

$\aleph_1(= \omega_1)$ and the modal μ -calculus¹

Maria João Gouveia, Universidade de Lisboa

Luigi Santocanale, Aix-Marseille Université

TACL@Praha, June 2017

¹Preprint available on HAL:

<https://hal.archives-ouvertes.fr/hal-01503091>

Plan

Closure ordinals for the modal μ -calculus L_μ

κ -continuous functions

\aleph_1 -continuous fragment of L_μ

Back to closure ordinals

The modal μ -calculus

- Formulas of L_μ :

$$\begin{aligned} \phi := & x \mid y \mid \neg y \mid \top \mid \phi \wedge \phi \mid \perp \mid \phi \vee \phi \mid \langle a \rangle \phi \mid [a] \phi \\ & \mid \mu_x. \phi \mid \nu_x. \phi. \end{aligned}$$

The modal μ -calculus

- Formulas of L_μ :

$$\begin{aligned} \phi := x \mid y \mid \neg y \mid \top \mid \phi \wedge \phi \mid \perp \mid \phi \vee \phi \mid \langle a \rangle \phi \mid [a] \phi \\ \mid \mu_x. \phi \mid \nu_x. \phi. \end{aligned}$$

- Kripke-model semantics, as usual from modal logic.

For μ and ν :

The modal μ -calculus

- Formulas of L_μ :

$$\begin{aligned} \phi := x \mid y \mid \neg y \mid \top \mid \phi \wedge \phi \mid \perp \mid \phi \vee \phi \mid \langle a \rangle \phi \mid [a] \phi \\ \mid \mu_x. \phi \mid \nu_x. \phi. \end{aligned}$$

- Kripke-model semantics, as usual from modal logic.

For μ and ν :

given a model $\mathcal{M} = \langle W, R, v \rangle$ and a variable x , put

$$\phi_{\mathcal{M},x}(S) := \llbracket \phi \rrbracket_{\mathcal{M}[S/x]}, \text{ for each } S \subseteq W,$$

The modal μ -calculus

- Formulas of L_μ :

$$\phi := x \mid y \mid \neg y \mid \top \mid \phi \wedge \phi \mid \perp \mid \phi \vee \phi \mid \langle a \rangle \phi \mid [a] \phi \\ \mid \mu_x. \phi \mid \nu_x. \phi .$$

- Kripke-model semantics, as usual from modal logic.

For μ and ν :

given a model $\mathcal{M} = \langle W, R, v \rangle$ and a variable x , put

$$\phi_{\mathcal{M},x}(S) := \llbracket \phi \rrbracket_{\mathcal{M}[S/x]} , \text{ for each } S \subseteq W ,$$

and define

$$\llbracket \mu_x. \phi \rrbracket_{\mathcal{M}} := \text{least fixed-point of } \phi_{\mathcal{M},x} ,$$

$$\llbracket \nu_x. \phi \rrbracket_{\mathcal{M}} := \text{greatest fixed-point of } \phi_{\mathcal{M},x} .$$

Closure ordinals

Put

$$\phi_{\mathcal{M},x}^{\alpha}(\emptyset) := \bigcup_{\beta < \alpha} \phi_{\mathcal{M},x}^{\beta}(\emptyset), \quad \phi_{\mathcal{M},x}^{\alpha+1}(\emptyset) := \phi_{\mathcal{M},x}(\phi_{\mathcal{M},x}^{\alpha}(\emptyset)).$$

Closure ordinals

Put

$$\phi_{\mathcal{M},x}^{\alpha}(\emptyset) := \bigcup_{\beta < \alpha} \phi_{\mathcal{M},x}^{\beta}(\emptyset), \quad \phi_{\mathcal{M},x}^{\alpha+1}(\emptyset) := \phi_{\mathcal{M},x}(\phi_{\mathcal{M},x}^{\alpha}(\emptyset)).$$

By some variant of the Knaster-Tarski-Kleene theorem, we always have

$$\llbracket \mu_x.\phi \rrbracket_{\mathcal{M}} = \phi_{\mathcal{M},x}^{\alpha}(\emptyset)$$

for some ordinal α , which depends on \mathcal{M} .

Closure ordinals

Put

$$\phi_{\mathcal{M},x}^{\alpha}(\emptyset) := \bigcup_{\beta < \alpha} \phi_{\mathcal{M},x}^{\beta}(\emptyset), \quad \phi_{\mathcal{M},x}^{\alpha+1}(\emptyset) := \phi_{\mathcal{M},x}(\phi_{\mathcal{M},x}^{\alpha}(\emptyset)).$$

By some variant of the Knaster-Tarski-Kleene theorem, we always have

$$\llbracket \mu_x.\phi \rrbracket_{\mathcal{M}} = \phi_{\mathcal{M},x}^{\alpha}(\emptyset)$$

for some ordinal α , which depends on \mathcal{M} .

Problem. Given $\phi \in L_{\mu}$, does there exist an ordinal α such that

$$\llbracket \mu_x.\phi \rrbracket_{\mathcal{M}} = \phi_{\mathcal{M},x}^{\alpha}(\emptyset), \quad \textbf{for each model } \mathcal{M}?$$

If so, call the least such ordinal the *closure ordinal* of $\phi(x)$.

Other problems

- ▶ Given a formula and an ordinal α , answer whether the formula converges at its least fixed-point in at most α steps.

Other problems

- ▶ Given a formula and an ordinal α , answer whether the formula converges at its least fixed-point in at most α steps.
- ▶ Given a formula $\phi(x) \in L_\mu$, compute its closure ordinal (or return `None` if this formula does not have a closure ordinal).

Other problems

- ▶ Given a formula and an ordinal α , answer whether the formula converges at its least fixed-point in at most α steps.
- ▶ Given a formula $\phi(x) \in L_\mu$, compute its closure ordinal (or return `None` if this formula does not have a closure ordinal).
- ▶ What are the closure ordinals of formulas of the modal μ -calculus?
That is, characterise the spectrum of ordinals of L_μ .

Other problems

- ▶ Given a formula and an ordinal α , answer whether the formula converges at its least fixed-point in at most α steps.
- ▶ Given a formula $\phi(x) \in L_\mu$, compute its closure ordinal (or return `None` if this formula does not have a closure ordinal).
- ▶ What are the closure ordinals of formulas of the modal μ -calculus?
That is, characterise the spectrum of ordinals of L_μ .
- ▶ What about closure ordinals of formulas in fragments of L_μ ?

Other problems

- ▶ Given a formula and an ordinal α , answer whether the formula converges at its least fixed-point in at most α steps.
- ▶ Given a formula $\phi(x) \in L_\mu$, compute its closure ordinal (or return `None` if this formula does not have a closure ordinal).
- ▶ What are the closure ordinals of formulas of the modal μ -calculus?
That is, characterise the spectrum of ordinals of L_μ .
- ▶ What about closure ordinals of formulas in fragments of L_μ ?
- ▶ ...and on restricted classes of models and/or modal varieties?

Examples, known (?) results

- ▶ $\langle \rangle x$ has closure ordinal 0.
- ▶ $y \vee \langle \rangle x$ has closure ordinal ω ,
- ▶ if $\phi(x)$ is a *continuous formula*,
then it has a closure ordinal $\leq \omega$.
- ▶ $[]x$ has no closure ordinal.

Examples, known (?) results

- ▶ $\langle \rangle x$ has closure ordinal 0.
- ▶ $y \vee \langle \rangle x$ has closure ordinal ω ,
- ▶ if $\phi(x)$ is a *continuous formula*,
then it has a closure ordinal $\leq \omega$.
- ▶ $[]x$ has no closure ordinal.

Theorem (Czarnecki, 2010). For each ordinal $\alpha < \omega^2$ there exists a modal formula $\phi(x) \in L_\mu$ whose closure ordinal is α .

Examples, known (?) results

- ▶ $\langle \rangle x$ has closure ordinal 0.
- ▶ $y \vee \langle \rangle x$ has closure ordinal ω ,
- ▶ if $\phi(x)$ is a *continuous formula*,
then it has a closure ordinal $\leq \omega$.
- ▶ $[]x$ has no closure ordinal.

Theorem (Czarnecki, 2010). For each ordinal $\alpha < \omega^2$ there exists a modal formula $\phi(x) \in L_\mu$ whose closure ordinal is α .

Theorem (Afshari and Leigh, 2013). If $\phi(x) \in L_\mu$ has a closure ordinal α and no greatest fixed-point, then $\alpha < \omega^2$.

Plan

Closure ordinals for the modal μ -calculus L_μ

κ -continuous functions

\aleph_1 -continuous fragment of L_μ

Back to closure ordinals

κ -continuous functions and their least fixed-points

Let κ be a regular cardinal.

κ -continuous functions and their least fixed-points

Let κ be a regular cardinal.

Definition.

- ▶ A family $\mathcal{F} \subseteq P(A)$ is κ -directed if any subfamily of \mathcal{F} of cardinality $< \kappa$ has an upper bound in \mathcal{F} .
- ▶ A monotone function $f : P(A) \longrightarrow P(A)$ is κ -continuous if it preserves unions of κ -directed families.

For $\kappa = \aleph_0$ this is the usual notion of continuity.

κ -continuous functions and their least fixed-points

Let κ be a regular cardinal.

Definition.

- ▶ A family $\mathcal{F} \subseteq P(A)$ is κ -directed if any subfamily of \mathcal{F} of cardinality $< \kappa$ has an upper bound in \mathcal{F} .
- ▶ A monotone function $f : P(A) \longrightarrow P(A)$ is κ -continuous if it preserves unions of κ -directed families.

For $\kappa = \aleph_0$ this is the usual notion of continuity.

Theorem (Knaster, Tarski, Kleene, \dots , Cousot-Cousot, \dots , ?, G.S.). If f is κ -continuous, then

$$\text{lfp}.f = f^\kappa(\emptyset).$$

Stability under fixed-points

The following statements appeared in (S. 2002), for accessible functors and their initial (terminal) (co)algebras.

Proposition.

- ▶ If $f(x, y)$ is κ -continuous in x and y and $\kappa \geq \aleph_0$,
then $\mu_x.f(x, y)$ κ -continuous in y .

Stability under fixed-points

The following statements appeared in (S. 2002), for accessible functors and their initial(terminal) (co)algebras.

Proposition.

- ▶ If $f(x, y)$ is κ -continuous in x and y and $\kappa \geq \aleph_0$,
then $\mu_x.f(x, y)$ κ -continuous in y .
- ▶ If $f(x, y)$ is κ -continuous in x and y and $\kappa \geq \aleph_1$,
then $\nu_x.f(x, y)$ κ -continuous in y .

Plan

Closure ordinals for the modal μ -calculus L_μ

κ -continuous functions

\aleph_1 -continuous fragment of L_μ

Back to closure ordinals

Continuity, for formulas

Definition. A formula $\phi(x) \in L_\mu$ is κ -continuous if, for each model \mathcal{M} , the function $\phi_{\mathcal{M},x}$ is κ -continuous.

If a formula is κ -continuous,
then it has a closure ordinal with κ as upper bound.

The $\mathcal{C}_0(X)$ -fragment

The fragment $\mathcal{C}_0(X)$ is generated by the following grammar:

$$\begin{aligned} \phi := & x \mid y \mid \neg y \mid \top \mid \phi \wedge \phi \mid \perp \mid \phi \vee \phi \mid \langle a \rangle \phi \\ & \mid \mu_z. \psi, \end{aligned}$$

where $x \in X$ and $\psi \in \mathcal{C}_0(X \cup \{z\})$.

Theorem (Fontaine 2008). For every $\phi \in \mathcal{C}_0(X)$ and each variable $x \in X$, the formula $\phi(x)$ is \aleph_0 -continuous.

In particular each $\phi(x) \in \mathcal{C}_0(x)$ has closure ordinal $\leq \omega$.

The $\mathcal{C}_1(X)$ -fragment

... is generated by the following grammar:

$$\begin{aligned} \phi := & x \mid y \mid \neg y \mid \top \mid \phi \wedge \phi \mid \perp \mid \phi \vee \phi \mid \langle a \rangle \phi \\ & \mid \mu_z. \psi \mid \nu_z. \psi, \end{aligned}$$

where $x \in X$ and $\psi \in \mathcal{C}_1(X \cup \{z\})$.

The $\mathcal{C}_1(X)$ -fragment

... is generated by the following grammar:

$$\begin{aligned} \phi := & x \mid y \mid \neg y \mid \top \mid \phi \wedge \phi \mid \perp \mid \phi \vee \phi \mid \langle a \rangle \phi \\ & \mid \mu_z. \psi \mid \nu_z. \psi, \end{aligned}$$

where $x \in X$ and $\psi \in \mathcal{C}_1(X \cup \{z\})$.

Theorem (G.S. 2017). For every $\phi \in \mathcal{C}_1(X)$ and each variable $x \in X$, the formula $\phi(x)$ is \aleph_1 -continuous.

In particular each $\phi(x) \in \mathcal{C}_1(x)$ has a closure ordinal $\leq \omega_1$.

Decidability

Theorem (Fontaine 2008). For each formula $\phi(x)$ there is a formula $\psi(x) \in \mathcal{C}_0(x)$ such that TFAE:

- ▶ $\phi(x)$ is \aleph_0 -continuous,
- ▶ $\phi(x)$ and $\psi(x)$ are equivalent formulas.

Decidability

Theorem (Fontaine 2008). For each formula $\phi(x)$ there is a formula $\psi(x) \in \mathcal{C}_0(x)$ such that TFAE:

- ▶ $\phi(x)$ is \aleph_0 -continuous,
- ▶ $\phi(x)$ and $\psi(x)$ are equivalent formulas.

Theorem (G.S. 2017). For each formula $\phi(x)$ we can construct a formula $\phi^b(x) \in \mathcal{C}_1(x)$ such that the following are equivalent:

- ▶ $\phi(x)$ is κ -continuous, for some regular cardinal κ ,
- ▶ $\phi(x)$ and $\phi^b(x)$ are equivalent formulas.

Decidability

Theorem (Fontaine 2008). For each formula $\phi(x)$ there is a formula $\psi(x) \in \mathcal{C}_0(x)$ such that TFAE:

- ▶ $\phi(x)$ is \aleph_0 -continuous,
- ▶ $\phi(x)$ and $\psi(x)$ are equivalent formulas.

Theorem (G.S. 2017). For each formula $\phi(x)$ we can construct a formula $\phi^b(x) \in \mathcal{C}_1(x)$ such that the following are equivalent:

- ▶ $\phi(x)$ is κ -continuous, for some regular cardinal κ ,
- ▶ $\phi(x)$ and $\phi^b(x)$ are equivalent formulas.

Corollary. It is decidable whether a formula $\phi(x) \in \mathcal{L}_\mu$ is κ -continuous, for some regular cardinal κ .

Other κ -continuous fragments?

Corollary. If a formula $\phi(x) \in L_\mu$ is κ -continuous, for some regular cardinal κ , then it is \aleph_1 -continuous.

Corollary. \aleph_0 and \aleph_1 are the only regular cardinal of interest to the modal μ -calculus.

Namely, $\mathcal{C}_0(x)$ and $\mathcal{C}_1(x)$ are the only fragments of the modal μ -calculus determined by κ -continuity at some regular cardinal κ .

Plan

Closure ordinals for the modal μ -calculus L_μ

κ -continuous functions

\aleph_1 -continuous fragment of L_μ

Back to closure ordinals

$\omega_1 (= \aleph_1)$ is a closure ordinal

Theorem. ω_1 is a closure ordinal.

$\omega_1(= \aleph_1)$ is a closure ordinal

Theorem. ω_1 is a closure ordinal.

Proof. We have

$$s \models [v] \perp \vee \nu_z.(\langle v \rangle x \wedge \langle h \rangle z)$$

iff

*either there no vertical steps from s ,
or there exists an infinite horizontal path from s
and every state on this path can do a vertical step to x .*

$\omega_1(= \aleph_1)$ is a closure ordinal

Theorem. ω_1 is a closure ordinal.

Proof. We have

$$s \models [v] \perp \vee \nu_z.(\langle v \rangle x \wedge \langle h \rangle z)$$

iff

*either there no vertical steps from s ,
or there exists an infinite horizontal path from s
and every state on this path can do a vertical step to x .*

The above formula belongs to $\mathcal{C}_1(x)$.

$\omega_1 (= \aleph_1)$ is a closure ordinal

Theorem. ω_1 is a closure ordinal.

Proof. We have

$$s \models [v] \perp \vee \nu_z. (\langle v \rangle x \wedge \langle h \rangle z)$$

iff

*either there no vertical steps from s ,
or there exists an infinite horizontal path from s
and every state on this path can do a vertical step to x .*

The above formula belongs to $\mathcal{C}_1(x)$. A (bimodal) model \mathcal{M} on the set

$$\omega \times \omega_1 = \{ (n, \alpha) \mid 0 \leq n < \omega, \alpha < \omega_1 \},$$

witnesses that $\phi_{\mathcal{M},x}$ does not converge to its l.f.p. before ω_1 steps.

$\omega_1 (= \aleph_1)$ is a closure ordinal

Theorem. ω_1 is a closure ordinal.

Proof. We have

$$s \models [v] \perp \vee \nu_z. (\langle v \rangle x \wedge \langle h \rangle z)$$

iff

*either there no vertical steps from s ,
or there exists an infinite horizontal path from s
and every state on this path can do a vertical step to x .*

The above formula belongs to $\mathcal{C}_1(x)$. A (bimodal) model \mathcal{M} on the set

$$\omega \times \omega_1 = \{ (n, \alpha) \mid 0 \leq n < \omega, \alpha < \omega_1 \},$$

witnesses that $\phi_{\mathcal{M}, x}$ does not converge to its l.f.p. before ω_1 steps.

Finally, we translate the bimodal formula above into a monomodal one (and similarly for \mathcal{M}).

Closure under ordinal sum

Theorem (G. S. 2017). If α, β are closure ordinals of formulas in L_μ , the si is $\alpha + \beta$.

Closure under ordinal sum

Theorem (G. S. 2017). If α, β are closure ordinals of formulas in L_μ , the si is $\alpha + \beta$.

Proof. Given ϕ_α, ϕ_β and $\mathcal{M}_\alpha, \mathcal{M}_\beta$, construct a “lexicographic” model $\mathcal{M}_\alpha + \mathcal{M}_\beta$.

Closure under ordinal sum

Theorem (G. S. 2017). If α, β are closure ordinals of formulas in L_μ , the si is $\alpha + \beta$.

Proof. Given ϕ_α, ϕ_β and $\mathcal{M}_\alpha, \mathcal{M}_\beta$, construct a “lexicographic” model $\mathcal{M}_\alpha + \mathcal{M}_\beta$.

Construct a formula $\phi_{\alpha+\beta}$ describing how ϕ_α, ϕ_β jointly act on this model. □

Closure under ordinal sum

Theorem (G. S. 2017). If α, β are closure ordinals of formulas in L_μ , the si is $\alpha + \beta$.

Proof. Given ϕ_α, ϕ_β and $\mathcal{M}_\alpha, \mathcal{M}_\beta$, construct a “lexicographic” model $\mathcal{M}_\alpha + \mathcal{M}_\beta$.

Construct a formula $\phi_{\alpha+\beta}$ describing how ϕ_α, ϕ_β jointly act on this model. □

The above observation, together with the observations that $1, \omega$ are closure ordinals, is sufficient to recover Czarnecki's result (every ordinal $< \omega^2$ is a closure ordinal).

Closure under ordinal sum

Theorem (G. S. 2017). If α, β are closure ordinals of formulas in L_μ , the si is $\alpha + \beta$.

Proof. Given ϕ_α, ϕ_β and $\mathcal{M}_\alpha, \mathcal{M}_\beta$, construct a “lexicographic” model $\mathcal{M}_\alpha + \mathcal{M}_\beta$.

Construct a formula $\phi_{\alpha+\beta}$ describing how ϕ_α, ϕ_β jointly act on this model. □

The above observation, together with the observations that $1, \omega$ are closure ordinals, is sufficient to recover Czarnecki's result (every ordinal $< \omega^2$ is a closure ordinal).

Theorem (G. S. 2017). Every formal expression built up from $1, \omega, \omega_1$ using $+$ gives rise to a closure ordinal of the modal μ -calculus.

To sum up

- ▶ Czarnecki's method for constructing closure ordinals is understood as closure under ordinal sum.

To sum up

- ▶ Czarnecki's method for constructing closure ordinals is understood as closure under ordinal sum.
- ▶ It is the only method known to construct closure ordinals.

To sum up

- ▶ Czarnecki's method for constructing closure ordinals is understood as closure under ordinal sum.
- ▶ It is the only method known to construct closure ordinals.
- ▶ We have generalized it, by adding one more generator, ω_1 .

To sum up

- ▶ Czarnecki's method for constructing closure ordinals is understood as closure under ordinal sum.
- ▶ It is the only method known to construct closure ordinals.
- ▶ We have generalized it, by adding one more generator, ω_1 .
- ▶ We cannot generalize it further by adding regular cardinals.

To sum up

- ▶ Czarnecki's method for constructing closure ordinals is understood as closure under ordinal sum.
- ▶ It is the only method known to construct closure ordinals.
- ▶ We have generalized it, by adding one more generator, ω_1 .
- ▶ We cannot generalize it further by adding regular cardinals.
- ▶ Possibly, we can try to close under other operations.

To sum up

- ▶ Czarnecki's method for constructing closure ordinals is understood as closure under ordinal sum.
- ▶ It is the only method known to construct closure ordinals.
- ▶ We have generalized it, by adding one more generator, ω_1 .
- ▶ We cannot generalize it further by adding regular cardinals.
- ▶ Possibly, we can try to close under other operations.
- ▶ Semantic tools to characterize the spectrum of ordinals of L_μ (see also Gaëlle and Yde's preprint).

To sum up

- ▶ Czarnecki's method for constructing closure ordinals is understood as closure under ordinal sum.
- ▶ It is the only method known to construct closure ordinals.
- ▶ We have generalized it, by adding one more generator, ω_1 .
- ▶ We cannot generalize it further by adding regular cardinals.
- ▶ Possibly, we can try to close under other operations.
- ▶ Semantic tools to characterize the spectrum of ordinals of L_μ (see also Gaëlle and Yde's preprint).
- ▶ Yet, the spectrum of cardinals of L_μ has been fully characterized.

Thanks ! Questions ?



B. Afshari and G. E. Leigh.

On closure ordinals for the modal μ -calculus.

In S. Ronchi Della Rocca, editor, *Computer Science Logic 2013 (CSL 2013)*, *CSL 2013, September 2-5, 2013, Torino, Italy*, volume 23 of *LIPICs*, pages 30–44. Schloss Dagstuhl - Leibniz-Zentrum fuer Informatik, 2013.



M. Czarnecki.

How fast can the fixpoints in modal μ -calculus be reached?

In L. Santocanale, editor, *7th Workshop on Fixed Points in Computer Science, FICS 2010*, page 89, Brno, Czech Republic, Aug. 2010.

Available from Hal: <https://hal.archives-ouvertes.fr/hal-00512377>.



G. Fontaine.

Continuous fragment of the μ -calculus.

In M. Kaminski and S. Martini, editors, *CSL 2008. Proceedings*, volume 5213 of *Lecture Notes in Computer Science*, pages 139–153. Springer, 2008.



G. Fontaine and Y. Venema.

Some model theory for the modal μ -calculus: syntactic characterisations of semantic properties.

Technical report, ILLC, 2016.

ILLC Prepublication Series PP-2016-39.



M. J. a. Gouveia and L. Santocanale.

\aleph_1 and the modal μ -calculus.

Preprint, available from Hal: <https://hal.archives-ouvertes.fr/hal-01503091>, Mar. 2017.



L. Santocanale.

μ -Bicomplete Categories and Parity Games.

ITA, 36(2):195–227, 2002.

Extended version appeared as LaBRI report RR-1281-02 is available from Hal:

<https://hal.archives-ouvertes.fr/hal-01376731>.