

### Weight-Rounding Error in Deep Neural Networks

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joint work with

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# Efficient Processing of Deep Neural Networks (DNNs)

- DNNs are widely used in many artificial intelligence applications
   (e.g. large language models, image recognition, computer vision, robotics, etc.)
- achieve state-of-the-art accuracy, but with high computational complexity (often tens of millions of operations for a single inference)
- the energy efficiency of DNN implementations on low-power, battery-operated hardware (e.g. cellphones, smartwatches, smart glasses) becomes crucial
  - → reducing the energy cost of DNNs:

    (Sze, Chen, Yang, Emer: Efficient Processing of Deep Neural Networks, 2020)
- 1. Hardware Design: energy efficient implementation of DNNs on various hardware platforms, including GPUs, FPGAs, in-memory computing architectures  $\approx 70\%$  of energy is consumed on data movement within the memory hierarchy, with the rest on numerical computations
- a hardware-independent model of energy complexity for DNNs unifies asymptotic lower and upper bounds on energy consumption across diverse DNN accelerators (Šíma, Vidnerová, Mrázek, 2024)

- 2. Approximate Computing methods in error-tolerant applications (e.g. image classification) save large amounts of energy with minimal accuracy loss by reducing
- model size: pruning, compression, weight sharing, approximate multipliers
- arithmetic precision: fixed-point operations, reduction of weight bit-width, nonuniform quantization

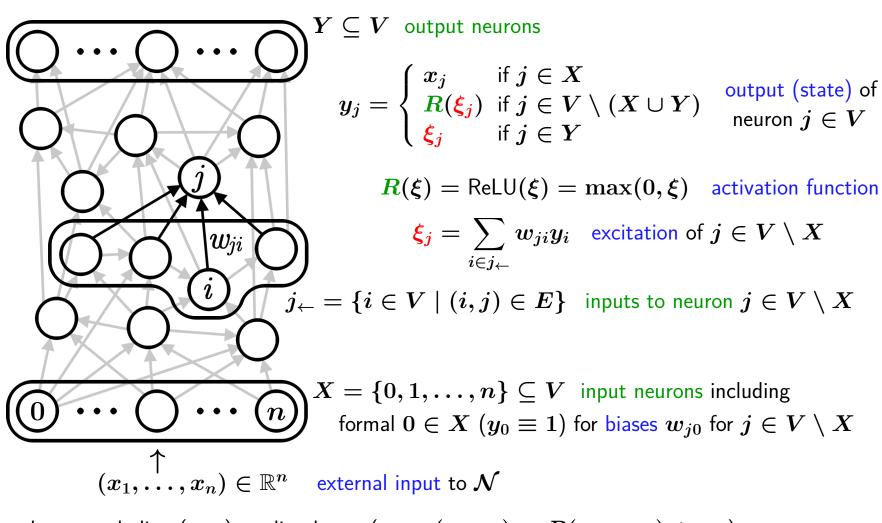
**Example:** an 8-bit fixed-point multiply consumes  $18.5 \times$  less energy than a 32-bit floating-point multiply (Horowitz, 2014), corresponding to additional fourfold energy reduction for data memory transfers—the most energy-intensive operation

The aim of this study: theoretical analysis of the effect of (post-training) weight rounding on DNN output to guarantee maximum error bounds

- rounding is specified by individual weight deviations, which can be generated by any method, such as reduced bitwidth or quantization etc.
- ullet here, we consider the regression error of approximated DNNs, measured under  $L_1$  norm, later generalized to cross-entropy loss for classification tasks ( $\S$ ima, Vidnerová, ICONIP 2025)
- our main results apply to any approximated DNNs, including those obtained, for example, via pruning

#### Formal Model of DNNs

the architecture of a DNN  $\mathcal N$  is a connected directed acyclic graph (V,E) composed of neurons, where edges  $(i,j)\in E\subset V imes V$  are labeled with weights  $w_{ji}\in\mathbb R$ 



w.l.o.g., excluding (max) pooling layers (  $\max(y_1,y_2)=R(y_1-y_2)+y_2$  )

## Regression Error of Approximated DNNs

 $\widetilde{\mathcal{N}}$  is an approximated DNN of  $\mathcal{N}$ , sharing the same input neurons  $(\widetilde{X}=X)$  and the same number of output neurons  $(|\widetilde{Y}|=|Y|)$  (tilde denotes parameters of  $\widetilde{\mathcal{N}}$ )

ightarrow regression error under  $L_1$  norm for an external input  $(x_1,\ldots,x_n)\in\mathbb{R}^n$ 

$$E(x_1,\ldots,x_n) = \sum_{j\in Y} |y_j - \widetilde{y_j}| = \sum_{j\in Y} \left| \xi_j - \widetilde{\xi_j} 
ight|$$

Weight Rounding—an important example of approximated  $\widetilde{\mathcal{N}}$ :

the weights (including the biases) in  $\mathcal{N}$  are rounded (e.g. to a given number of binary digits in their floating-point representations)

$$\widetilde{w_{ji}} = w_{ji} + \delta_{ji}$$
 for  $j \in V \setminus X$  &  $i \in j_{\leftarrow}$ 

where  $\delta_{ii} \in \mathbb{R}$  is a real rounding error of weight  $w_{ii}$ 

#### **Worst-Case Interval State-Bounds**

$$oldsymbol{a_j} \leq y_j \leq oldsymbol{b_j} \quad ext{ for } j \in V \setminus Y$$

ullet w.l.o.g., (bounded) external inputs  $(x_1,\ldots,x_n)\in [0,1]^n$  (via linear mapping)

$$\to 0 = a_j \le y_j = x_j \le b_j = 1$$
 for  $j \in X \setminus \{0\}$   $(a_0 = y_0 = b_0 = 1)$ 

feedforward propagation of interval state-bounds:

$$egin{aligned} oldsymbol{a_j} &= R(a_j') \,, \quad oldsymbol{b_j} &= R(b_j') \quad ext{for } j \in V \setminus (X \cup Y) \,, \quad ext{where} \ a_j' &= \sum_{\substack{i \in j_\leftarrow \ w_{ji} < 0}} w_{ji} b_i + \sum_{\substack{i \in j_\leftarrow \ w_{ji} > 0}} w_{ji} a_i \,, \quad b_j' &= \sum_{\substack{i \in j_\leftarrow \ w_{ji} < 0}} w_{ji} a_i + \sum_{\substack{i \in j_\leftarrow \ w_{ji} > 0}} w_{ji} b_i \end{aligned}$$

- ullet w.l.o.g.,  $a_j=0$  &  $b_j>0$  for  $j\in V\setminus Y$  (otherwise, j can be removed)
- these interval state-bounds are tight only for one neuron

**Theorem.** It is NP-hard to find the tight bounds even for two layers.

## Worst-Case Bounds on Weight-Rounding Error

**Main Idea:** for each  $j \in V$ , find worst-case bounds  $\alpha_j \leq 0 \leq \beta_j$  caused by weight-rounding errors such that

$$y_j + \alpha_j \leq \widetilde{y_j} \leq y_j + \beta_j$$

holds for every  $\widetilde{y}_i$  satisfying

$$y_i + \alpha_i \leq \widetilde{y}_i \leq y_i + \beta_i$$
 for  $i \in j_{\leftarrow}$ , over all  $y_i \in [a_i, b_i]$ :

- ullet  $lpha_j=eta_j=0$  for  $j\in X$  (input neurons with  $j_\leftarrow=\emptyset$  unaffected by weight rounding)
- $\bullet \ \ \pmb{\alpha_j} = \min(0,\alpha_j') \leq 0 \,, \ \ \pmb{\beta_j} = \max(0,\beta_j') \geq 0 \quad \text{for } j \in V \setminus X \,,$

where 
$$lpha_j' = \delta_{j0} + \sum_{\substack{i \in j_{\leftarrow} \\ \delta_{ji} < 0}} \delta_{ji} b_i + \sum_{\substack{i \in j_{\leftarrow} \\ \widetilde{w}_{ji} > 0}} \widetilde{w_{ji}} lpha_i + \sum_{\substack{i \in j_{\leftarrow} \\ \widetilde{w}_{ji} < 0}} \widetilde{w_{ji}} eta_i$$

$$eta_j' = \delta_{j0} + \sum_{\substack{i \in j_{\leftarrow} \\ \delta_{ji} > 0}} \delta_{ji} b_i + \sum_{\substack{i \in j_{\leftarrow} \\ \widetilde{w}_{ji} < 0}} \widetilde{w_{ji}} lpha_i + \sum_{\substack{i \in j_{\leftarrow} \\ \widetilde{w}_{ji} > 0}} \widetilde{w_{ji}} eta_i$$

# Global Worst-Case Upper Bound on Weight Rounding Error

$$\max_{(x_1,...,x_n)\in[0,1]^n} E(x_1,\ldots,x_n) \leq \sum_{j\in Y} \max(-lpha_j',eta_j')$$

highly overestimated  $\rightarrow$  infeasible for practical use:

**Example:** fully connected 3-layer (784–2000–1000-10) NN  $\mathcal{N}_1$  trained on MNIST with 32-bit weights, rounded to 16 bits in the approximated  $\widetilde{\mathcal{N}}_1$ 

Layer	Smallest $[lpha_j,eta_j]$	Widest $[lpha_j,eta_j]$	
1	[-0.0016, 0.0028]	[-0.0142, 0.0157]	much larger in magnitude
2	[-2.0662, 2.0615]	[-2.6336, 2.6642]	with each subsequent layer
3	[-57.5910, 58.6081]	[-84.9428, 85.1832]	

in contrast, the actual error values are below 0.1 for all test data points

Corollary. It is NP-hard to find the maximum error of approximated DNNs (for any approximation, not only weight rounding).

Idea of proof: by reduction from the maximum state problem (previous Theorem)

## **Shortcut Weights**

the excitation  $\xi_j$  of any neuron  $j \in V \setminus X$  is a continuous piecewise linear function of the external input (due to ReLU is piecewise linear)

 $\rightarrow$  within a subset  $\Xi\subseteq [0,1]^n$  of the input space, the excitation is a linear function of the input-neuron states:

$$\xi_j = \sum_{i \in X} oldsymbol{W_{ji}} y_i \quad ext{for } (y_1, \dots, y_n) \in \Xi$$

where  $W_{ji}$  are the coefficients of the linear function, referred to as the shortcut weights (bias) from input neurons  $i \in X$  to neuron  $j \in V \setminus X$ 

for input  $(x_1,\ldots,x_n)\in [0,1]^n$ , its neighborhood  $\Xi_S$  is defined so that  $\xi_j$  are linear for all  $j\in V\setminus X$  with fixed shortcut weights, where

$$S=S(x_1,\ldots,x_n)=\{j\in V\setminus (X\cup Y)\mid \xi_j<0\}$$

is the set of hidden neurons saturated at zero output,  $y_j = R(oldsymbol{\xi}_j) = 0$ 

 $\rightarrow$   $\Xi_S$  is a convex polytope—an intersection of finitely many half-spaces:

$$\xi_j = \sum_{i \in X} oldsymbol{W_{ji}} y_i igg\{ egin{array}{l} < 0 & ext{if } j \in S \ \geq 0 & ext{if } j 
otin S \ \end{pmatrix} & ext{for } j \in V \setminus (X \cup Y) \ 0 \leq y_i \leq 1 & ext{for } i \in X \ \end{pmatrix}$$

## **Calculating Shortcut Weights**

the shortcut weight  $W_{ji}$  represents the cumulative influence from input neuron  $i \in X$  to neuron  $j \in V \setminus X$ , corresponding to the product of weights along all connecting unsaturated paths in  $\mathcal{N}$ :

$$egin{aligned} m{W_{ji}} &= \sum_{\substack{j_0,j_1,...,j_m=j \ j_1,...,j_{m-1} 
otin S}} \prod_{\ell=1}^{m} w_{j_\ell,j_{\ell-1}} \end{aligned}$$

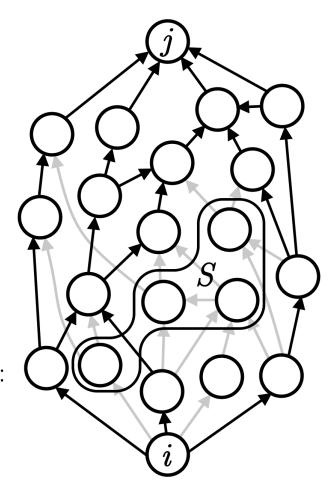
### **Efficient Computation:**

1. formal initialization for input neurons  $j \in X$ :

$$oldsymbol{W_{ji}} = \left\{egin{array}{ll} 1 & ext{if } j=i \ 0 & ext{otherwise} \end{array}
ight. ext{ for all } i\in X$$

**2.** feedforward propagation for neurons  $j \in V \setminus X$ :

$$oldsymbol{W_{ji}} = \sum_{k \in j_\leftarrow \setminus S} w_{jk} \, W_{ki} \quad ext{for all } i \in X$$



## **Estimating the Maximum Error of Approximated DNNs**

- the worst-case maximum error does not take the probability distribution of the input space into account
- ullet approximating the maximum or average error using data points from the training or test set T:

$$E_T = \max_{(x_1,...,x_n)\in T} oldsymbol{E}(x_1,\ldots,x_n) \ , \ \ \overline{E_T} = rac{1}{|T|} \sum_{(x_1,...,x_n)\in T} oldsymbol{E}(x_1,\ldots,x_n)$$

• refining the error estimate using the maximum over the convex polytope  $\Xi_{S(x_1,...,x_n)}$  surrounding the data point  $(x_1,\ldots,x_n)\in T$ :

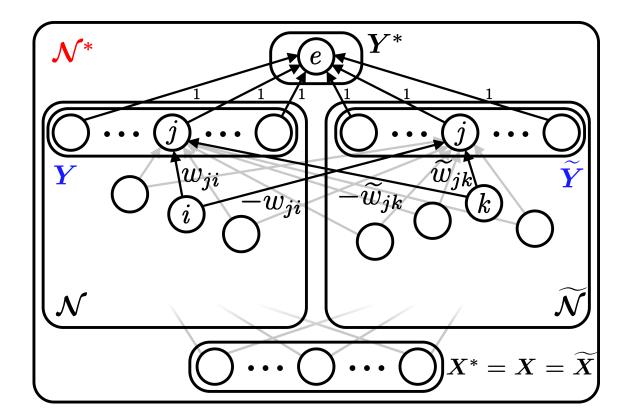
$$E_{\Xi_{S(T)}} = \max_{(x_1,...,x_n) \in T} E_{\Xi_{S(x_1,...,x_n)}} \,, \ \ \overline{E}_{\Xi_{S(T)}} = rac{1}{|T|} \sum_{(x_1,...,x_n) \in T} E_{\Xi_{S(x_1,...,x_n)}}$$

where

$$E_{\Xi_{S(x_1,...,x_n)}} = \max_{(y_1,...,y_n) \in \Xi_{S(x_1,...,x_n)}} E(y_1,\ldots,y_n)$$

ightarrow  $\operatorname{AppMax}$   $\operatorname{method}$  for computing  $E_{\Xi_{S(x_1,...,x_n)}}$  :

## **Evaluating the Error of Approximated DNNs**



$$oldsymbol{\xi}_j^* = oldsymbol{\xi}_j - \widetilde{oldsymbol{\xi}_j} \quad ext{for } j \in oldsymbol{Y} \ = \sum_{i \in j_\leftarrow} w_{ji} y_i - \sum_{i \in j_\leftarrow} \widetilde{w_{ji}} \, \widetilde{y}_i$$

$$egin{aligned} oldsymbol{\xi}_j^* &= \widetilde{oldsymbol{\xi}_j} - oldsymbol{\xi}_j & ext{for } j \in oldsymbol{\widetilde{Y}} \ &= \sum_{k \in j_\leftarrow} \widetilde{w_{jk}} \, y_k - \sum_{k \in j_\leftarrow} w_{jk} \widetilde{y_k} \end{aligned}$$

$$y_e^* = \xi_e^* = \sum_{j \in Y} y_j^* + \sum_{j \in \widetilde{Y}} y_j^* = \sum_{j \in Y} R\left(\frac{\xi_j^*}{t}\right) + \sum_{j \in \widetilde{Y}} R\left(\frac{\xi_j^*}{t}\right)$$
$$= \sum_{j \in Y} \left(R\left(\xi_j - \widetilde{\xi_j}\right) + R\left(\widetilde{\xi_j} - \xi_j\right)\right) = \sum_{j \in Y} \left|\xi_j - \widetilde{\xi_j}\right| = E(x_1, \dots, x_n)$$

## **AppMax Method**

Input: DNN  $\mathcal{N}$ , its approximation  $\widetilde{\mathcal{N}}$ , data point  $(x_1,\ldots,x_n)\in T$ 

Output: 
$$E_{\Xi_{S^*(x_1,...,x_n)}} = \max_{(y_1,...,y_n) \in \Xi_{S^*(x_1,...,x_n)}} E(y_1,\ldots,y_n)$$

#### Algorithm:

• construct  $\mathcal{N}^*$  from  $\mathcal{N}$  &  $\widetilde{\mathcal{N}}$ , computing the approximation error

$$oldsymbol{y_e^*} = E(x_1, \dots, x_n) = \sum_{j \in Y} |y_j - \widetilde{y_j}|$$

- ullet determine the saturated neurons  $S^* = S^*(x_1, \dots, x_n)$
- ullet compute the shortcut weights  $W_{ji}^*$  of  $\mathcal{N}^*$  for all  $j \in V^* \setminus X^*$  and  $i \in X^*$
- ullet solve the linear program (LP) to find the input-neuron states  $y_1, \ldots, y_n$  that

maximize 
$$y_e^* = \sum_{i \in X} W_{ei}^* \, y_i \quad o \quad {E_{\Xi_{S^*(x_1,...,x_n)}}}$$

subject to 
$$egin{aligned} \xi_j^* &= \sum_{i \in X} W_{ji}^* \, y_i \, iggl\{ egin{aligned} \leq 0 & ext{if } j \in S^* \\ \geq 0 & ext{if } j 
otin S^* \end{aligned} \end{aligned} \qquad ext{for } j \in V^* ackslash (X^* \cup Y^*) \ 0 \leq y_i \leq 1 \quad ext{for } i \in X^* \end{aligned}$$

### **Experiments**

- Dataset: MNIST handwritten digits (28x28 grayscale pixels) categorized into 10 classes (0–9)
- Software Libraries: PyTorch (deep learning), SciPy (linear programming)
- Source Code: publicly available at https://github.com/PetraVidnerova/RoundingErrorEstimation
- DNNs: trained on MNIST with 32-bit weights
  - 1. fully connected NN  $\mathcal{N}_1$ : 3 FC layers 784–2000–1000–10
  - 2. convolutional NN  $\mathcal{N}_2$ :
  - -2 convolutional layers with 32 and 64 3x3-kernels (stride 1, padding 1),
  - 1 max pooling layer with 64 2x2-kernels (stride 2)
  - 2 FC layers (1024-10)
    - $\rightarrow$  8 FC layers 784–25088–50176–50176–25088–25088–12544–1024–10

robust accuracies of  $\widetilde{\mathcal{N}}_1$  and  $\widetilde{\mathcal{N}}_2$  on the test set for rounded weights:

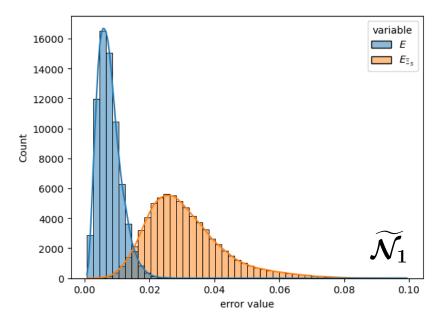
weight bit-width	32b	16b	12b	8b	6b	4b
$\widetilde{\widetilde{\mathcal{N}}_1}$	98.30	98.30	98.30	98.30	98.30	24.85
$\widetilde{\mathcal{N}}_2$	99.25	99.25	99.25	99.25	99.25	99.14

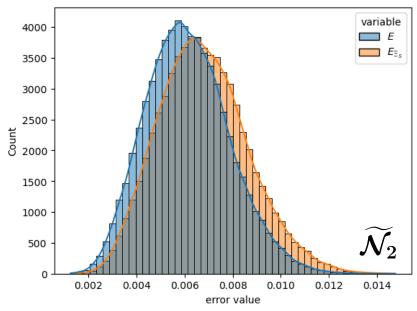
# Refining the Error Estimation Using the AppMax Method

- ullet weights of approximated  $\widetilde{\mathcal{N}}_1$  and  $\widetilde{\mathcal{N}}_2$  rounded to  $16~\mathrm{bits}$
- test set T contains all available 70,000 data points (i.e. 70,000 LPs)

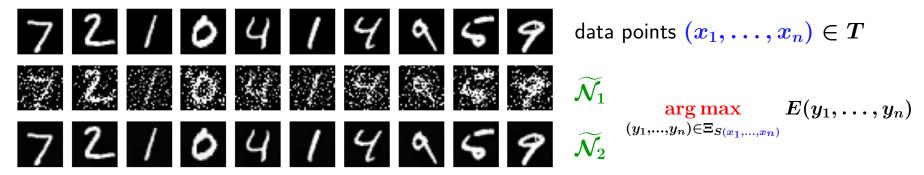
	$oldsymbol{E_T}$	$E_{\Xi_{S(T)}}$	$\overline{\boldsymbol{E_T}}$	$\overline{m{E}_{\Xi_{S(T)}}}$
$\overline{\widetilde{\mathcal{N}}_1}$	0.032854	0.099374	0.007629	0.030884
$\widetilde{\mathcal{N}_2}$	0.013466	0.014763	0.006127	0.006777

Error Histograms: E at data points in T vs.  $E_{\Xi_S}$  over convex polytopes  $\Xi_S$  surrounding data points in T





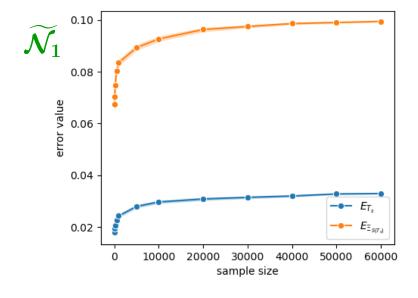
## Worst-Case Points in Polytopes Identified by AppMax

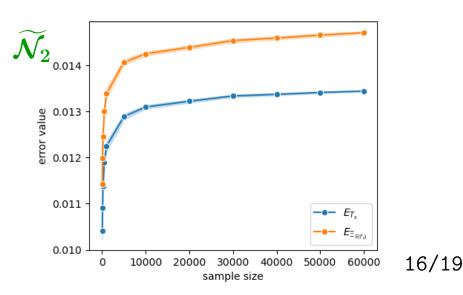


# Reducing the Sample Size for AppMax

70,000 data points ( $\sim$  LPs) required several days using dozens of parallel processors (e.g.,  $\widetilde{\mathcal{N}}_2$ : 250 s per one data point on Intel<sup>®</sup> Xeon<sup>®</sup> E5-2620 v4 2.10 GHz processor)

error estimates  $E_{T_s}$  and  $E_{\Xi_{S(T_s)}}$  for random samples  $T_s \subset T$  of increasing size (50–60,000), averaged over 100 trials:



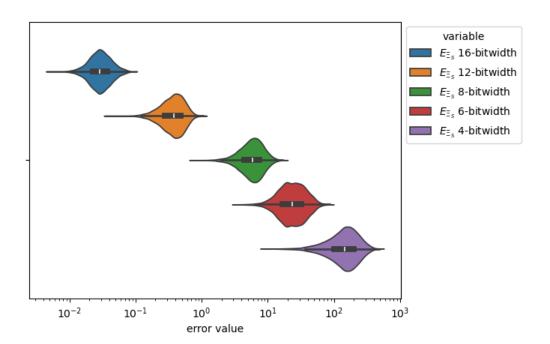


## **Error Estimates for Decreasing Bit-Width of Weights**

sample T of 10,000 randomly chosen test points for  $\widetilde{\mathcal{N}}_1$ :

weight bit-width	$oldsymbol{E_T}$	$oldsymbol{E}_{\Xi_{S(T)}}$	$\overline{oldsymbol{E_T}}$	$\overline{E_{\Xi_{S(T)}}}$
16 bits	0.024727	0.093156	0.007558	0.030998
12 bits	0.613171	1.049668	0.135616	0.384750
8 bits	8.191886	17.585771	2.138221	6.070758
6 bits	40.410836	85.562221	10.226672	25.475516
4 bits	301.230476	479.39271	81.117751	153.583925

violin plots of  $E_{\Xi_S}$  (log scale) for different weight bit-widths:

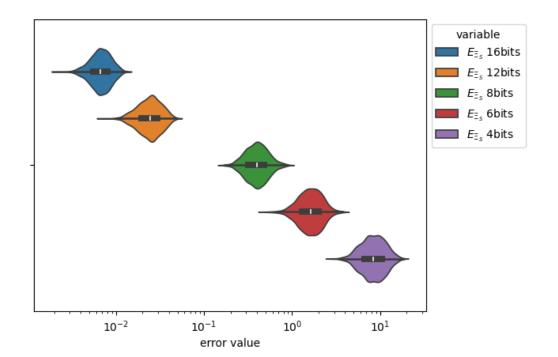


## **Error Estimates for Decreasing Bit-Width of Weights**

sample T of 2,000 randomly chosen test points for  $\widetilde{\mathcal{N}}_2$ :

	weight bit-width	$oldsymbol{E_T}$	$oldsymbol{E}_{\Xi_{S(T)}}$	$\overline{\boldsymbol{E_T}}$	$\overline{m{E}_{\Xi_{S(T)}}}$	
•	16 bits	0.012124	0.013333	0.006172	0.006853	
	12 bits	0.044369	0.049109	0.022313	0.024935	
	8 bits	0.821959	0.898328	0.368140	0.411297	
	6 bits	3.522414	3.848394	1.486143	1.665951	
	4 bits	16.409141	17.810625	7.662384	8.548645	

violin plots of  $E_{\Xi_S}$  (log scale) for different weight bit-widths:



### **Summary**

- theoretical analysis of the effect of weight-rounding on outputs of trained DNNs
- worst-case upper bound on weight-rounding error (overestimated in practice)
- computing regression error for approximated DNNs is NP-hard
- AppMax method: finds maximum error in convex polytopes around data points
- AppMax shows improved error guarantees (vs. test data only) on MNIST database for decreasing bit-width of weights
- AppMax enables comparison of approximation strategies, identifies optimal accuracy-performance tradeoffs, supports energy-efficient DNNs

#### **Future Research Directions**

- AppMax for cross-entropy loss in classification DNNs with softmax via linear interpolation of  $e^x$  (ICONIP 2025) vs. Karush-Kuhn-Tucker optimization ?
- approximate global error by estimating the probabilities of convex polytopes from their volumes measured by mean width
- broaden AppMax evaluation to other datasets (e.g., CIFAR-100, ImageNet)
- extend error analysis to modern architectures (e.g., ResNet, Transformers)
- identify DNN components that can be neglected (e.g., specific weights to be rounded) under explicitly bounded output error