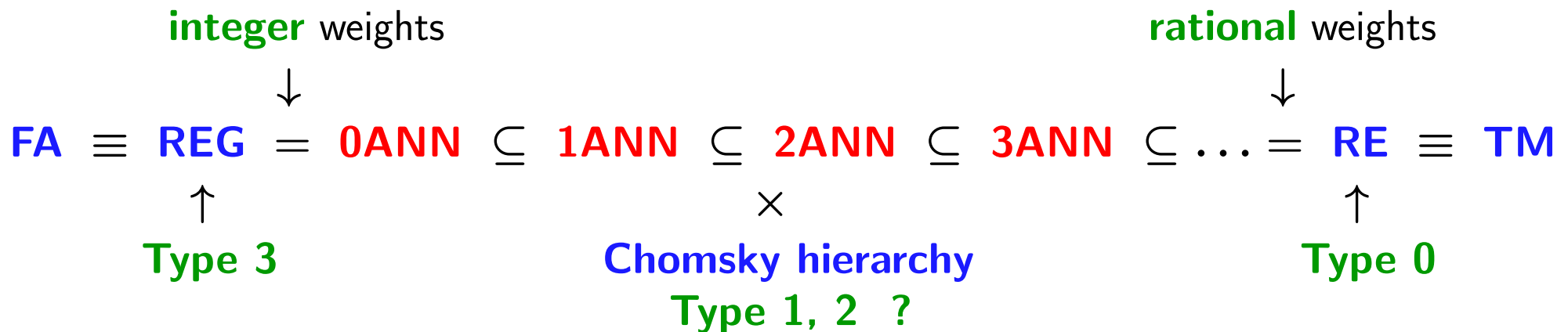
**Automata
(Acceptors)**



The Analog Neuron Hierarchy (ANH)

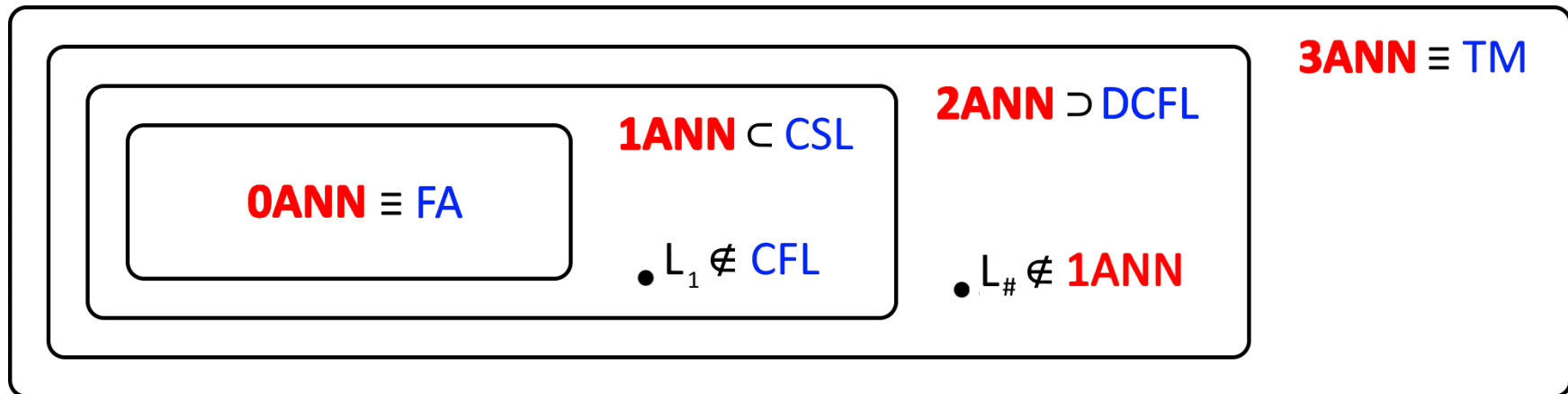
the computational power of α ANNs

increases with the number α of extra analog-state neurons:



(the notation α ANN is also used for the class of languages accepted by α ANNs)

The Analog Neuron Hierarchy as a Chomsky-Like NN Hierarchy



the **separation** of the first two levels $0\text{ANN} \stackrel{L_1}{\subsetneq} 1\text{ANN} \stackrel{L_\#}{\subsetneq} 2\text{ANN}$:

- LBA simulates 1ANN: $1\text{ANN} \subset \text{CSL}$ (Type 1)
- 1ANN accepts a non-CFL L_1 : $1\text{ANN} \not\subset \text{CFL}$ (Type 2)

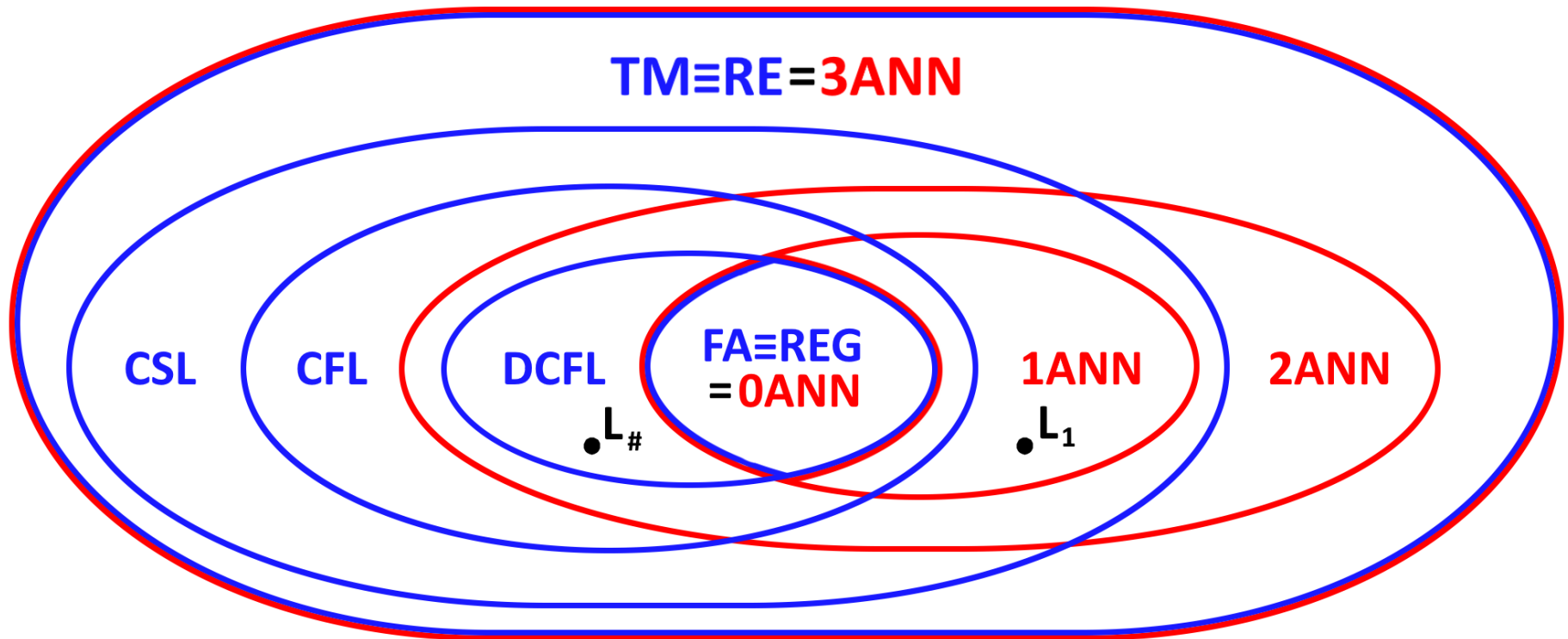
$$L_1 = \left\{ x_1 \dots x_n \in \{0, 1\}^* \mid \sum_{k=1}^n x_{n-k+1} \left(\frac{3}{2}\right)^{-k} < 1 \right\} \in 1\text{ANN} \setminus \text{CFL}$$
- 2ANN simulates deterministic PDA (DPDA \equiv DCFL): $\text{DCFL} \subset 2\text{ANN}$
- 1ANN cannot count up to n (even with real weights): $\text{DCFL} \not\subset 1\text{ANN}$

$$L_\# = \{0^n 1^n \mid n \geq 1\} \in \text{DCFL} \setminus 1\text{ANN}$$

the **collapse** to the third level $3\text{ANN} = 4\text{ANN} = \dots = \text{RE} \equiv \text{TM}$ (Type 0):

- 3ANN simulates TM

The Chomsky Hierarchy vs. the Analog Neuron Hierarchy



the separation of some classes is still open, e.g. $2ANN \stackrel{?}{\subsetneq} 3ANN$, $1ANN \cap CFL \stackrel{?}{=} REG$

the intermediate levels of the ANH and the Chomsky hierarchy seem **incomparable**

Positional Numeral Systems With Non-Integer Base

generalization of decimal expansions, which uses also **non-integer** numbers as the base and digits of a positional numeral system:

- $\beta \in \mathbb{R}$ is a **real base (radix)** such that $|\beta| > 1$
- $A \subset \mathbb{R}$ is a finite set of **real digits** such that $|A| \geq 2$

a **finite β -expansion** represents a number x in base β with digits a_i from A as

$$x = (0.a_1 \dots a_n)_\beta = a_1\beta^{-1} + a_2\beta^{-2} + a_3\beta^{-3} + \dots + a_n\beta^{-n} = \sum_{k=1}^n a_k\beta^{-k}$$

Examples:

1. $\beta = 10, A = \{0, 1, 2, \dots, 9\}$

decimal expansion of $\frac{3}{4} = (0.75)_{10} = 7 \cdot 10^{-1} + 5 \cdot 10^{-2}$

2. $\beta = 2, A = \{0, 1\}$

binary expansion of $\frac{3}{4} = (0.11)_2 = 1 \cdot 2^{-1} + 1 \cdot 2^{-2}$

3. $\beta = \frac{5}{2}, A = \{\frac{5}{16}, \frac{7}{4}\}$

$\frac{5}{2}$ -expansion of $\frac{3}{4} = (0.\frac{7}{4}\frac{5}{16})_{\frac{5}{2}} = \frac{7}{4} \cdot (\frac{5}{2})^{-1} + \frac{5}{16} \cdot (\frac{5}{2})^{-2}$

(Infinite) β -Expansions

introduced by Rényi (1957) and studied by Parry (1960); still an active research field with applications in coding theory, algorithmic complexity of arithmetic operations, models of quasicrystals, etc. (e.g. a research group at FNSPE CTU, Prague)

an infinite β -expansion of number x over digits a_i from A :

$$x = (0.a_1a_2a_3\cdots)_\beta = a_1\beta^{-1} + a_2\beta^{-2} + a_3\beta^{-3} + \cdots = \sum_{k=1}^{\infty} a_k\beta^{-k}$$

which is a convergent power series due to $|\beta| > 1$

Example: $\beta = \frac{3}{2}$, $A = \{0, 1\}$

$$\begin{aligned} \frac{3}{2}\text{-expansion of } \frac{16}{45}: (0.0001010101010\dots)_{\frac{3}{2}} &= (0.000\overline{10})_{\frac{3}{2}} \\ &= \left(\frac{3}{2}\right)^{-4} + \left(\frac{3}{2}\right)^{-6} + \left(\frac{3}{2}\right)^{-8} + \cdots = \sum_{k=2}^{\infty} \left(\frac{3}{2}\right)^{-2k} = \sum_{k=2}^{\infty} \left(\frac{4}{9}\right)^k = \frac{16}{45} \end{aligned}$$

(a geometric series)

Existence of β -Expansions

Let $\beta > 1$ and $A = \{\alpha_1, \dots, \alpha_p\}$ where $\alpha_1 < \alpha_2 < \dots < \alpha_p$.

Then every number in the interval $\left[\frac{\alpha_1}{\beta-1}, \frac{\alpha_p}{\beta-1}\right]$ has a β -expansion

$$\text{iff } \max_{1 < j \leq p} (\alpha_j - \alpha_{j-1}) \leq \frac{\alpha_p - \alpha_1}{\beta - 1}. \quad (\text{Pendicini, 2005})$$

Examples:

1. $\beta > 1$, $A = \{0, 1, \dots, \lceil \beta \rceil - 1\}$ containing the **standard** integer digits
every number in the interval $D_\beta = \left(0, \frac{\lceil \beta \rceil - 1}{\beta - 1}\right)$ (even \overline{D}_β) has a β -expansion,
note that $(0, 1) \subseteq D_\beta$, e.g. $D_\beta = (0, 1)$ for **integer** base β
2. $\beta = 3$, $A = \{0, 2\}$ (i.e. $2 \not\leq \frac{2-0}{3-1} = 1$)

any number from **the complement of the Cantor ternary set**

$$\bigcup_{n=0}^{\infty} \bigcup_{k=0}^{3^n-1} \left(\frac{3k+1}{3^n+1}, \frac{3k+2}{3^n+1} \right) \subset (0, 1) \text{ has no } \mathbf{3}\text{-expansion}$$

(including iteratively the open middle third from a set of line segments, starting with $(0,1)$)

Uniqueness of β -Expansions for Integer Base β

for an integer base $\beta > 1$ and the standard digits, $A = \{0, 1, \dots, \beta - 1\}$,

almost any number from the interval $D_\beta = (0, 1)$ has a **unique** β -expansion,

e.g. the unique decimal expansion of $\frac{\sqrt{2}}{2} = (0.70710678118\dots)_{10}$,

except for numbers with a **finite** β -expansion, which have **two distinct** (infinite) β -expansions,

e.g. two (infinite) decimal expansions of

$$\frac{3}{4} = (0.75)_{10} = (0.75000\dots)_{10} = (0.74999\dots)_{10}$$

Uniqueness of β -Expansions for Non-Integer Base β

for a non-integer base, almost every number has infinitely (uncountably) many distinct β -expansions (Sidorov, 2003)

Example: $1 < \beta < 2$, $A = \{0, 1\}$, $D_\beta = \left(0, \frac{1}{\beta-1}\right)$

- $1 < \beta < \varphi$ where $\varphi = (1 + \sqrt{5})/2 \approx 1.618034$ is the golden ratio:
every $x \in D_\beta$ has uncountably many distinct β -expansions (Erdős et al., 1990)
- $\varphi \leq \beta < q$ where $q \approx 1.787232$ is the Komornik-Loreti constant
(i.e. $\sum_{k=1}^{\infty} t_k q^{-k} = 1$ where $t_k = \text{parity}(\text{bin}(k))$ is the Thue-Morse sequence):
countably many $x \in D_\beta$ have unique β -expansions (Glendinning, Sidorov, 2001),
e.g. the unique $\frac{5}{3}$ -expansions of $\frac{9}{16} \left(\frac{3}{5}\right)^{k-1} = (0.(0)^k \overline{10})_{\frac{5}{3}}$ for $k \geq 0$
vs. countably many distinct φ -expansions of $1 = (0.(10)^k 0\overline{1})_\varphi$ for $k \geq 0$
- $q \leq \beta < 2$: uncountably many $x \in D_\beta$ have unique β -expansions

partially generalizes to $\beta > 2$ and arbitrary A : two critical bases $1 < \varphi_A \leq q_A$ such that the number of unique β -expansions is finite if $1 < \beta < \varphi_A$, countable if $\varphi_A < \beta < q_A$, and uncountable if $\beta > q_A$ (Komornik, Pedicini, 2016) 31/54

Eventually Periodic β -Expansions

$$(0.a_1a_2\dots a_{k_1}\overline{a_{k_1+1}a_{k_1+2}\dots a_{k_2}})_\beta = (0.a_1a_2\dots a_{k_1})_\beta + \beta^{-k_1}\varrho$$

where

- $a_1a_2\dots a_{k_1} \in A^{k_1}$ is a **preperiodic part** of length $k_1 \geq 0$
(purely **periodic** β -expansions for $k_1 = 0$)
- $a_{k_1+1}a_{k_1+2}\dots a_{k_2} \in A^m$ is a **repetend** of $m = k_2 - k_1 > 0$ repeating digits
- $\varrho = (0.\overline{a_{k_1+1}a_{k_1+2}\dots a_{k_2}})_\beta = \frac{\sum_{k=1}^m a_{k_1+k} \beta^{-k}}{1 - \beta^{-m}}$ is a **periodic point**

Example: $\beta = \frac{3}{2}$, $A = \{0, 1\}$

$$\frac{22}{15} = (0.1\overline{10})_{\frac{3}{2}} = (0.1)_{\frac{3}{2}} + \left(\frac{3}{2}\right)^{-1} \cdot \varrho = \left(\frac{3}{2}\right)^{-1} + \left(\frac{3}{2}\right)^{-1} \cdot (0.\overline{10})_{\frac{3}{2}}$$

$$\text{where } \varrho = (0.\overline{10})_{\frac{3}{2}} = \sum_{k=0}^{\infty} \left(\frac{3}{2}\right)^{-2k-1} = \frac{1 \cdot \left(\frac{3}{2}\right)^{-1} + 0 \cdot \left(\frac{3}{2}\right)^{-2}}{1 - \left(\frac{3}{2}\right)^{-2}} = \frac{6}{5}$$

Eventually Quasi-Periodic β -Expansions

$$\begin{aligned} & (0 . a_1 \dots a_{k_1} a_{k_1+1} \dots a_{k_2} a_{k_2+1} \dots a_{k_3} a_{k_3+1} \dots a_{k_4} \dots)_{\beta} \\ & = (0 . a_1 a_2 \dots a_{k_1})_{\beta} + \beta^{-k_1} \varrho \end{aligned}$$

where

- $a_1 a_2 \dots a_{k_1} \in A^{k_1}$ is a **preperiodic part** of length (purely **quasi-periodic** β -expansions for $k_1 = 0$)
- $a_{k_i+1} \dots a_{k_{i+1}} \in A^{m_i}$ is a **quasi-repetend** of length $m_i = k_{i+1} - k_i > 0$
- $\varrho = (0 . \overline{a_{k_i+1} \dots a_{k_{i+1}}})_{\beta} = \frac{\sum_{k=1}^{m_i} a_{k_i+k} \beta^{-k}}{1 - \beta^{-m_i}}$ is the **same periodic point** for every $i \geq 1$

→ quasi-repetends can be interchanged with each other arbitrarily

- a **generalization** of **eventually periodic** β -expansions

$$a_{k_1+1} \dots a_{k_2} = a_{k_2+1} \dots a_{k_3} = a_{k_3+1} \dots a_{k_4} = \dots$$

Example: $\beta \approx 1.220744$ satisfying $\beta^4 - \beta - 1 = 0$ (\star), $A = \{0, 1\}$

$$1 = (0 . \mathbf{00} \mathbf{010} \mathbf{1000} \mathbf{1000} \mathbf{010} \dots)_{\beta} = (0 . \mathbf{00})_{\beta} + \beta^{-2} \varrho$$

where **00** is a preperiodic part and **010**, **1000** are two quasi-repetends with **same** periodic point $\varrho = (0 . \overline{\mathbf{010}})_{\beta} = \frac{\beta^{-2}}{1 - \beta^{-3}} \stackrel{\star}{=} \beta^2 \stackrel{\star}{=} \frac{\beta^{-1}}{1 - \beta^{-4}} = (0 . \overline{\mathbf{1000}})_{\beta}$

An Example of Repetends With Unbounded Length

base $\beta = \frac{5}{2}$, digits $A = \{0, \frac{1}{2}, \frac{7}{4}\}$

for every $n \geq 0$, the quasi-repetends $\frac{7}{4} \underbrace{\frac{1}{2} \cdots \frac{1}{2}}_{n \text{ times}} 0 \in A^{n+2}$ have the same periodic point $\varrho = \frac{3}{4}$:

$$\left(0. \overbrace{\frac{7}{4} \frac{1}{2} \cdots \frac{1}{2}}_{n \text{ times}} 0 \right)_{\frac{5}{2}} = \frac{\frac{7}{4} \cdot \left(\frac{5}{2}\right)^{-1} + \sum_{i=2}^{n+1} \frac{1}{2} \cdot \left(\frac{5}{2}\right)^{-i} + 0 \cdot \left(\frac{5}{2}\right)^{-n-2}}{1 - \left(\frac{5}{2}\right)^{-n-2}} = \frac{3}{4}$$

→ $\frac{3}{4}$ has uncountably many distinct quasi-periodic $\frac{5}{2}$ -expansions:

$$\frac{3}{4} = \left(0. \overbrace{\frac{7}{4} \frac{1}{2} \cdots \frac{1}{2}}_{n_1 \text{ times}} 0 \overbrace{\frac{7}{4} \frac{1}{2} \cdots \frac{1}{2}}_{n_2 \text{ times}} 0 \overbrace{\frac{7}{4} \frac{1}{2} \cdots \frac{1}{2}}_{n_3 \text{ times}} 0 \overbrace{\frac{7}{4} \frac{1}{2} \cdots \frac{1}{2}}_{n_4 \text{ times}} 0 \cdots \right)_{\frac{5}{2}}$$

where n_1, n_2, n_3, \dots is any infinite sequence of nonnegative integers

(there are examples of exponentially many quasi-repetends in terms of their length)

Eventually Quasi-Periodic β -Expansions and Tail Sequences

$(r_n)_{n=0}^{\infty}$ is a **tail sequence** of β -expansion $\varepsilon = (0.a_1 a_2 a_3 \dots)_{\beta}$ if

$$r_n = (0.a_{n+1}a_{n+2}\dots)_{\beta} = \sum_{k=1}^{\infty} a_{n+k}\beta^{-k} \quad \text{for every } n \geq 0$$

denote by $R_{\varepsilon} = \{r_n \mid n \geq 0\}$ its range

Lemma. *If R_{ε} is **finite** (i.e. the tail sequence contains a constant infinite subsequence), then the β -expansion ε is **eventually quasi-periodic**.*

Theorem. *Let β be a real algebraic number ($|\beta| > 1$) whose all conjugates β' (i.e. the other roots of minimal polynomial of β) meet $|\beta'| \neq 1$. Then a β -expansion ε is **eventually quasi-periodic iff** R_{ε} is **finite**.*

Theorem. *Let β be a real algebraic number ($|\beta| > 1$) whose conjugate β' meets $|\beta'| = 1$. Then there exists a finite set $A \subset \mathbb{Z}$ of integer digits and a **quasi-periodic** β -expansion ε over A of the number 0 that has **infinite** R_{ε} .*

(solves an important open problem in algebraic number theory)

Quasi-Periodic Numbers

a real number $x \in \mathbb{R}$ is β -quasi-periodic within A if **every** infinite β -expansion of x over A , is eventually quasi-periodic

Examples:

- x with **no** β -expansion at all, **is** formally quasi-periodic (e.g. any number from the complement of the Cantor ternary set **is** 3-quasi-periodic within $A = \{0, 2\}$)
- $x = \frac{3}{4}$ **is** $\frac{5}{2}$ -quasi-periodic within $A = \{0, \frac{1}{2}, \frac{7}{4}\}$:
all the $\frac{5}{2}$ -expansions of $\frac{3}{4}$ using the digits from A , are eventually quasi-periodic
- $x = \frac{40}{57} = (0.0\overline{011})_{\frac{3}{2}}$ **is not** $\frac{3}{2}$ -quasi-periodic within $A = \{0, 1\}$:
the **greedy** (i.e. lexicographically maximal) $\frac{3}{2}$ -expansion $(0.100000001\dots)_{\frac{3}{2}}$ of $\frac{40}{57}$ **is not** eventually quasi-periodic

Theorem. Let $\beta > 1$ be a *Pisot number* (i.e. a real algebraic integer whose all conjugates β' meet $|\beta'| < 1$) and $A \subset \mathbb{Q}(\beta)$. Then any $x \in \mathbb{Q}(\beta)$ **is** β -quasi-periodic within A .

- $x = 1$ **is** β -quasi-periodic within $A = \{0, 1\}$ for the **plastic constant** $\beta \approx 1.324718$ (i.e. the minimal Pisot number satisfying $\beta^3 - \beta - 1 = 0$)

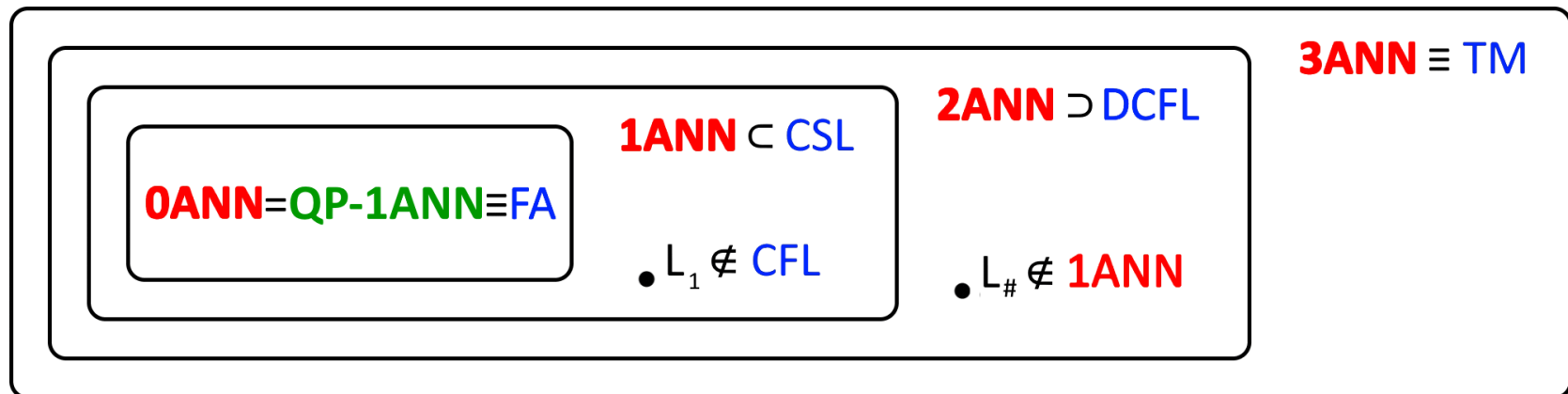
Quasi-Periodic 1ANN (QP-1ANN): for a **1ANN**, denote:

- $\beta = 1/w_{11}$ is the **base** ($|\beta| > 1$) where w_{11} is the **self-loop weight** of the one analog-state neuron ($0 < |w_{11}| < 1$)
- $A = \left\{ \sum_{i=0; i \neq 1}^s \frac{w_{1i}}{w_{11}} y_i \mid y_2, \dots, y_s \in \{0, 1\} \right\} \cup \{0, \beta\}$ are the **digits**
- $X = \left\{ \sum_{i=0; i \neq 1}^s \frac{w_{ji}}{w_{j1}} y_i \mid j \neq 1, w_{j1} \neq 0, y_2, \dots, y_s \in \{0, 1\} \right\} \cup \{0, 1\}$

we say that **1ANN** (even with real weights) is **quasi-periodic** and denote **QP-1ANN** if every $x \in X$ is β -quasi-periodic within A

Example: 1ANN with **rational** weights + the **self-loop weight** $w_{11} = 1/\beta$ where β is an **integer** or the **plastic constant** or the **golden ratio**

Theorem. QP-1ANN = REG = 0ANN \equiv FA (Type 3)

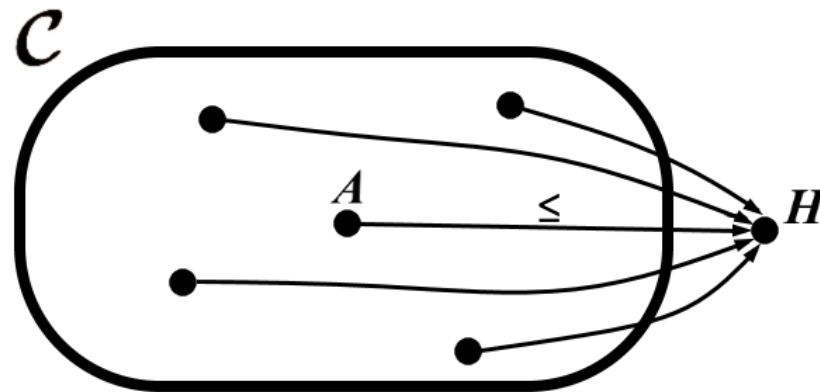


\mathcal{C} -Hard Problems

\mathcal{C} is a complexity class of **decision problems** (i.e. formal languages)

$A \leq B$ is a **reduction** transforming a problem A to a problem B (a preorder), which is assumed not to have a higher computational complexity than \mathcal{C}

H is a **\mathcal{C} -hard problem** (under the reduction \leq) if for every $A \in \mathcal{C}$, $A \leq H$



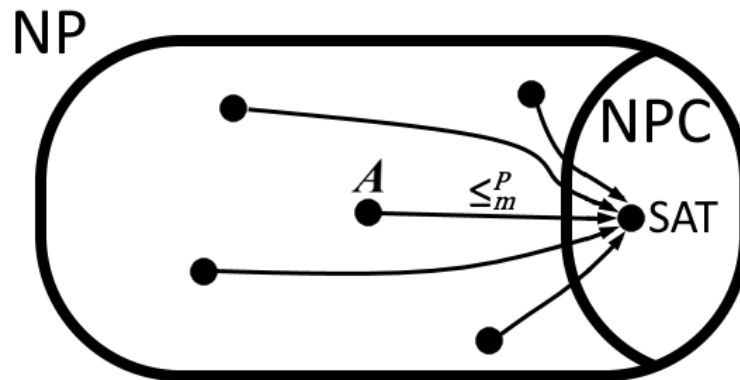
- If a \mathcal{C} -hard problem has a (computationally) “easy” solution, then each problem in \mathcal{C} has an “easy” solution (via the reduction).
- If a \mathcal{C} -hard problem H is in \mathcal{C} (a so-called **\mathcal{C} -complete problem**), then H belongs to the **hardest problems** in the class \mathcal{C} .

The Most Prominent Example: NP-Hard Problems

$\mathcal{C} = \text{NP}$ is the class of decision problems solvable in polynomial time by a nondeterministic Turing machine

$A \leq_m^P B$ is a **polynomial-time** many-one reduction (Karp reduction) from A to B

the satisfiability problem **SAT** is **NP-hard**: for every $A \in \text{NP}$, $A \leq_m^P \text{SAT}$

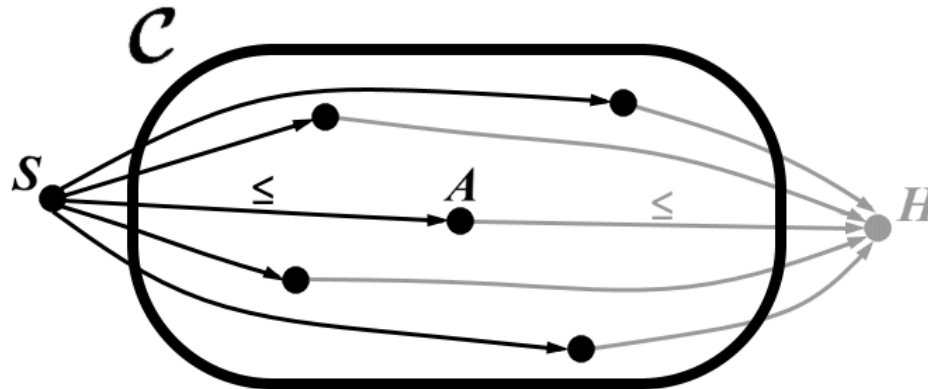


- If an NP-hard problem is polynomial-time solvable, then each NP problem would be solved in polynomial time (i.e. $P = \text{NP}$)
- The NP-hard problem SAT is in NP (i.e. SAT is **NP-complete**), that is, SAT belongs to the **hardest problems** (NPC) in the class NP.

\mathcal{C} -Simple Problems

a conceptual counterpart to \mathcal{C} -hard problems:

S is a \mathcal{C} -simple problem (under the reduction \leq) if for every $A \in \mathcal{C}$, $S \leq A$



- If a \mathcal{C} -simple problem S proves to be not “easy”,
e.g. S is not solvable by a machine M that can compute the reduction \leq ,
then all problems in \mathcal{C} are not “easy”, i.e. \mathcal{C} cannot be solved by M .
→ **New Proof Technique:** a lower bound known for one \mathcal{C} -simple
problem S extends to the whole class of problems \mathcal{C}
- If a \mathcal{C} -simple problem S is in \mathcal{C} , then S is the **simplest problem** in the class \mathcal{C} .

A Trivial Example: SAT is simple for the class of NP-hard problems under \leq_m^P

A Nontrivial Example of a \mathcal{C} -Simple Problem

$$\mathcal{C} = \text{DCFL}' = \text{DCFL} \setminus \text{REG}$$

is the class of **non-regular deterministic context-free languages**

$L_1 \leq_{tt}^A L_2$ is a **truth-table reduction** (a stronger Turing reduction) from L_1 to L_2 implemented by a **Mealy machine with the oracle L_2**

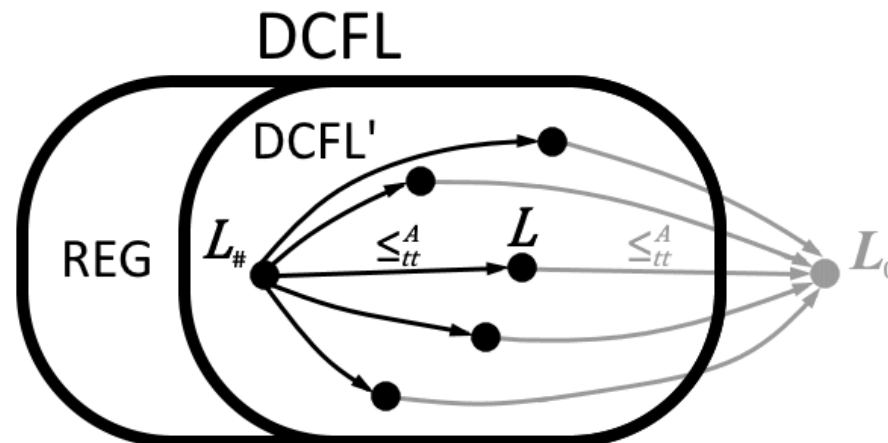
The Technical Result:

the language $L_{\#} = \{0^n 1^n \mid n \geq 1\}$ over the binary alphabet $\{0, 1\}$ is

DCFL'-simple under the reduction \leq_{tt}^A : for every $L \in \text{DCFL}'$, $L_{\#} \leq_{tt}^A L$

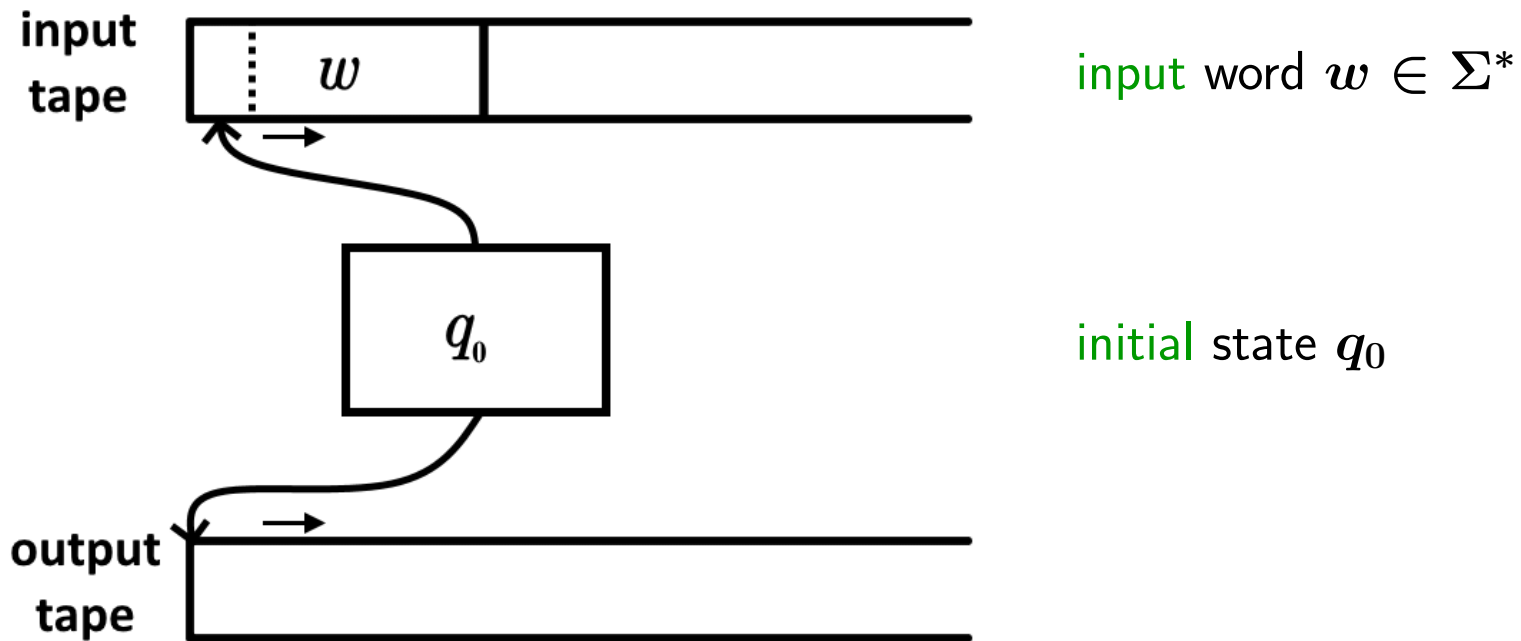
$\longrightarrow L_{\#} \in \text{DCFL}'$ is the **simplest** non-regular deterministic context-free languages

cf. the **hardest** context-free language L_0 due to S. Greibach (1973) is **CFL-hard**



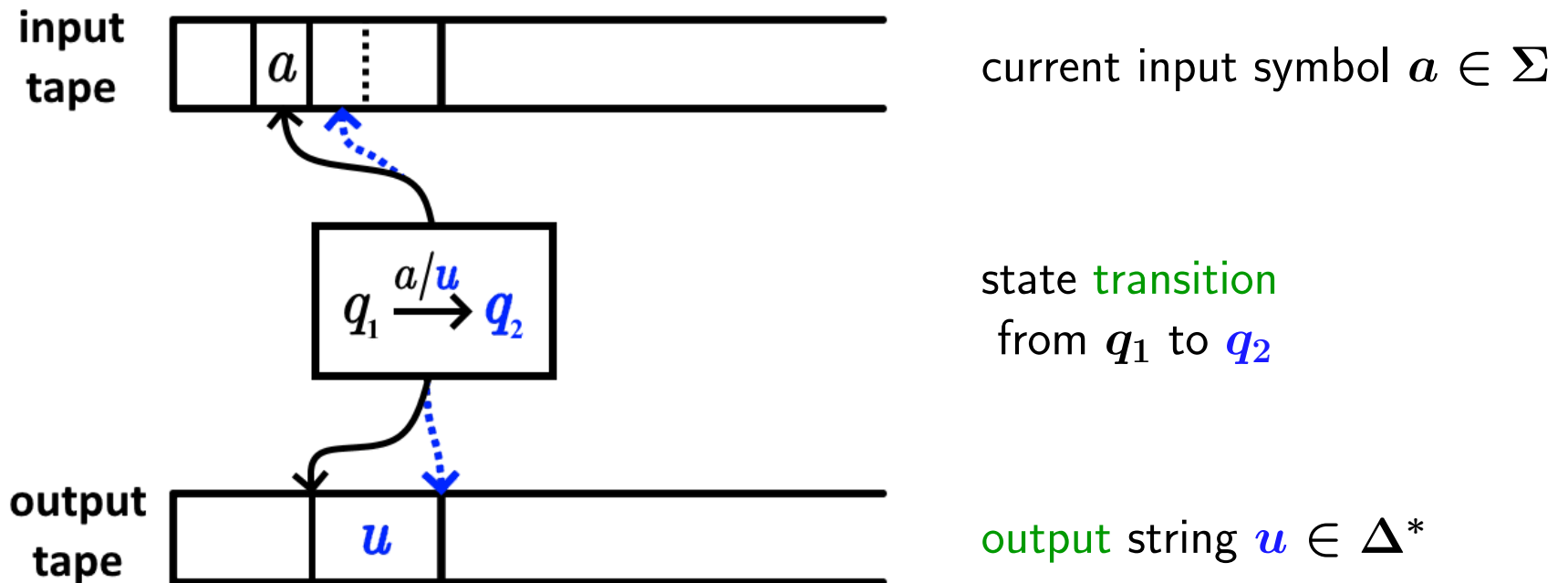
Mealy Machines

\mathcal{A} is a **Mealy Machine** with an input/output alphabet Σ/Δ
i.e. a deterministic finite automaton with an **output tape**:



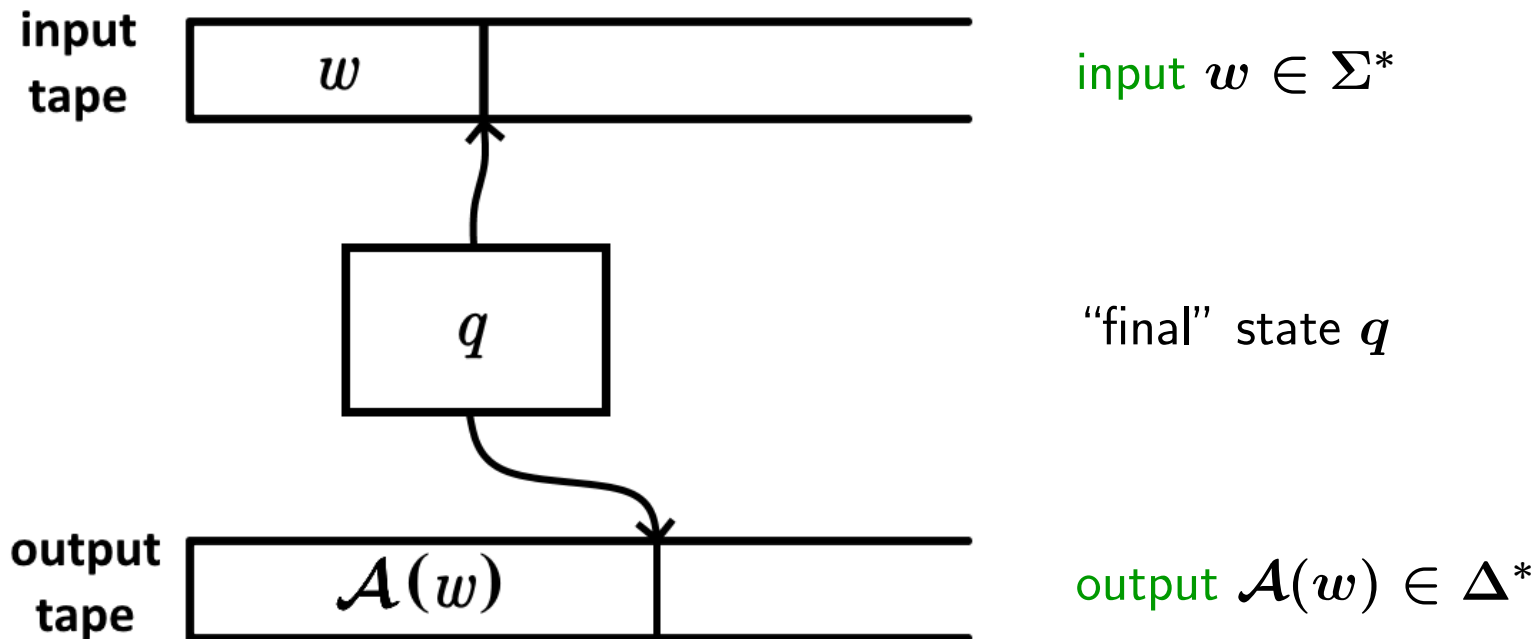
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Mealy Machines

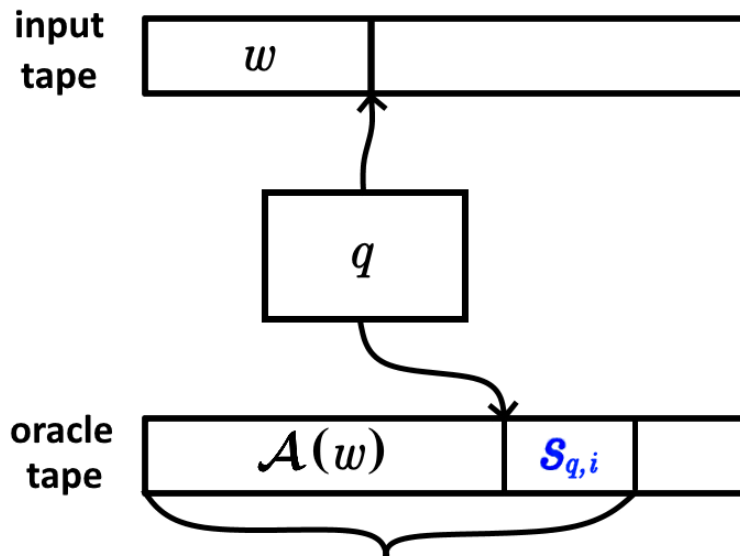
\mathcal{A} is a **Mealy Machine** with an input/output alphabet Σ/Δ
i.e. a deterministic finite automaton with an **output tape**:



→ a deterministic finite-state **transducer**: $w \in \Sigma^* \mapsto \mathcal{A}(w) \in \Delta^*$

The Truth-Table Reduction by Oracle Mealy Machines

\mathcal{A}^{L_2} is a Mealy Machine \mathcal{A} with an oracle $L_2 \subseteq \Delta^*$:



for each state q of \mathcal{A} :

- r_q suffixes $s_{q,1}, \dots, s_{q,r_q} \in \Delta^*$
- truth table $T_q : \{0, 1\}^{r_q} \rightarrow \{0, 1\}$ with r_q variables

r_q queries: $s_{q,i} \in L_2$ for every $i = 1, \dots, r_q$

$w \in \Sigma^*$ is accepted by \mathcal{A}^{L_2} iff w brings \mathcal{A} to the state q such that

$$T_q \left(\mathcal{A}(w) \cdot s_{q,1} \in L_2, \mathcal{A}(w) \cdot s_{q,2} \in L_2, \dots, \mathcal{A}(w) \cdot s_{q,r_q} \in L_2 \right) = 1$$

$L_1 \leq_{tt}^A L_2$: $L_1 \subseteq \Sigma^*$ is truth-table reducible to $L_2 \subseteq \Delta^*$ iff

$L_1 = \mathcal{L}(\mathcal{A}^{L_2})$ is accepted by some Mealy machine \mathcal{A}^{L_2} with oracle L_2

Proposition: The relation \leq_{tt}^A is a preorder.

Why $L_{\#} = \{0^n 1^n \mid n \geq 1\}$ Is the Simplest DCFL' language?

any reduced context-free grammar G generating a non-regular language $L \subseteq \Delta^*$ is self-embedding: there is a self-embedding nonterminal A admitting the derivation

$A \Rightarrow^* xAy$ for some non-empty strings $x, y \in \Delta^+$ (Chomsky, 1959)

G is reduced $\longrightarrow S \Rightarrow^* vAz$ and $A \Rightarrow^* w$ for some $v, w, z \in \Delta^*$

$\longrightarrow S \Rightarrow^* vx^mwy^mz \in L$ for every $m \geq 0$ (1)

??? a conceivable (one-one) reduction from $L_{\#}$ to L : for every $m, n \geq 1$,

$0^m 1^n \in \{0, 1\}^* \longmapsto vx^mwy^nz \in \Delta^*$

(the inputs outside 0^+1^+ are mapped onto some fixed string outside L)

since $0^m 1^n \in L_{\#}$ implies $vx^mwy^nz \in L$ by (1)

!!! however, the opposite implication may not be true:

Why $L_{\#}$ Is the Simplest DCFL' language? (cont.)

!!! however, the **opposite implication** may not be true:

for the DCFL' language $L_1 = \{a^m b^n \mid 1 \leq m \leq n\}$ over $\Delta = \{a, b\}$

there are **no** words $v, x, w, y, z \in \Delta^*$ such that for every $m, n \geq 1$,

$$vx^m wy^n z \in L_1 \text{ would ensure } m = n$$

nevertheless, already **two** inputs $a^m b^{n-1} \stackrel{?}{\in} L_1$ and $a^m b^n \stackrel{?}{\in} L_1$ decides $m \stackrel{?}{=} n$

→ the **truth-table reduction** from $L_{\#}$ to L_1 with two queries to the oracle L_1 :

$$0^m 1^n \in \{0, 1\}^* \longmapsto vx^m wy^{n-1} z \in \Delta^*, \quad vx^m wy^n z \in \Delta^*$$

where $x = a$, $y = b$, $v = w = z = \varepsilon$ is the empty string

satisfying $0^m 1^n \in L_{\#}$ **iff** ($vx^m wy^{n-1} z \notin L_1$ **and** $vx^m wy^n z \in L_1$)

this can be **generalized** to any DCFL' language L :

The Main Technical Result

Theorem: Let $L \subseteq \Delta^*$ be a *non-regular deterministic context-free language* over an alphabet Δ . There exist non-empty words $v, x, w, y, z \in \Delta^+$ and a language $L' \in \{L, \bar{L}\}$ (where $\bar{L} = \Delta^* \setminus L$ is the complement of L) such that

1. **either** for all $m, n \geq 0$, $vx^mwy^n z \in L'$ **iff** $m = n$,
2. **or** for all $m, n \geq 0$, $vx^mwy^n z \in L'$ **iff** $m \leq n$.

		1.				
$m \backslash n$	0	1	2	3	...	
0	$\in L'$	$\notin L'$	$\notin L'$	$\notin L'$		
1	$\notin L'$	$\in L'$	$\notin L'$	$\notin L'$		
2	$\notin L'$	$\notin L'$	$\in L'$	$\notin L'$		
3	$\notin L'$	$\notin L'$	$\notin L'$	$\in L'$		
⋮					⋱	

		2.				
$m \backslash n$	0	1	2	3	...	
0	$\in L'$	$\in L'$	$\in L'$	$\in L'$		
1	$\notin L'$	$\in L'$	$\in L'$	$\in L'$		
2	$\notin L'$	$\notin L'$	$\in L'$	$\in L'$		
3	$\notin L'$	$\notin L'$	$\notin L'$	$\in L'$		
⋮					⋱	

In particular, for all $m \geq 0$ and $n > 0$,

$$(vx^mwy^{n-1}z \notin L' \text{ and } vx^mwy^n z \in L') \text{ iff } m = n.$$

The Truth-Table Reduction From $L_{\#}$ to Any DCFL' L

implemented by a **Mealy machine** \mathcal{A}^L with **two queries** to the oracle L :

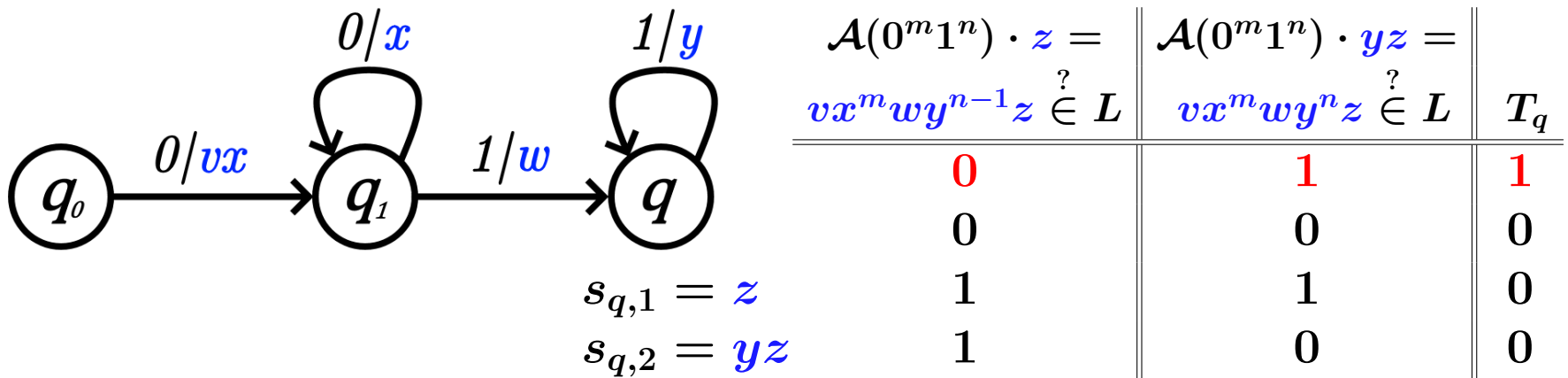
For any DCFL' language $L \subseteq \Delta^*$, **Theorem** provides $v, x, w, y, z \in \Delta^+$ and $L' \in \{L, \bar{L}\}$, say $L' = L$ (analogously for $L' = \bar{L}$), such that

$$(vx^mwy^{n-1}z \notin L \text{ and } vx^mwy^n z \in L) \text{ iff } m = n. \quad (2)$$

\mathcal{A}^L transforms the input $0^m 1^n$ to the output $\mathcal{A}(0^m 1^n) = vx^mwy^{n-1} \in \Delta^+$

(the inputs outside $0^+ 1^+$ are rejected), while moving to the state q

with $r_q = 2$ **suffixes** $s_{q,1}, s_{q,2}$ and the **truth table** $T_q : \{0, 1\}^2 \longrightarrow \{0, 1\}$

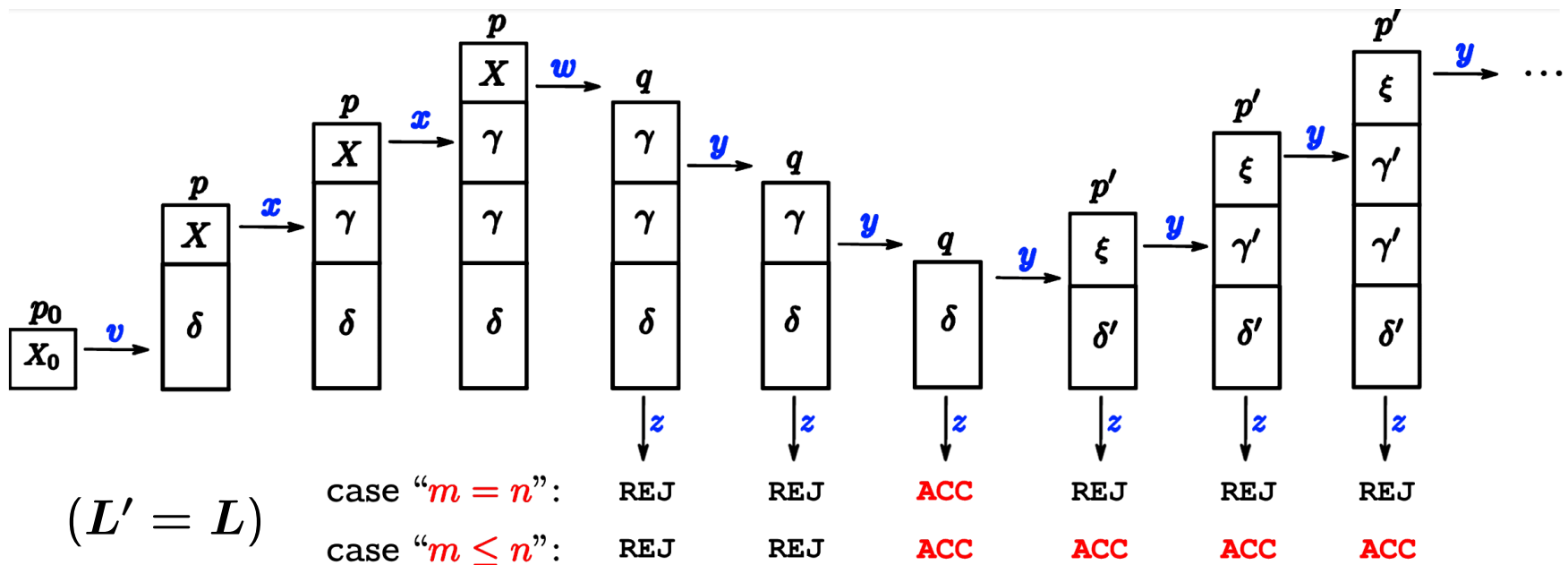


It follows from (2) that $\mathcal{L}(\mathcal{A}^L) = L_{\#}$, i.e. $L_{\#} \leq_{tt}^A L$.

Ideas of the Proof of the Theorem

(inspired by some ideas on regularity of pushdown processes due to Janar, 2020)

- any non-regular DCFL language $L \subseteq \Delta^*$ is accepted by a **deterministic pushdown automaton** \mathcal{M} by the empty stack
- since $L \notin \text{REG}$, there is a computation by \mathcal{M} , reaching configurations with an **arbitrary large stack** which is **being erased afterwards**, corresponding to $v, x, w, y, z \in \Delta^+$ such that $vx^mwy^mz \in L$ for all $m \geq 1$
- in addition, we aim to ensure that for all $m \geq 0$ and $n > 0$, $(vx^mwy^{n-1}z \notin L' \text{ and } vx^mwy^n z \in L')$ **iff** $m = n$



Ideas of the Proof of the Theorem

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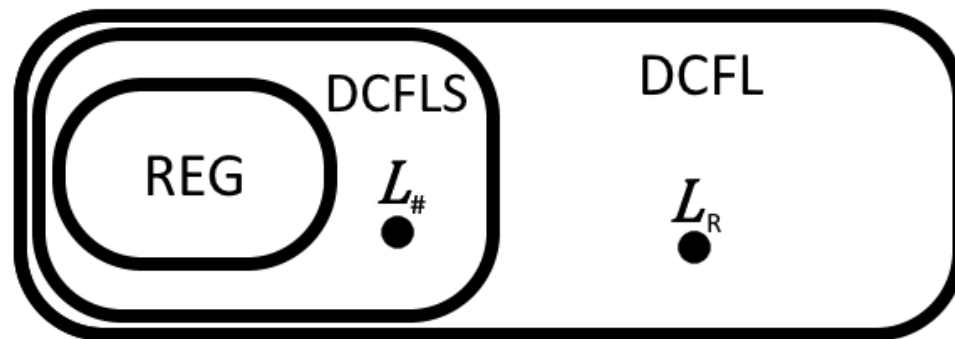
- any non-regular DCFL language $L \subseteq \Delta^*$ is accepted by a **deterministic pushdown automaton** \mathcal{M} by the empty stack
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- in addition, we aim to ensure that for all $m \geq 0$ and $n > 0$,
($vx^mwy^{n-1}z \notin L'$ **and** $vx^mwy^n z \in L'$) **iff** $m = n$
- we study the computation of \mathcal{M} on an infinite word that traverses **infinitely many pairwise non-equivalent configurations**
- we use a natural **congruence property** of language equivalence on the set of configurations (determinism of \mathcal{M} is essential)
- we apply **Ramsey's theorem** for extracting the required $v, x, w, y, z \in \Delta^+$ from the infinite computation

Basic Properties of DCFL'-Simple Problems

DCFLS is the class of DCFL'-simple problems

Proposition:

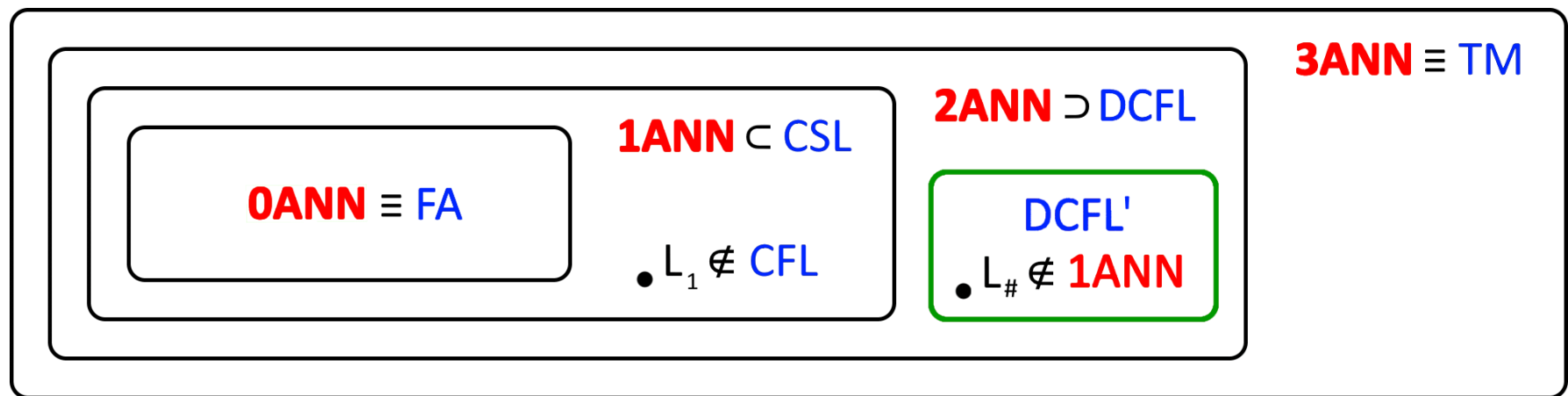
- $\text{REG} \subsetneq \text{DCFLS} \subsetneq \text{DCFL}$,
e.g. $L_{\#} \in \text{DCFLS}$, $L_R = \{w c w^R \mid w \in \{a, b\}^*\} \notin \text{DCFLS}$



- The class DCFLS is closed under complement and intersection with regular languages.
- The class DCFLS is not closed under concatenation, intersection, and union.

Application to the Analog Neuron Hierarchy

- $L_{\#} \notin \mathbf{1ANN}$ by a **nontrivial** proof (based on the Bolzano–Weierstrass theorem) which can hardly be generalized to another DCFL' language
 - $L_{\#}$ is **DCFL'-simple** under \leq_{tt}^A
 - the reduction \leq_{tt}^A to any $L \in \mathbf{1ANN}$ can be implemented by $\mathbf{1ANN}$
- the known lower bound $L_{\#} \notin \mathbf{1ANN}$ for a single DCFL'-simple problem $L_{\#}$ is expanded to the whole class: $\mathbf{DCFL}' \cap \mathbf{1ANN} = \emptyset$



→ $\text{DCFL} \cap 1\text{ANN} = 0\text{ANN}$

