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## Energy Complexity of Fully-Connected Layers

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# Efficient Processing of Deep Neural Networks (DNNs)

- DNNs are widely used for many **artificial intelligence (AI) applications** including computer vision, speech recognition, natural language processing, robotics etc.
- DNNs achieve state-of-the-art **accuracy** on many AI tasks at the cost of high computational **complexity** (tens of millions of operations for a single inference)
- **energy efficiency** of DNN implementations in **low-power hardware** operated on batteries (e.g. cellphones, smartwatches, smart glasses) becomes crucial

→ **reducing the energy cost of DNNs:**

1. **approximate computing** methods (e.g. low floating-point precision, approximate multipliers) in error-tolerant applications such as image classification
2. **hardware design**: energy-efficient implementations of DNNs on various hardware platforms including GPUs, FPGAs, in-memory computing architectures

## Energy Consumption of DNNs

- the **power consumption** of a specific DNN hardware implementation can be measured or calculated/estimated (using physical laws)
- a plethora of methods that minimize the energy consumption of a given DNN on various hardware architectures  
(Sze,Chen,Yang,Emer:Efficient Processing of Deep Neural Networks,2020)
- automated by **software tools**, for example, the **Timeloop** program maps a convolutional layer specified by its parameters onto a given hardware architecture (e.g. **Simba**, **Eyeriss**) that is optimal in terms of power consumption estimated by **Accelergy** tool which reports the energy statistics
- it has been empirically observed that the energy for DNN inference is mainly consumed by
  1. **data movement** inside a memory hierarchy (approx. 70%) corresponding to the **data energy**  $E_{\text{data}}$
  2. **multiply-and-accumulate (MAC) operations** (approx. 30%):  $S \leftarrow S + wx$  on floats  $S, w, x$ , corresponding to the **computation energy**  $E_{\text{comp}}$

$$\longrightarrow E = E_{\text{data}} + E_{\text{comp}}$$

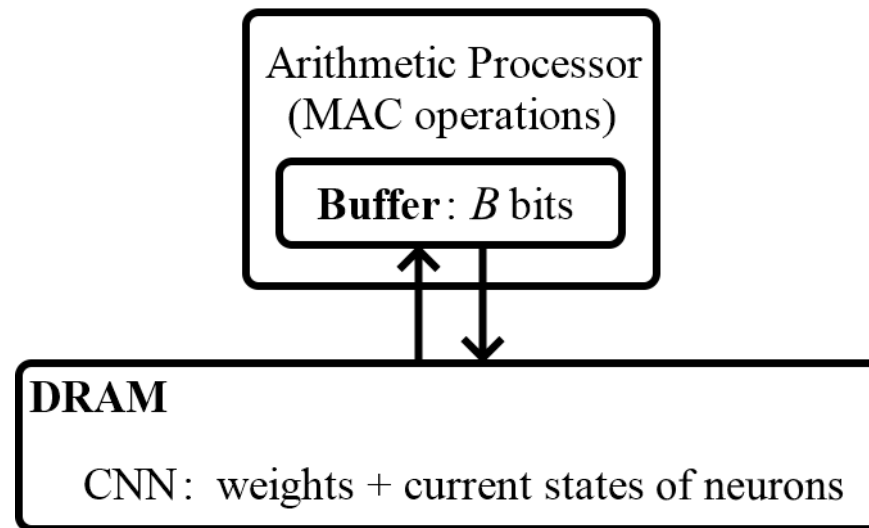
# Motivations for Energy Complexity Model of DNNs

- formal computational models are fundamental for defining robust complexity measures and classes, e.g. Turing machine for efficient (polynomial-time) computations characterized by the complexity class P (vs. NP)
- energy as a new computational resource alternative to computation time and memory space which are quantified asymptotically using Big O notation
- lower bounds on computational complexity establish principal limits of efficient algorithms

## → Simplified Hardware-Independent Model of Energy Complexity for DNNs:

- abstracts from hardware implementation details, ignoring specific aspects and parameters of real-world machine
- preserves the asymptotic energy of DNN inference
- focuses, for simplicity, on separate convolutional layers, avoiding global energy optimization across multiple CNN (convolutional neural network) layers

# Energy Complexity Model for CNNs (Šíma, Vidnerová, Mrázek, 2023)



- only **two** memory levels called **DRAM** (large, slow, and cheap memory) and **Buffer** of limited capacity  $B$  bits (small, fast, and expensive memory)
- CNN **weights** and **states** are stored in DRAM
- **arithmetic operations** are performed over numerical data stored in Buffer
- the **dataflow** controls the transfer of data between DRAM and Buffer
- the **main idea**: the three arguments stored in DRAM, input  $x$ , weight  $w$ , and accumulated output  $S$  of each MAC operation  $S \leftarrow S + wx$  performed for evaluating a given **convolutional layer**, must occur in Buffer simultaneously

## Energy Complexity Measure $E = E_{\text{data}} + E_{\text{comp}}$

for a given dataflow:

$E_{\text{data}}$  is proportional to the number of DRAM accesses

$E_{\text{comp}}$  is proportional to the number of MACs over data in Buffer

**Example:** the dataflow with write-once outputs: each output of a single neuron is completely evaluated at once in Buffer before writing to DRAM

its theoretical energy complexity  $E_{\text{data}}$  in terms of convolutional layer parameters:

$E_{\text{data}} = O(d)$  where  $d$  is the layer depth (the number of feature maps)

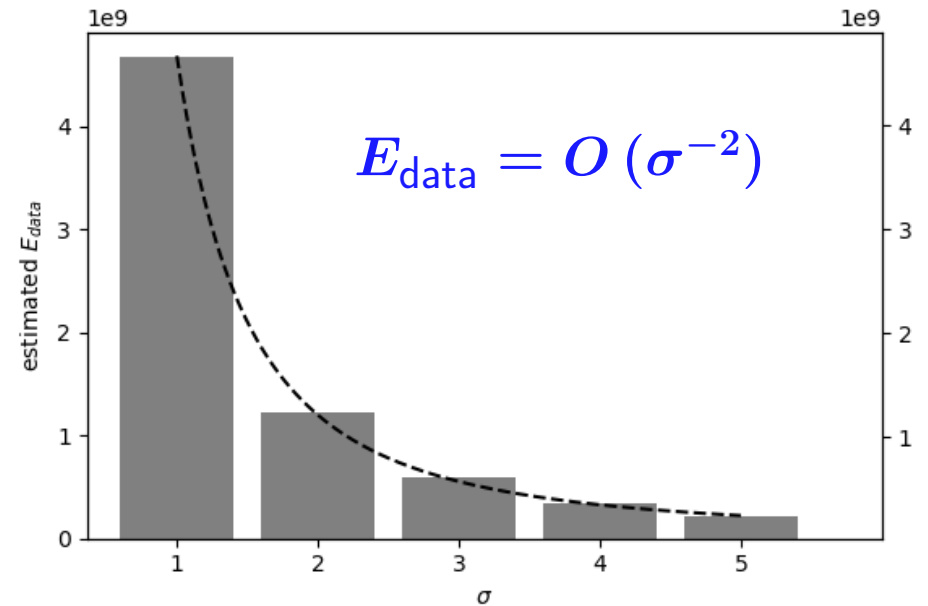
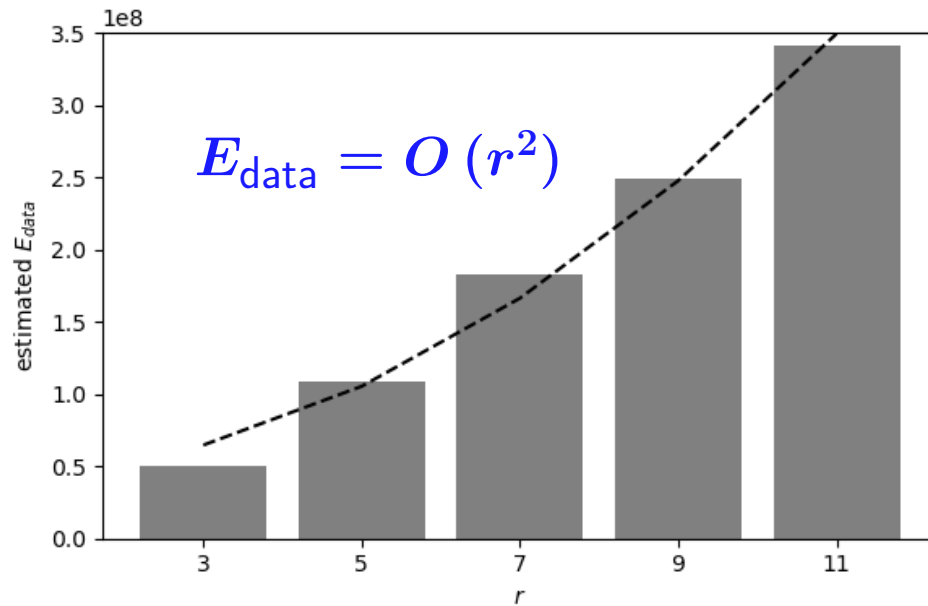
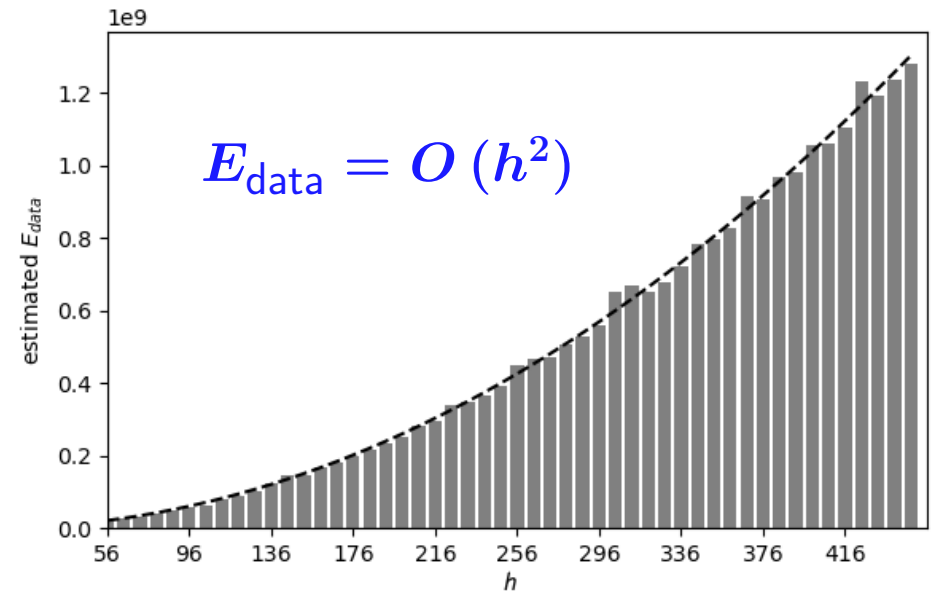
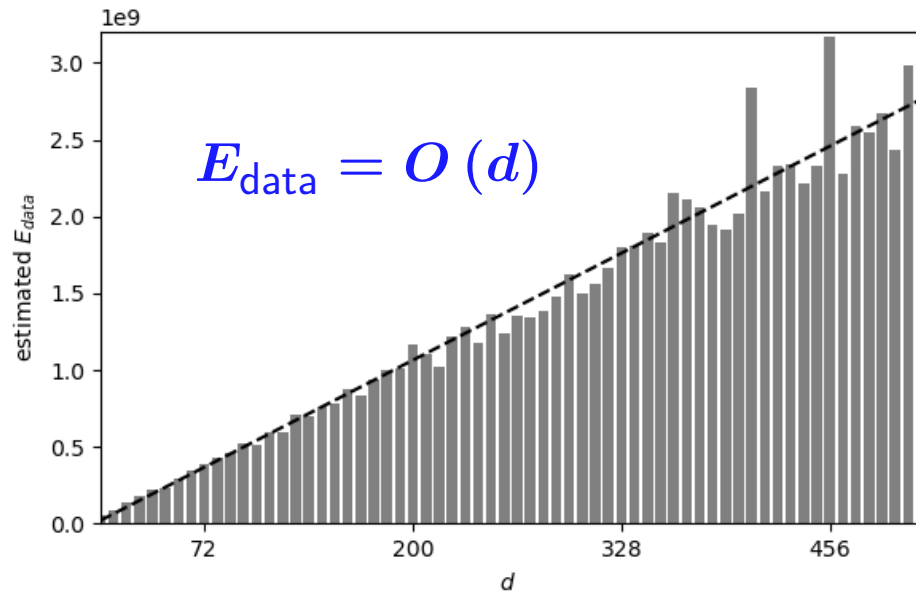
$E_{\text{data}} = O(h^2)$  where  $h$  is the layer height=width (the size of feature maps)

$E_{\text{data}} = O(r^2)$  where  $r$  is the size of receptive fields

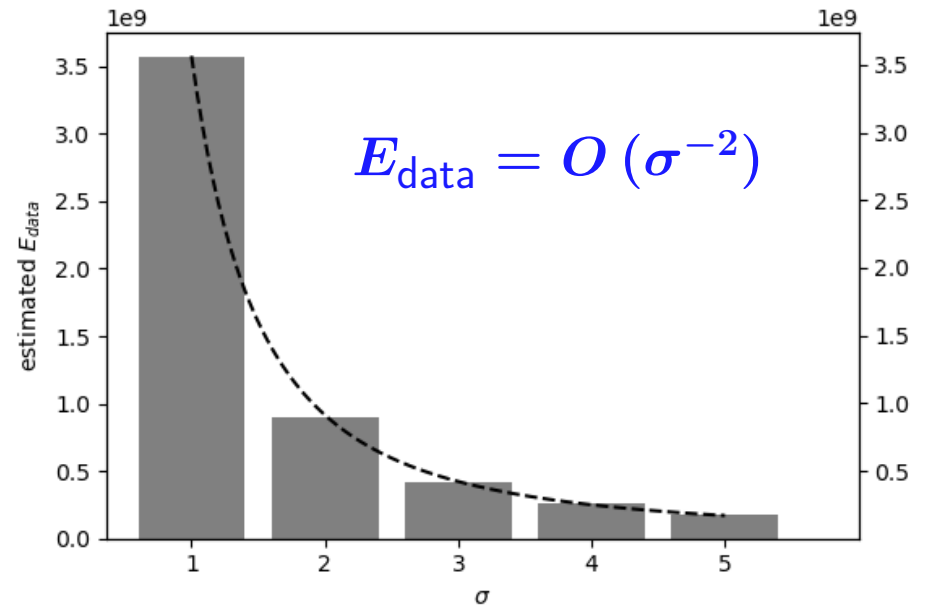
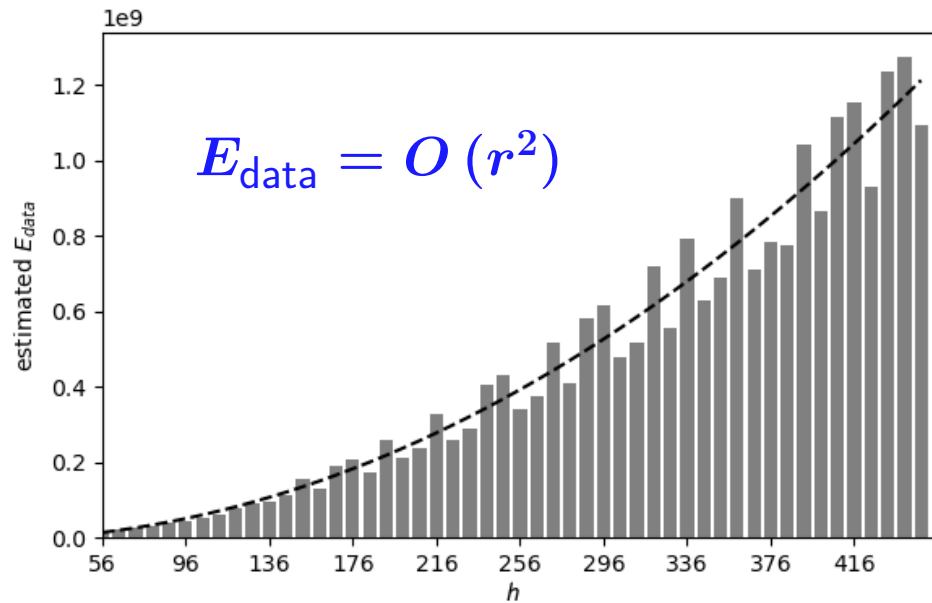
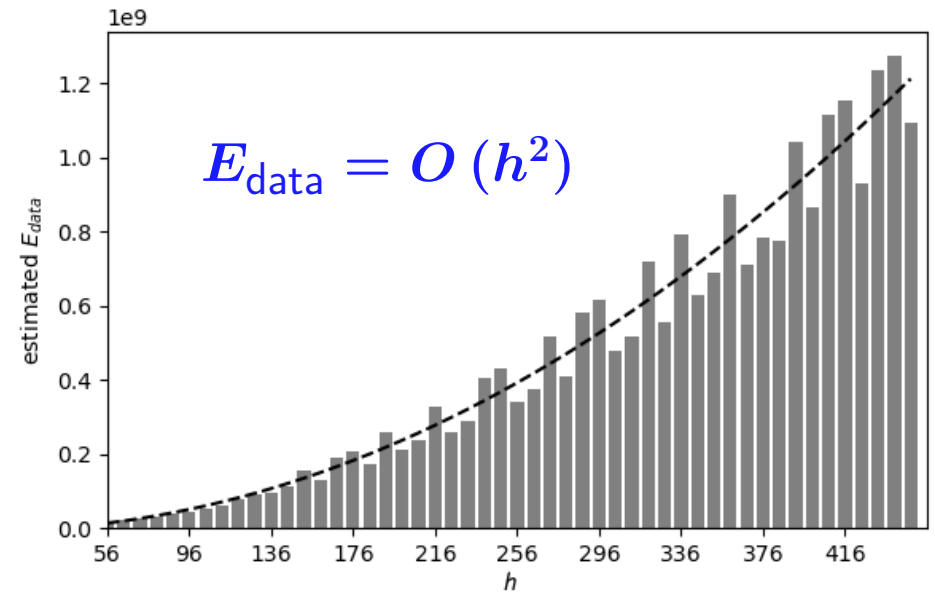
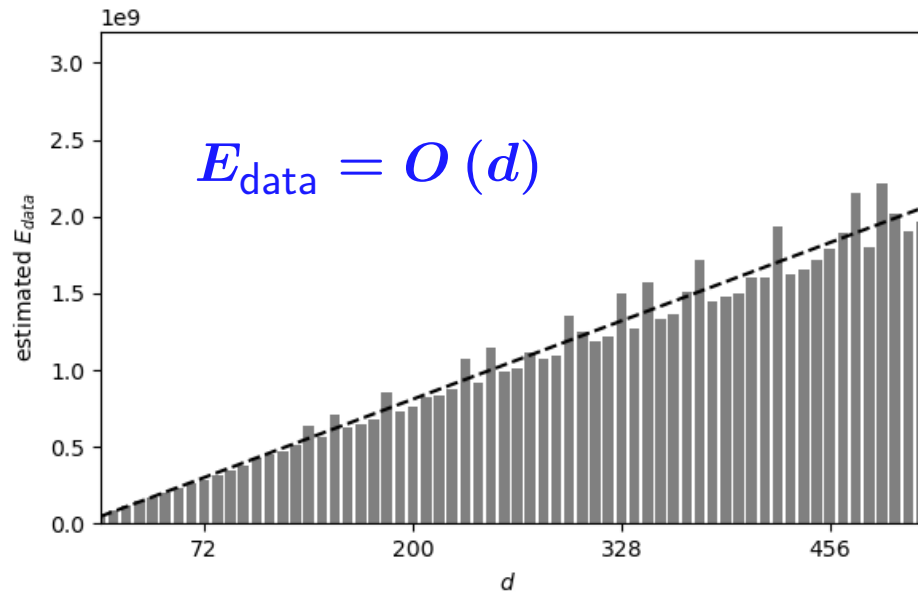
$E_{\text{data}} = O(\sigma^{-2})$  where  $\sigma$  is the stride

fits very well (by linearity/quadraticity statistical tests) the real power consumptions estimated by the TimeLoop/Accelergy software platform that maps a convolutional layer of given parameters onto the Simba and Eyeriss hardware architectures:

# Experimental Validation of Energy Complexity Model for Simba

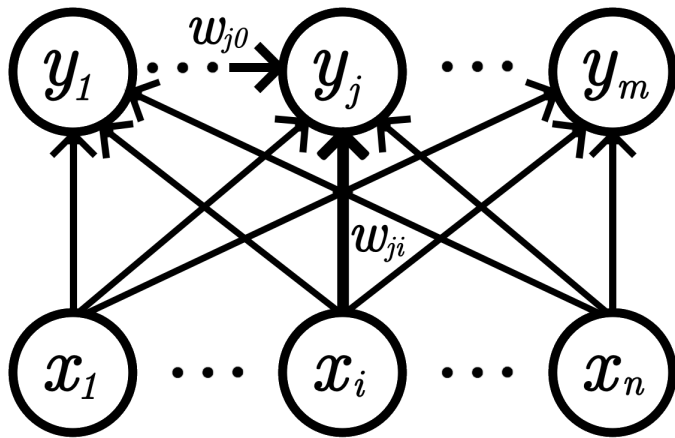


# Experimental Validation of Energy Complexity Model for Eyeriss





## Energy Complexity of Fully-Connected (FC) Layers



$$y_j = \text{ReLU} \left( w_{j0} + \sum_{i=1}^n w_{ji} x_i \right)$$

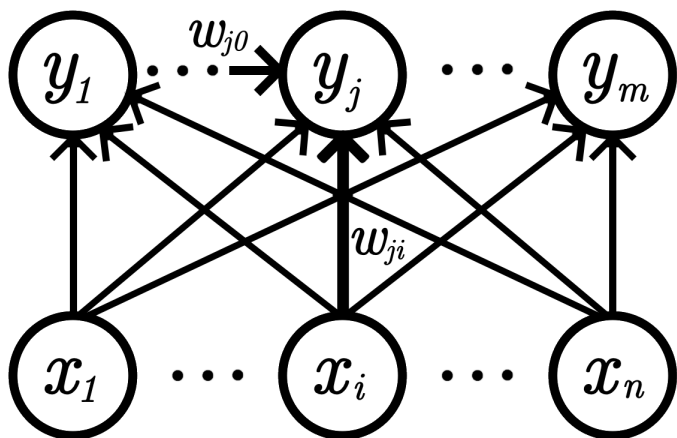
for every  $j = 1, \dots, m$

- 1. Computation Energy:** each of the  $m$  outputs is initialized with bias  $w_{j0}$  and requires  $n$  MAC updates

$$\longrightarrow E_{\text{comp}} = C_b m n$$

where  $C_b$  is a non-uniform constant specific to  $b$ -bit MAC circuit inside a micro-processor

## Energy Complexity of Fully-Connected (FC) Layers



$$y_j = \text{ReLU} \left( w_{j0} + \sum_{i=1}^n w_{ji} x_i \right)$$

for every  $j = 1, \dots, m$

2. **Data Energy:** we count DRAM accesses for weights, outputs, and inputs separately  $\longrightarrow E_{\text{data}} = E_{\text{weights}} + E_{\text{outputs}} + E_{\text{inputs}}$

- for each of the  $mn$  pairs of inputs  $x_i$  and (accumulated) outputs  $y_j$  (partial sums) that occurs in Buffer, the corresponding unique weight  $w_{ji}$  is read once
- each output read into Buffer is later written to DRAM

$$\longrightarrow E_{\text{data}} = b(mn + 2\mu + \nu) \quad (\text{it thus suffices to minimize } 2\mu + \nu)$$

where  $b$  is the number of bits in the float representation;

$\mu$  and  $\nu$  is the number of DRAM accesses to read outputs and inputs, respectively

# A Simple General Lower Bound on Data Energy Complexity

**assumption:** the Buffer capacity is  $B = b(\beta + 1)$  bits

where  $\beta > 1$  floating-point numbers of size  $b$  bits are used for inputs and outputs while the remaining one serves for weights

**observation:** we get at most  $\beta - 1$  input-output pairs by reading one input/output into Buffer  $\times$  all the  $mn$  pairs need to meet in Buffer

$$\longrightarrow \mu + \nu \geq \frac{mn}{\beta - 1} \text{ DRAM reads } \& \text{ we know } \mu \geq m$$

the trivial lower bound on the data energy follows:

$$E_{\text{data}} = b(mn + 2\mu + \nu) \geq b\left(mn + \frac{mn}{\beta - 1} + m\right)$$

which can slightly be improved in general case:

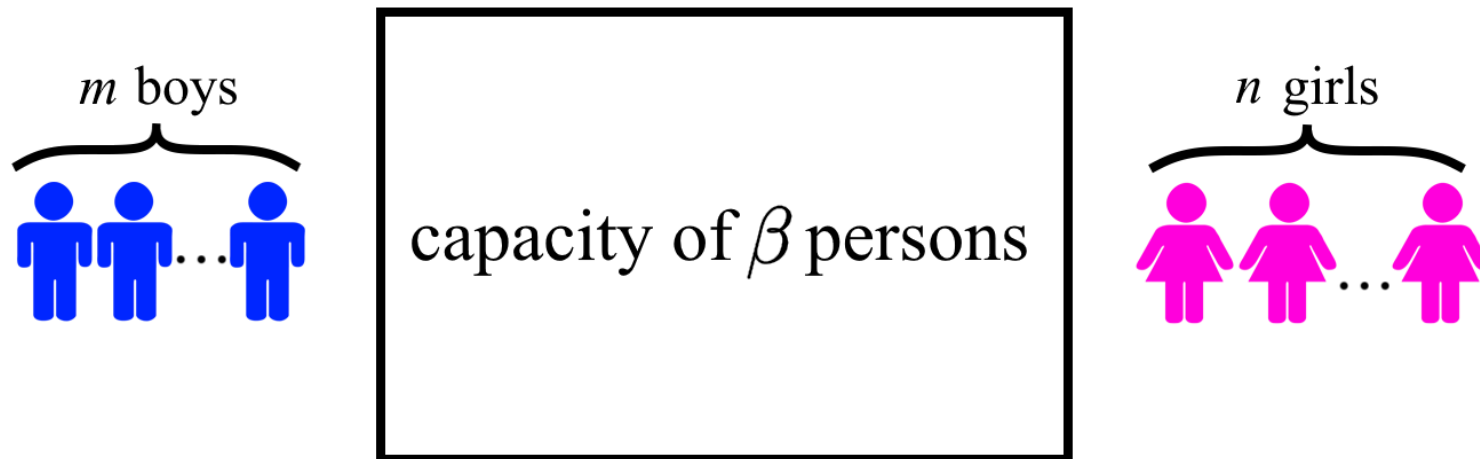
$$E_{\text{data}} \geq b\left(mn + \frac{mn}{\beta - 1} + m + \frac{\beta - 2}{(\beta - 1)^2} \min(m, n) + 1\right)$$

# Meeting of All Pairs in a Limited-Capacity Room

popular formulation of the data energy problem for FC layers

( $m$  outputs  $\equiv$  boys,  $n$  inputs  $\equiv$  girls, Buffer  $\equiv$  room of capacity  $\beta$  persons,  $\mu + \nu$  DRAM reads  $\equiv$  boy + girl entrances):

What is the smallest number  $\mu + \nu$  of person entrances in a room that can hold at most  $\beta$  people, so that each of the  $m$  boys meets each of the  $n$  girls in that room? (only one person can enter the room at a time, replacing someone inside if the room is full)



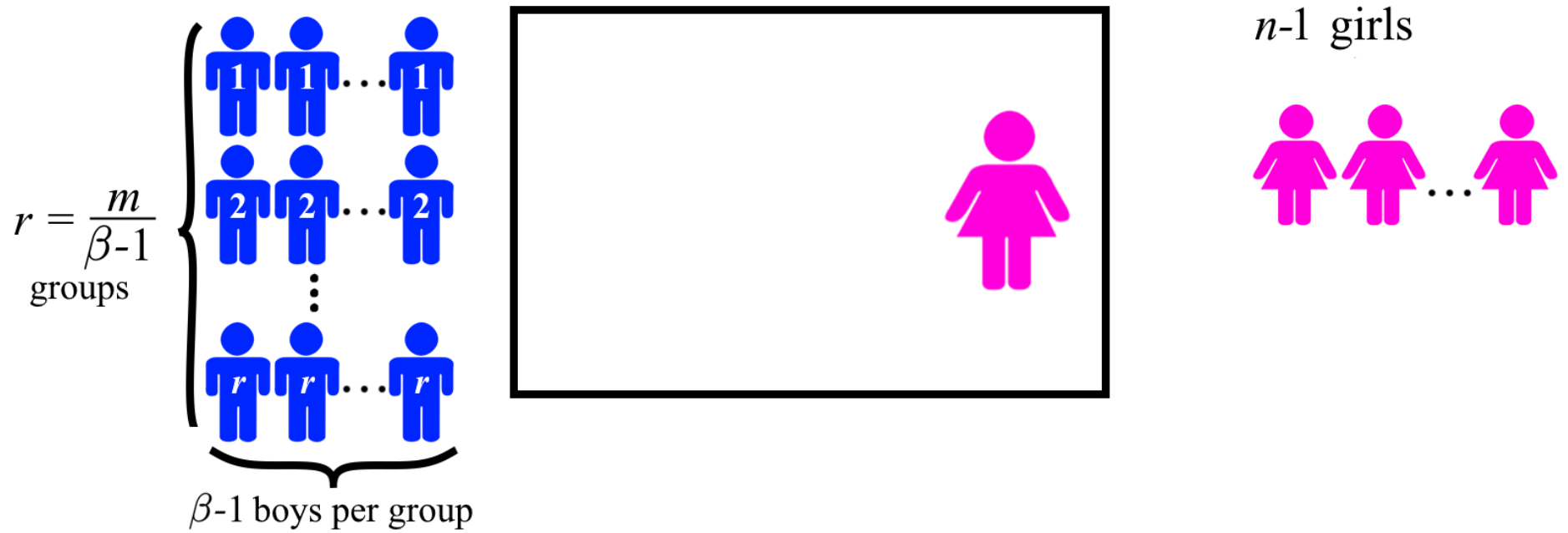
# An Upper Bound on Data Energy Complexity of FC layers

the dataflow by solving the problem of meeting pairs in a limited-capacity room



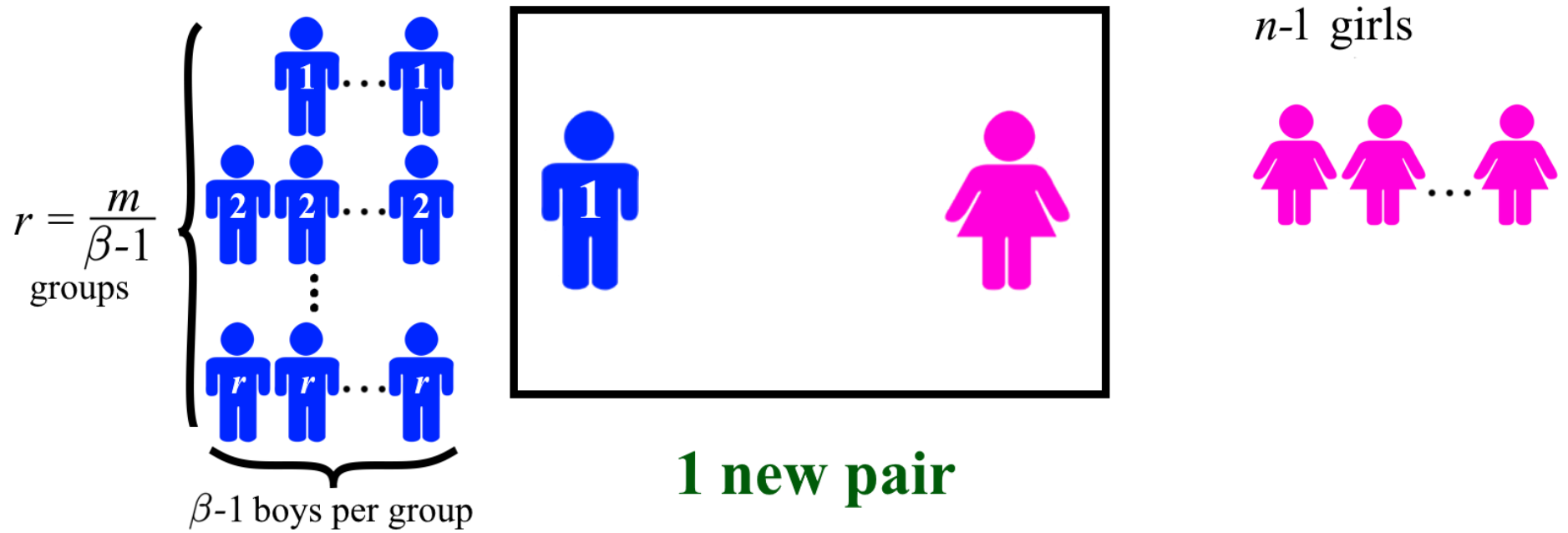
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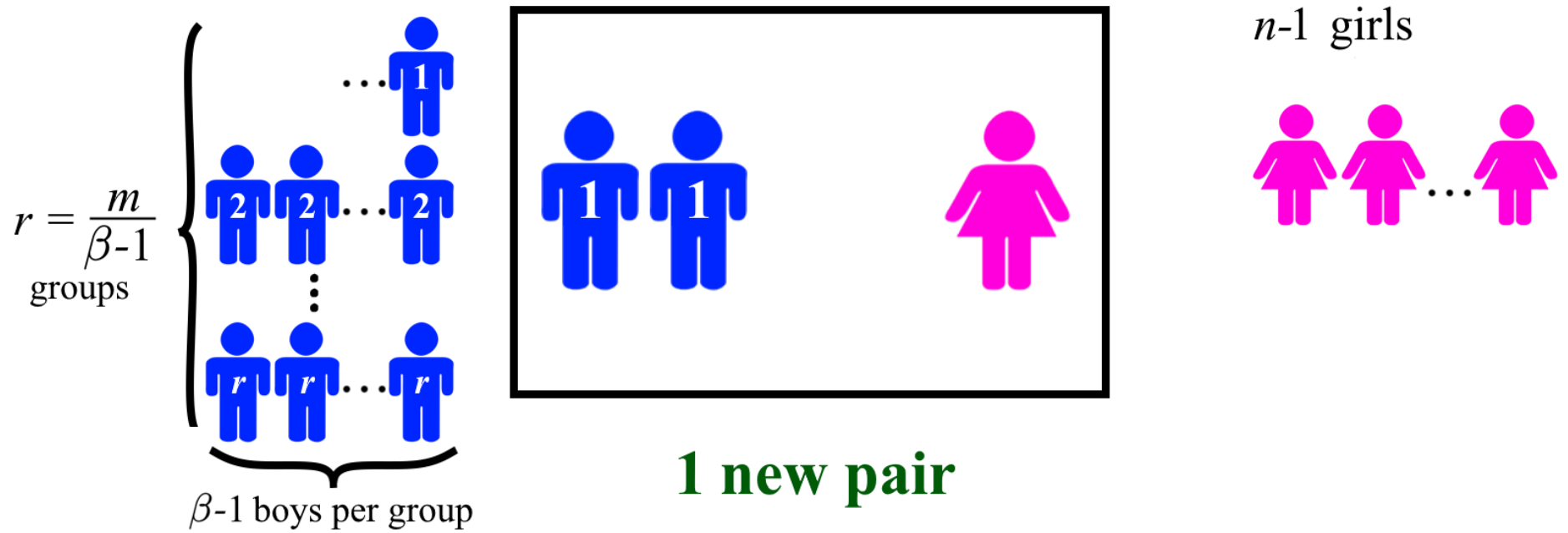
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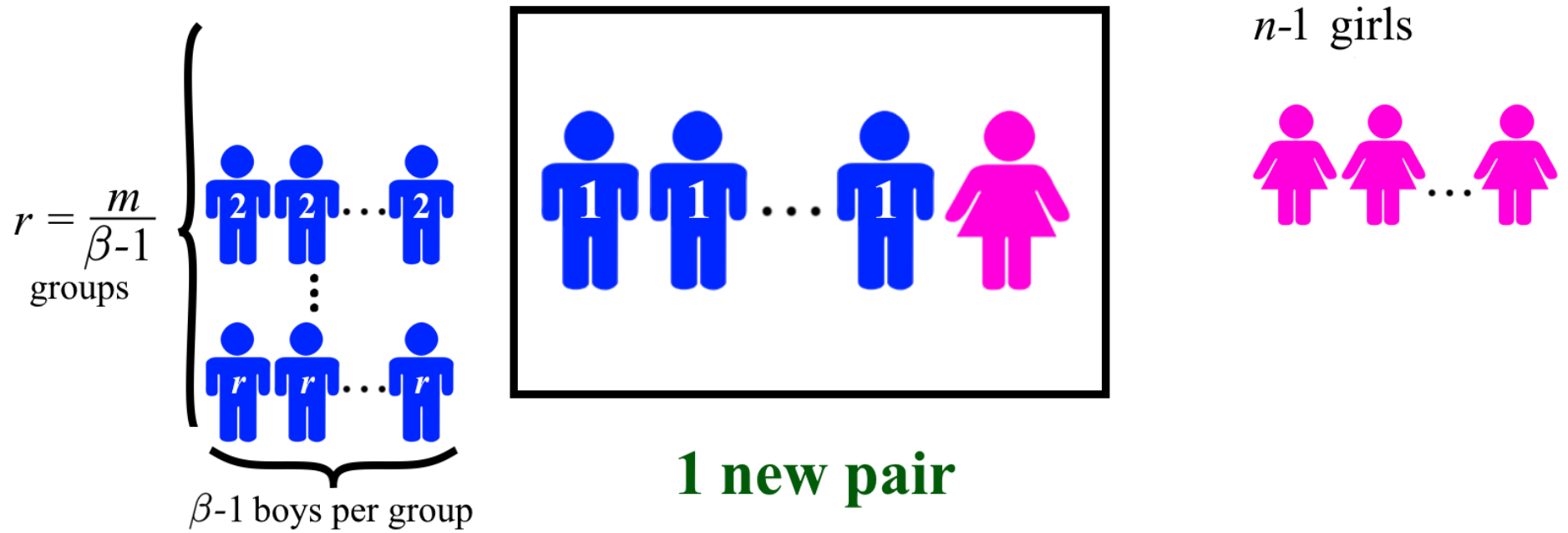
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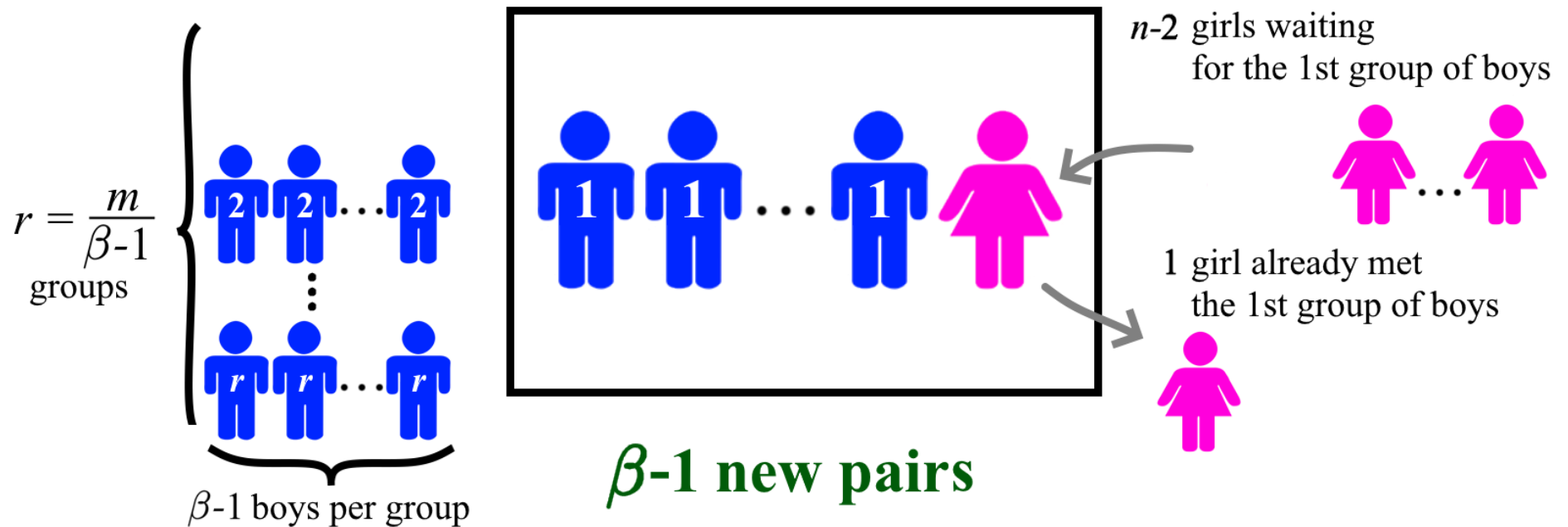
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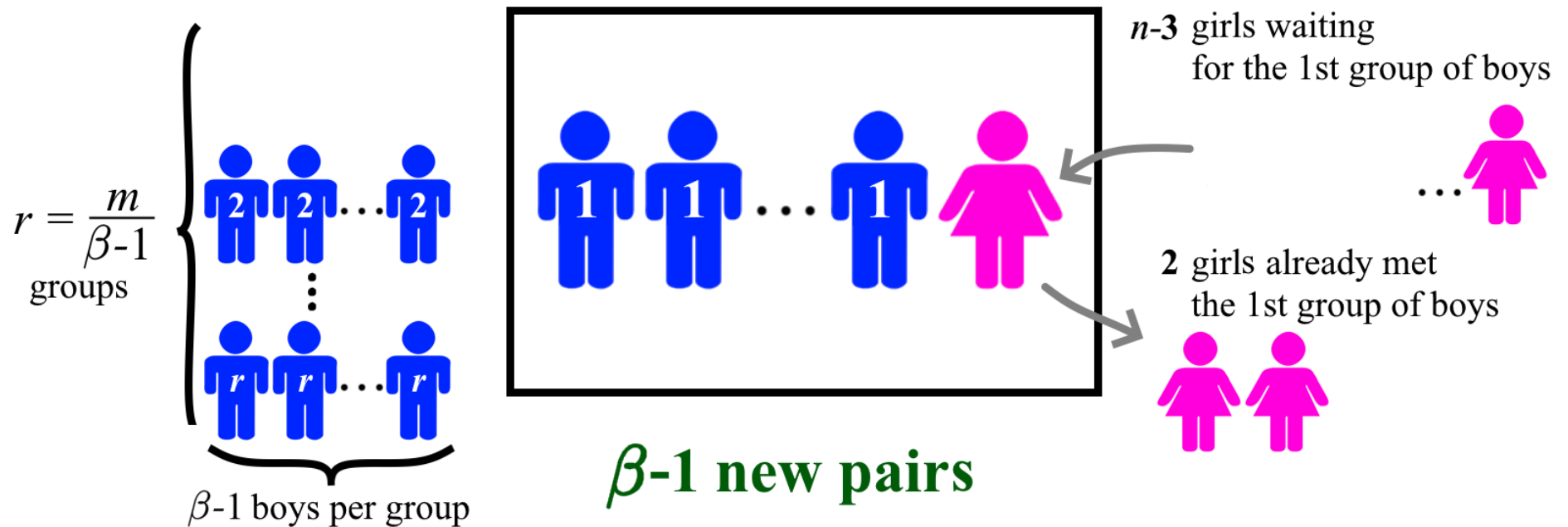
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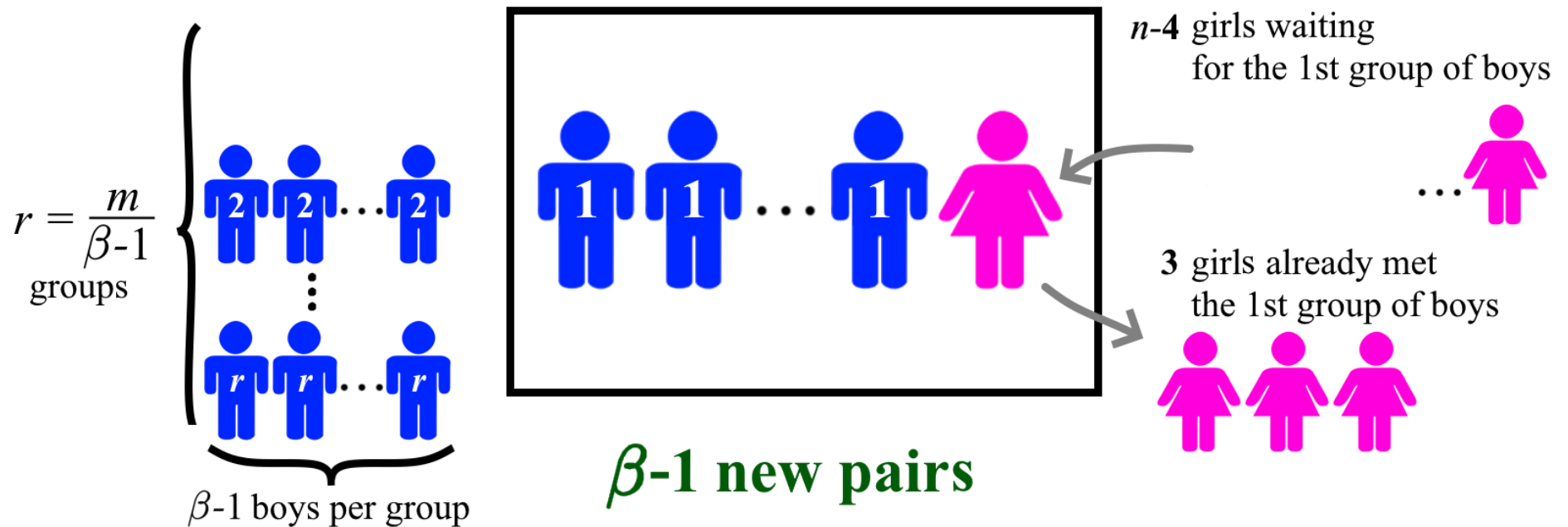
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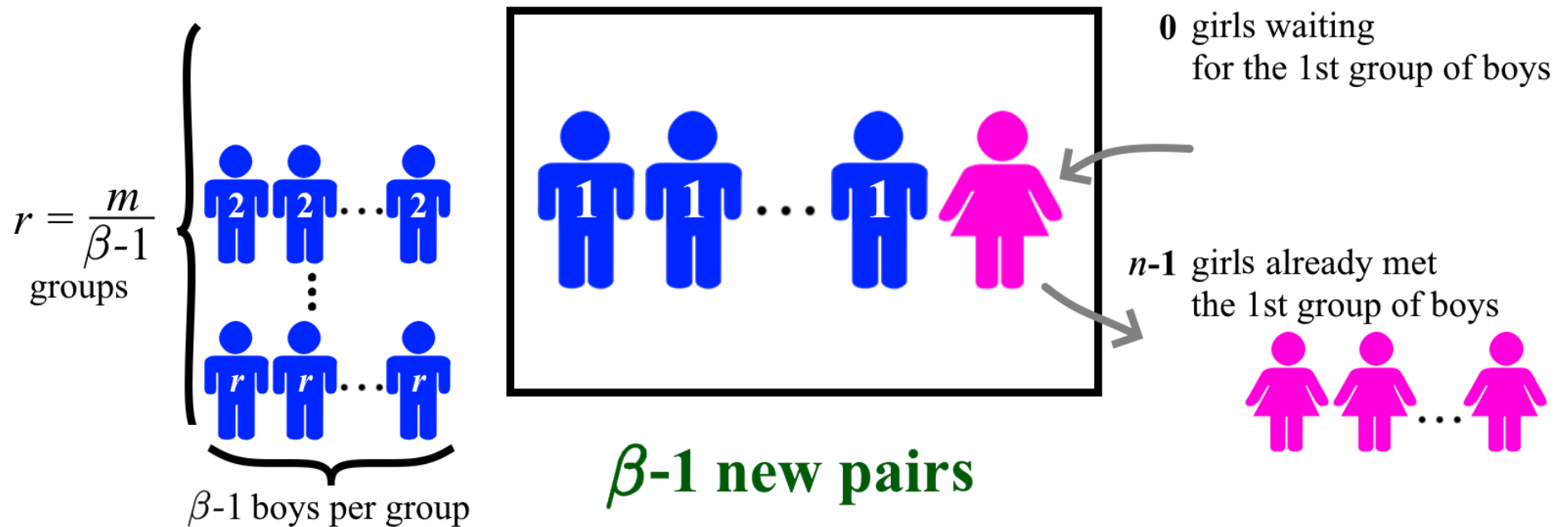
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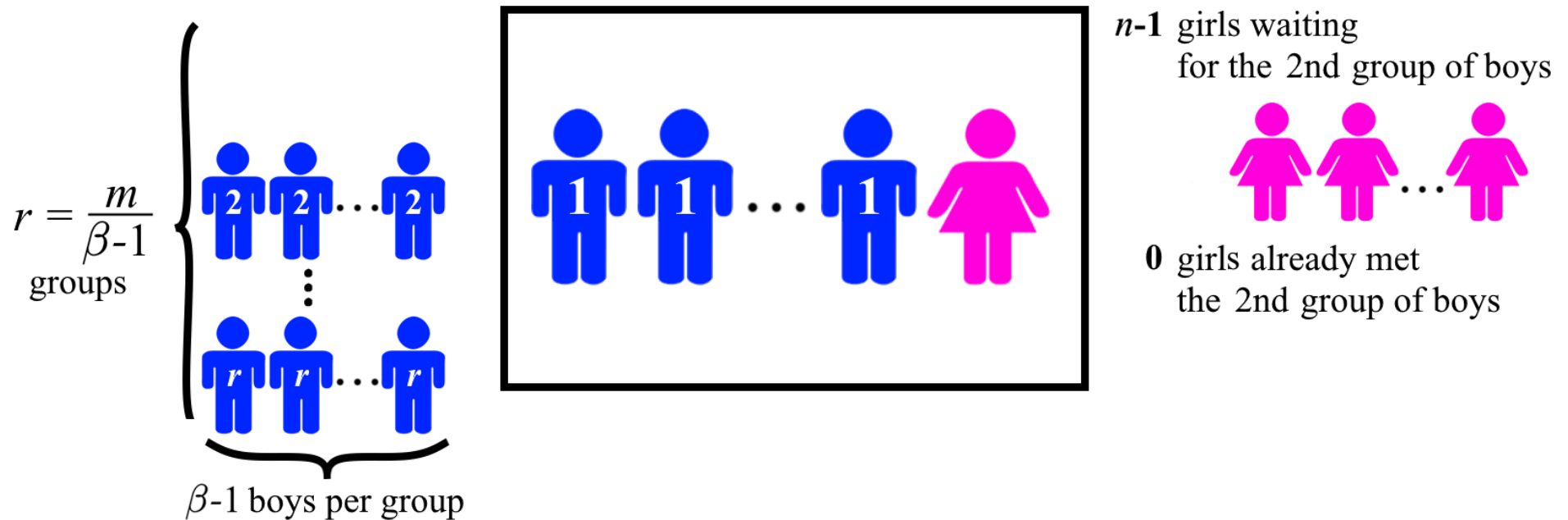
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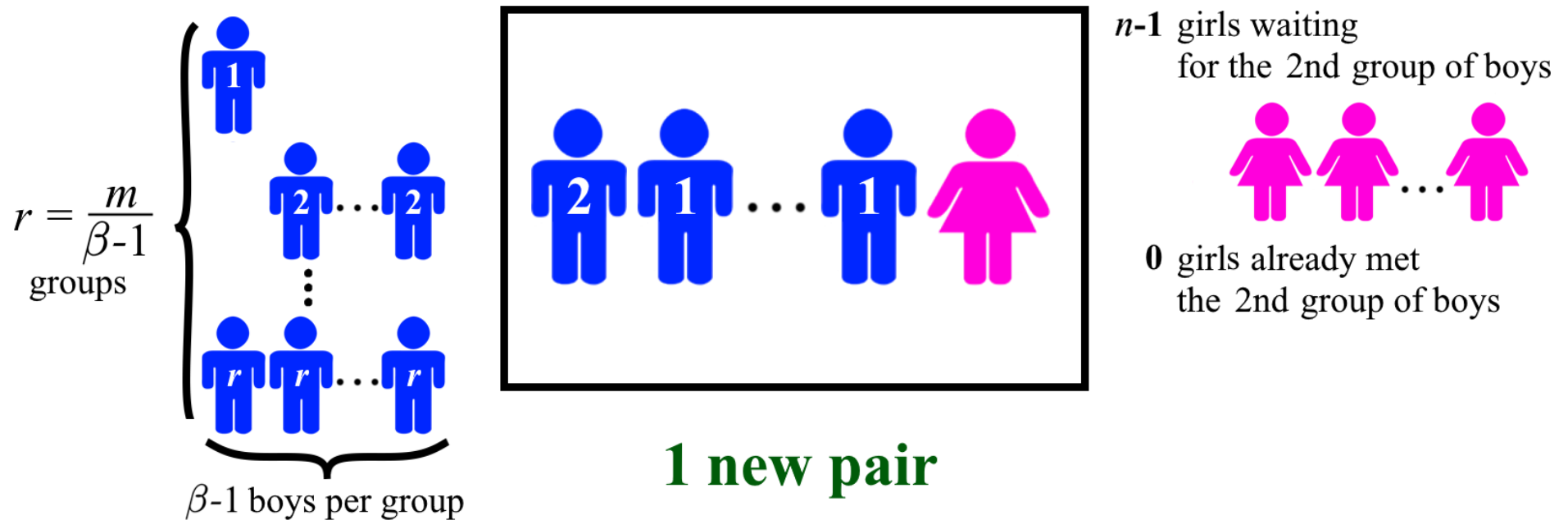
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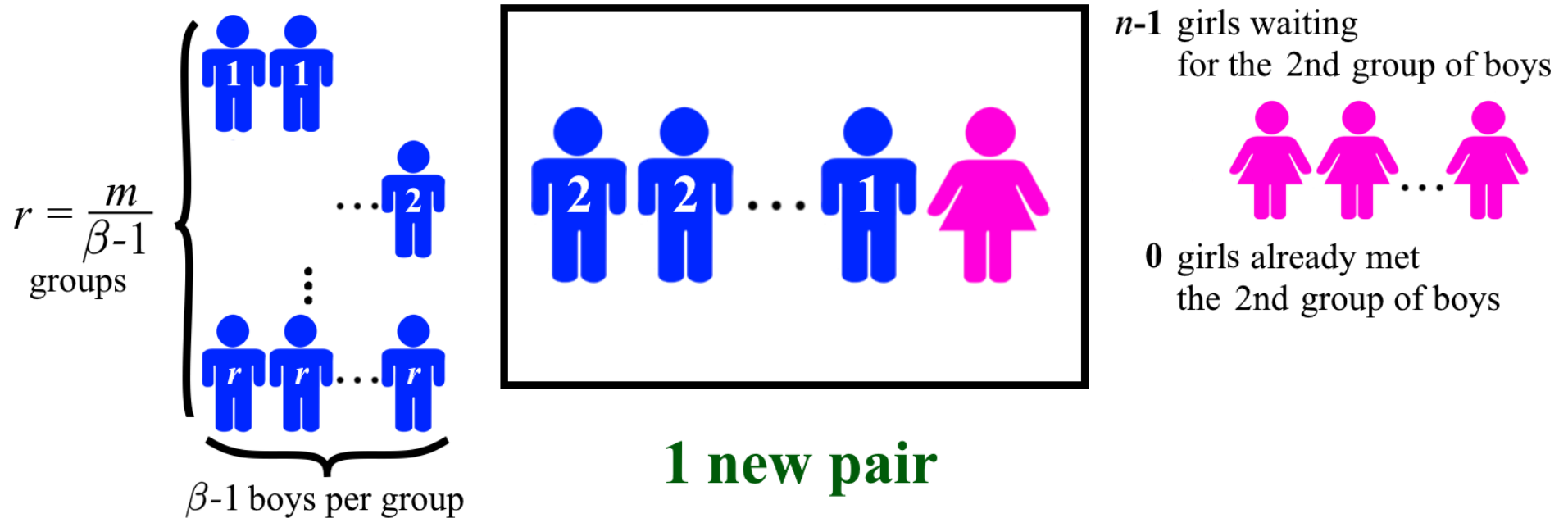
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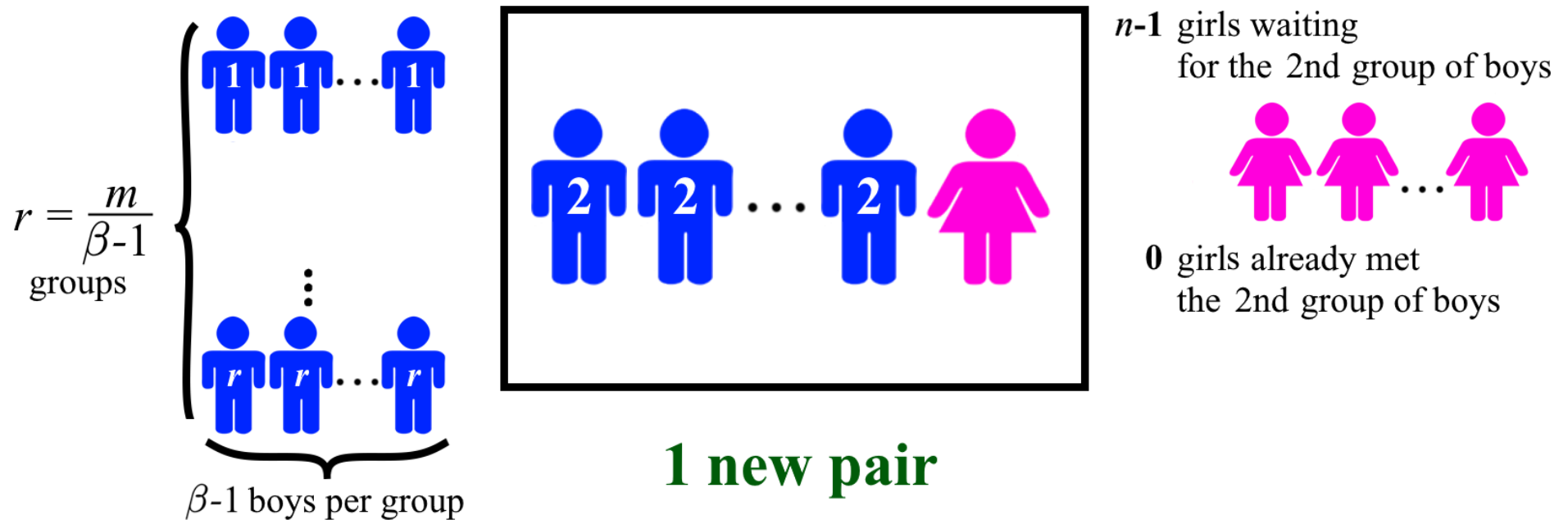
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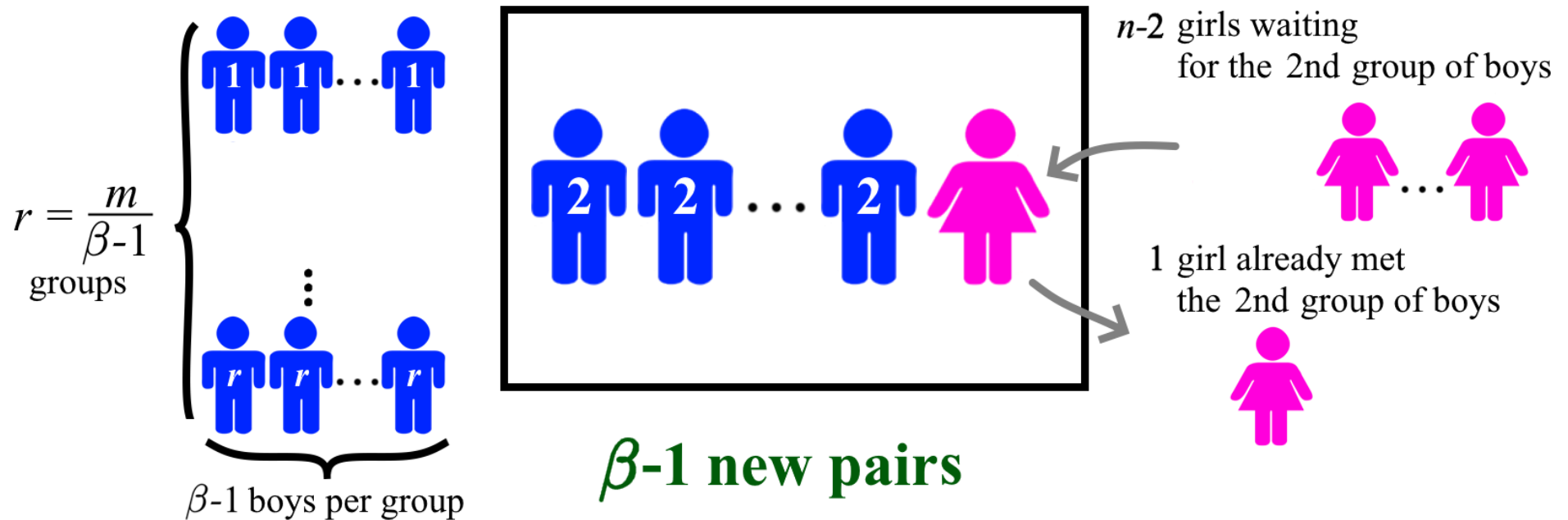
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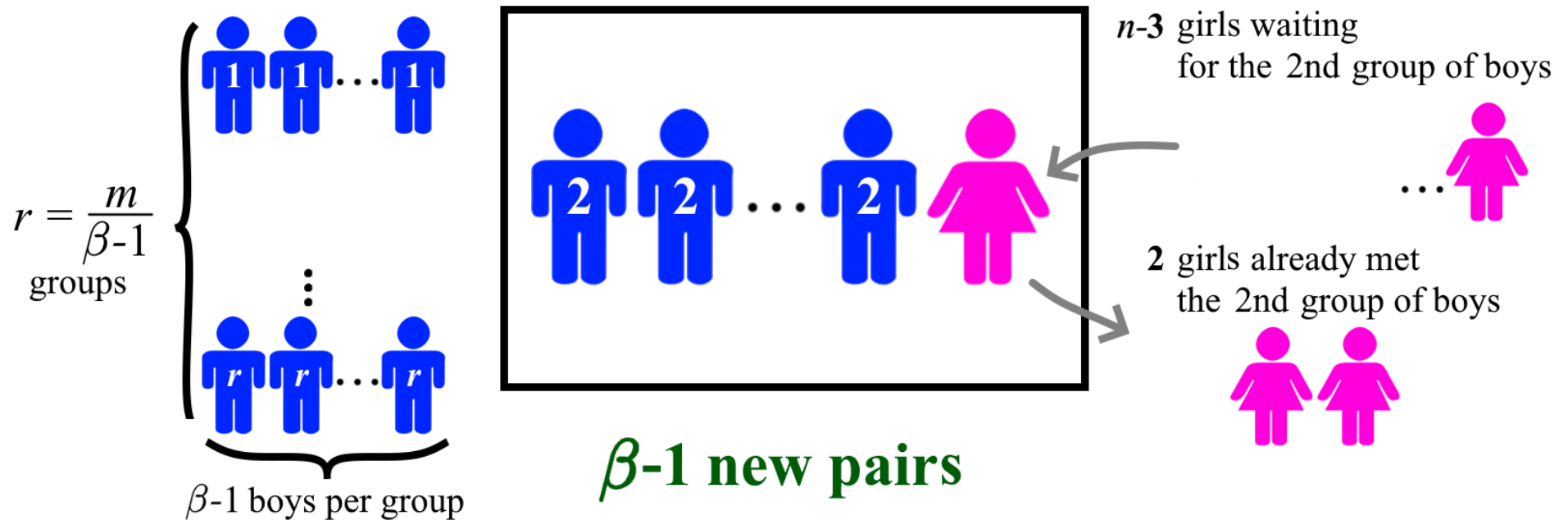
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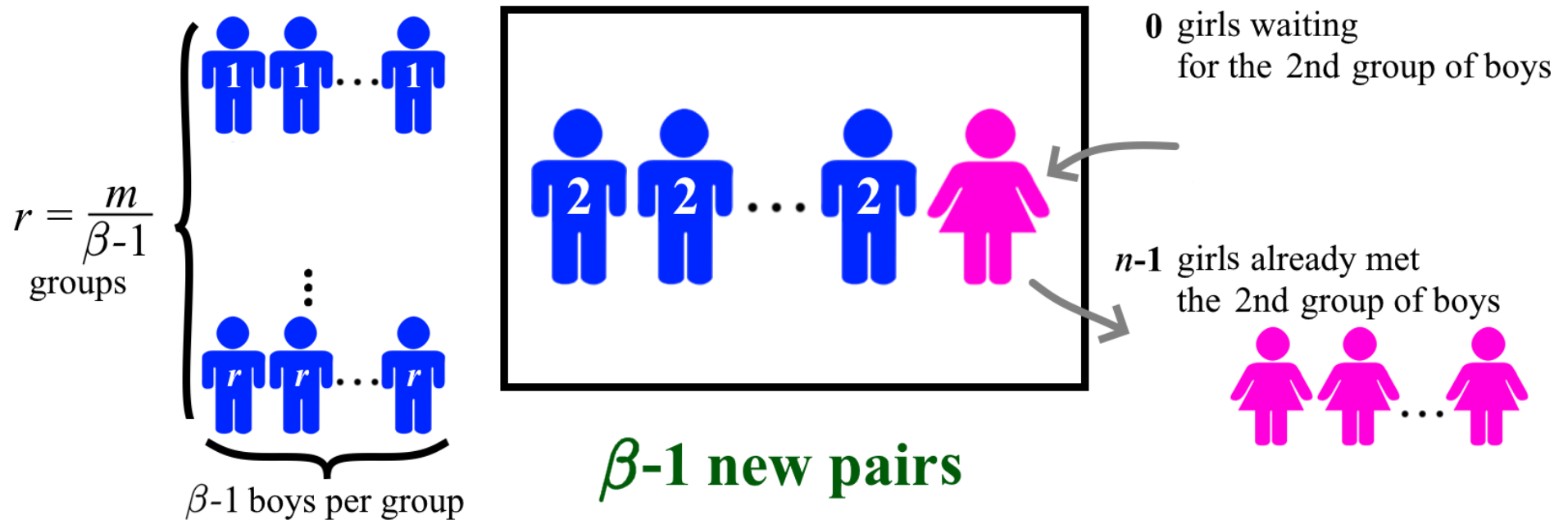
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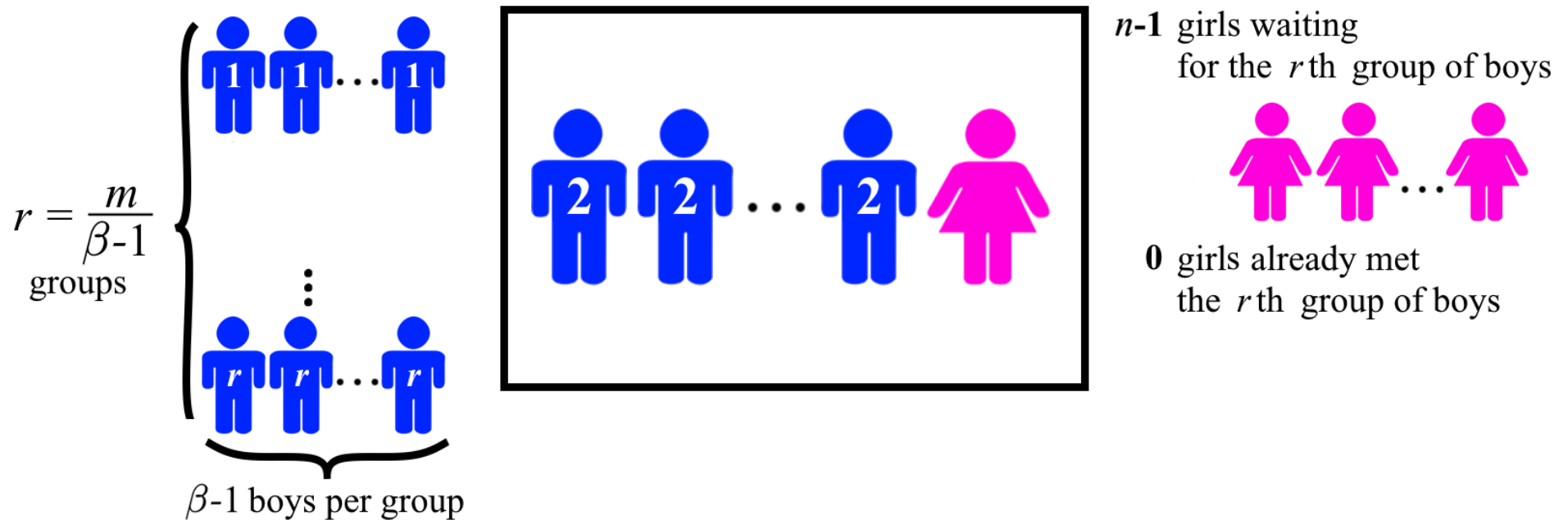
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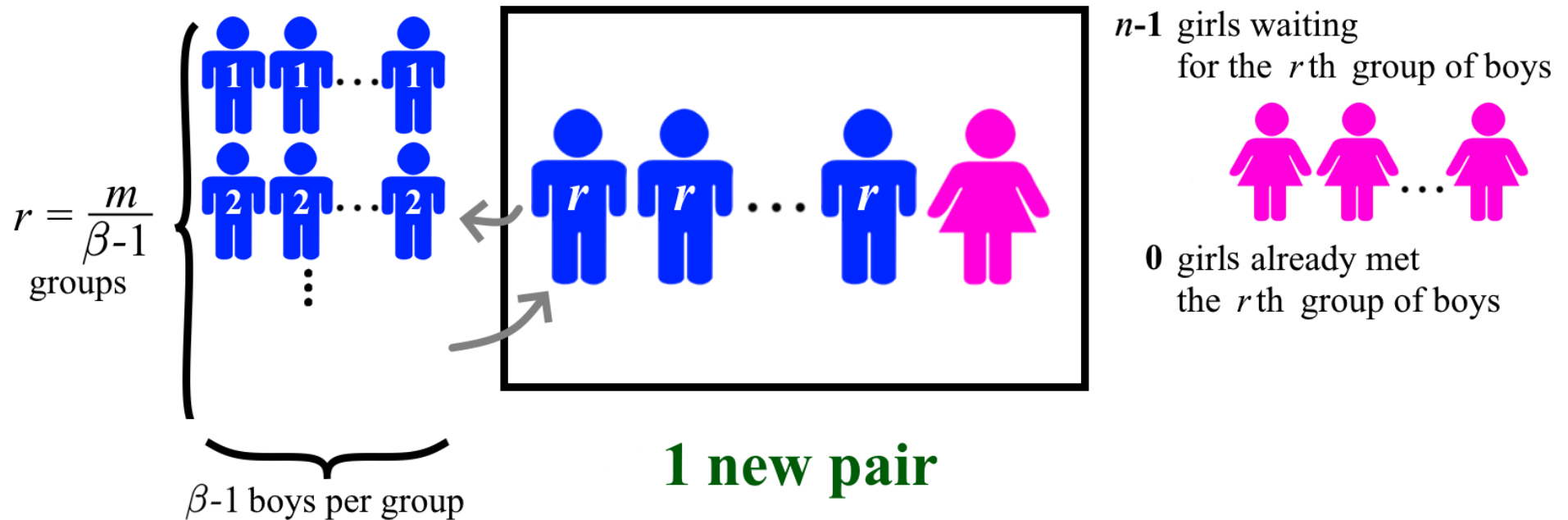
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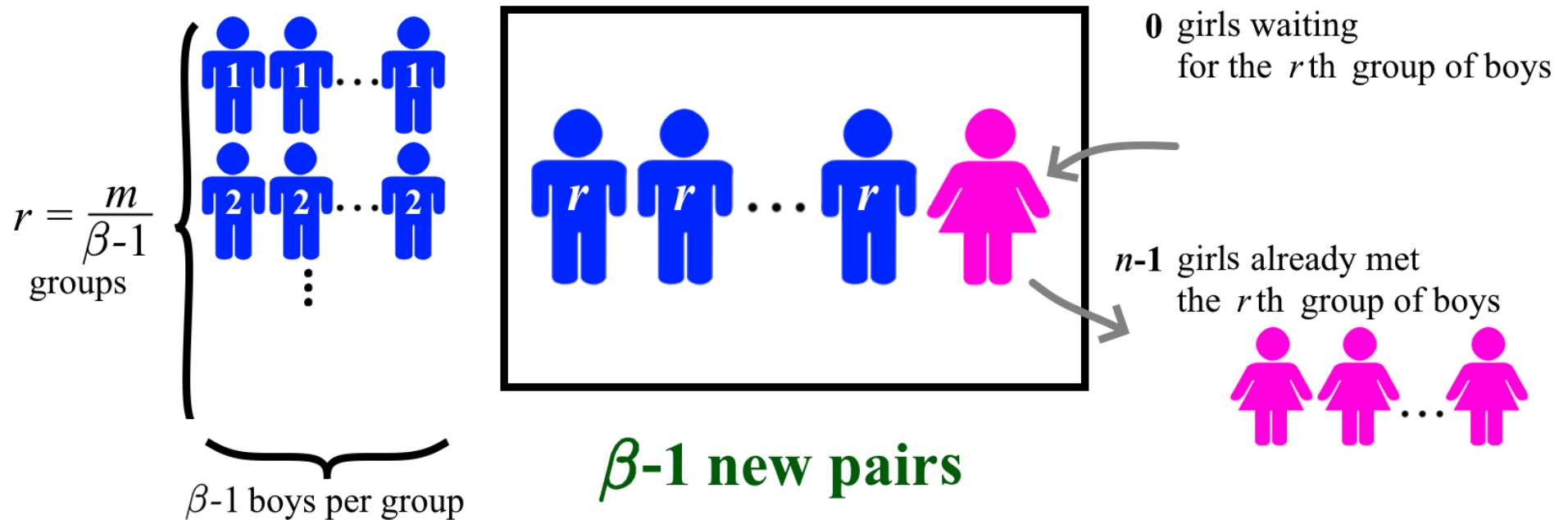
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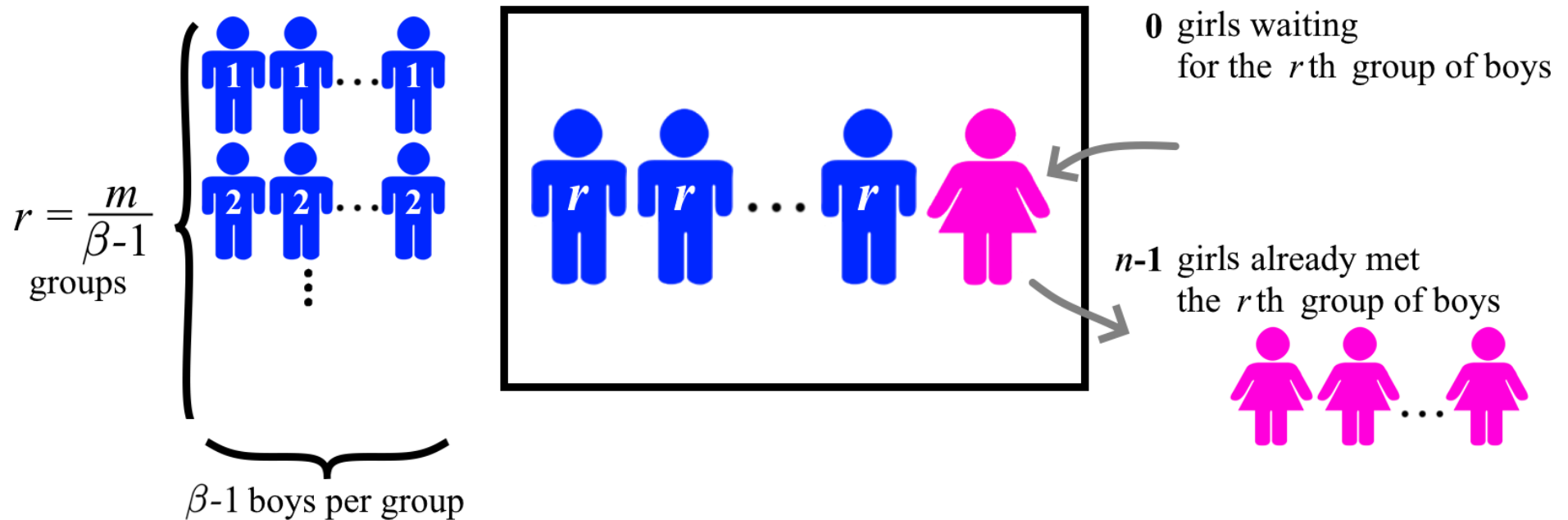
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# An Upper Bound on Data Energy Complexity of FC layers

the dataflow by solving the problem of meeting pairs in a limited-capacity room



$\rightarrow \mu = m$  boy entrances &  $\nu = \frac{m}{\beta-1} (n-1) + 1$  girl entrances



## An Upper Bound on Data Energy Complexity of FC layers

the dataflow by solving the problem of meeting pairs in a limited-capacity room

$$E_{\text{data}} = b (mn + 2\mu + \nu)$$

$$\text{where } 2\mu + \nu = \frac{m}{\beta - 1} (n - 1) + 2m + 1$$

$$\longrightarrow E_{\text{data}} \leq b \left( mn + \frac{m(n - 1)}{\beta - 1} + 2m + 1 \right)$$

$$\text{cf. } E_{\text{data}} \geq b \left( mn + \frac{m(n - 1)}{\beta - 1} + 2m + 1 - \frac{\beta - 2}{\beta - 1} \left( m - \frac{\min(m, n)}{\beta - 1} \right) \right)$$

# Optimal Energy Complexity for Partitioned Buffer

Buffer is divided into two fixed parts separated for  $d$  inputs and  $\beta - d$  outputs

**Example:**  $d = 1$  (similarly for arbitrary  $1 \leq d \leq \beta - 1$ )

1. **Linear Program** formulation: find  $\mu \geq 0$  and  $\nu \geq 0$  that minimize  $2\mu + \nu$   
subject to  $\mu + (\beta - 1)\nu \geq mn$  (at most 1 or  $\beta - 1$  new pairs  
by reading one output or input, respectively)  
and  $\mu \geq m$  (at least  $m$  outputs are read)

2. **Dual Linear Program:** find  $\phi \geq 0$  and  $\psi \geq 0$  that maximize  $mn\phi + m\psi$   
subject to  $\phi + \psi \leq 2$  and  $(\beta - 1)\phi \leq 1$   
which has a feasible solution  $\phi_0 = \frac{1}{\beta - 1}$  and  $\psi_0 = 2 - \frac{1}{\beta - 1}$

the matching lower bound by the weak duality theorem:

$$2\mu + \nu \geq mn\phi_0 + m\psi_0 = \frac{m(n-1)}{\beta-1} + 2m$$

→ optimal energy complexity  $E_{\text{data}} = b \left( mn + \frac{m(n-1)}{\beta-1} + 2m + 1 \right)$

(can also be proven in some other special cases of contiguous Buffer)

## A Summary

- In our previous work, we have introduced a **machine-independent** model of **energy complexity** for CNNs, which fits very well the **power consumption** estimates of various CNN hardware implementations.
- As a starting point for convolutional layers, we have theoretically analyze the energy complexity model for **FC layers** proposing an **energy-efficient dataflow** which provides an upper bound on energy complexity of FC layers.
- We have proven the **optimal energy complexity** of FC layers for partitioned Buffer.

## Open Problems

- the **matching lower bound** on energy of FC layers for **contiguous Buffer** ?
- the **optimal energy complexity** for **convolutional layers** ?