

Disjunction and Existence Properties in Inquisitive Logic

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Motivating example: hospital protocol

- A disease gives rise to two symptoms S_1 and S_2 .
- S_1 is much worse than S_2 .
- Depending on which symptoms the patients show, they have to be put in quarantine.

Motivating example: hospital protocol

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- Depending on which symptoms the patients show, they have to be put in quarantine.

Protocol

- Patient x shows $S_1 \Rightarrow x$ in quarantine.
- Everyone shows $S_2 \Rightarrow$ Everyone in quarantine.
- Otherwise, no quarantine.

Q_1 : *Whether x shows S_1*

Q_2 : *Whether everyone shows S_2*

determine

Q_3 : *Whether x is in quarantine*

Q_1 : *Whether x shows S_1*

Q_2 : *Whether everyone shows S_2*

determine

Q_3 : *Whether x is in quarantine*

Observation: Q_1 , Q_2 and Q_3 are *questions*.

Question Q_3 *depends* on **questions** Q_1 and Q_2 .

*How can we represent
dependency between questions
in a logical framework?*

Question Q_3 *depends* on questions Q_1 and Q_2 .

Logic and Questions

In FOL (classical first-order logic) a formula is determined by its associated truth-value in any context \Rightarrow a FOL formula represents a **statement**.

Questions do not have an associated truth-value \Rightarrow questions are not (directly) representable in FOL.

The aim of the logic InqBQ (inquisitive first-order logic) is to

- extend FOL to represent **questions** as formulas;
- extend FOL entailment to capture **dependency** between questions.

InqBQ: Adding Questions to FOL

Disjunction Property

Existence Property

InqBQ: **Adding Questions to FOL**

Syntax of InqBQ: introducing questions

$$\phi ::= \perp \mid [t_1 = t_2] \mid R(t_1, \dots, t_n) \mid \phi \wedge \phi \mid \phi \rightarrow \phi \mid \forall x. \phi \quad \mid \quad \phi \vee \phi \mid \exists x. \phi$$

shorthands

$$\neg \phi := \phi \rightarrow \perp \qquad \phi \vee \psi := \neg(\neg \phi \wedge \neg \psi) \qquad \exists x. \phi := \neg \forall x. \neg \phi$$

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A formula is called FOL or *classical* if it does not contain the symbols \vee and \exists .

FOL formulas are denoted with α, β, \dots

Intuition

FOL formulas represent *statements*.

$$\begin{aligned}(c = d) \vee (c \neq d) &\equiv \text{“}c \text{ is equal to } d \text{ or not”}\\ \exists x.[x = c] &\equiv \text{“There is an element equal to } c\text{”}\end{aligned}$$

The operator \bowtie introduces *alternative questions*.

$$(c = d) \bowtie (c \neq d) \equiv \text{“Is } c \text{ equal to } d \text{ or not?”}$$

The operator $\bar{\exists}$ introduces *existential questions*.

$$\bar{\exists} x.[x = c] \equiv \text{“Which is an element equal to } c\text{?”}$$

Some notations

Fix a signature $\Sigma = \{f_i, R_j\}_{i \in I, j \in J}$.

Definition (FOL structure)

$$M = \langle D, \mathbf{f}_i, \mathbf{R}_j, \sim \rangle_{i \in I, j \in J}$$

where

- $\mathbf{f}_i : D^{\text{ar}(f_i)} \rightarrow D$ is the interpretation of f_i ;
- $\mathbf{R}_j \subseteq D^{\text{ar}(R_j)}$ is the interpretation of R_j ;
- $[\sim] \subseteq D^2$ is an equivalence relation and a congruence with respect to $\{\mathbf{f}_i, \mathbf{R}_j\}_{i \in I, j \in J}$.

$$M = \langle D, \mathbf{f}_i, \mathbf{R}_j, \sim \rangle_{i \in I, j \in J}$$

Definition (Skeleton)

Given M a FOL structure, define

$$\text{Sk}(M) = \langle D, \mathbf{f}_i \rangle_{i \in I}$$

i.e., leaving out relations and equality.

Models of InqBQ: representing information

Definition (Information structure)

$$\mathcal{M} = \langle M_w | w \in W^{\mathcal{M}} \rangle$$

where the M_w are classical structures sharing *the same skeleton*.

We will call $W^{\mathcal{M}}$ the set of *worlds* of the structure.





Models of InqBQ: representing information

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w_0	w_1	...
		...
		

Example of a simple model in the signature $\{\mathbf{f}^{(1)}\}$.

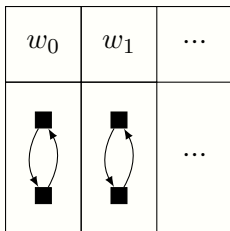
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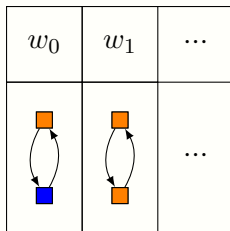
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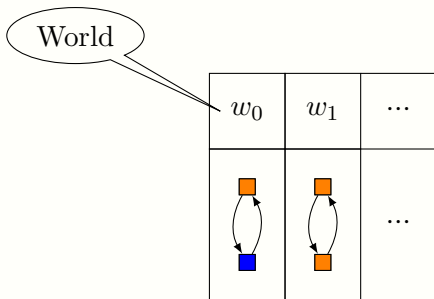
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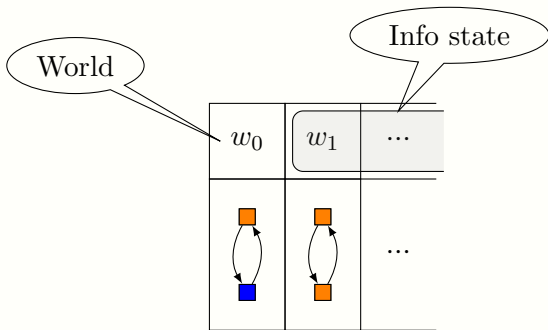
Example of a simple model in the signature $\{\mathbf{f}^{(1)}\}$.

The arrow represents \mathbf{f} . The colours represent equality.

w_0	w_1	\dots
		\dots



Truth-condition encoded by **World**



Truth-condition encoded by **World**
Information encoded by **Info State**

Semantics of InqBQ: supporting relation

$\mathcal{M} \rightsquigarrow$ info structure

$s \rightsquigarrow$ info state

$g \rightsquigarrow$ assignment

$\mathcal{M}, s \models_g \phi$

$$\mathcal{M}, s \models_g \perp \iff s = \emptyset$$

$$\mathcal{M}, s \models_g [t_1 = t_2] \iff \forall w \in s. [g(t_1) \sim_w^{\mathcal{M}} g(t_2)]$$

$$\mathcal{M}, s \models_g R(t_1, \dots, t_n) \iff \forall w \in s. [\mathbf{R}_w^{\mathcal{M}}(g(t_1), \dots, g(t_n))]$$

$$\mathcal{M}, s \models_g \phi \wedge \psi \iff \mathcal{M}, s \models_g \phi \text{ and } \mathcal{M}, s \models_g \psi$$

$$\mathcal{M}, s \models_g \phi \rightarrow \psi \iff \forall t \subseteq s. [\mathcal{M}, t \models_g \phi \Rightarrow \mathcal{M}, t \models_g \psi]$$

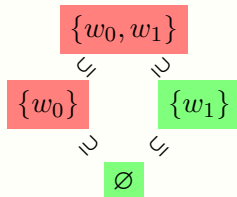
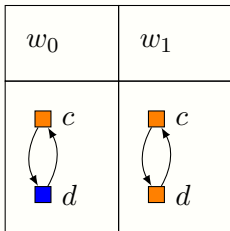
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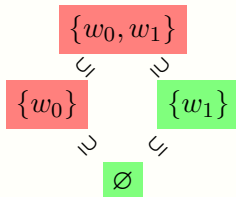
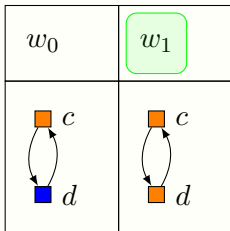
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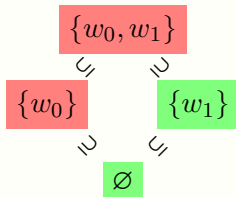
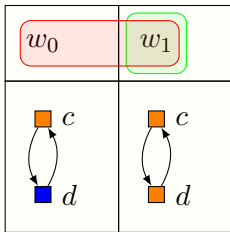
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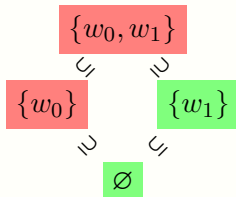
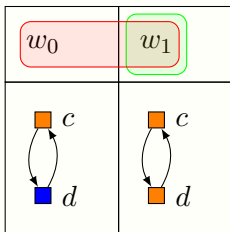
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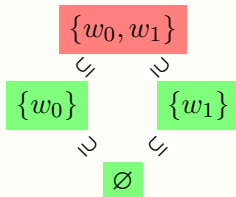
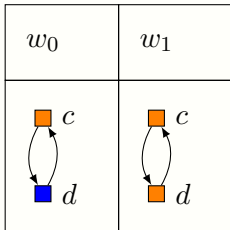


Fact 1: The info states that support a FOL formula form a *principal ideal* (truth-conditionality).

An alternative way to state this: $s \models \alpha$ iff $\forall w \in s. \{w\} \models \alpha$.

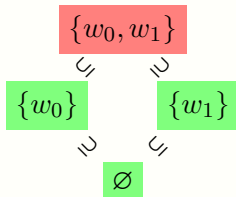
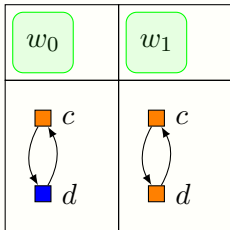
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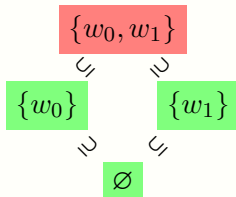
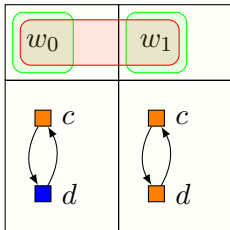
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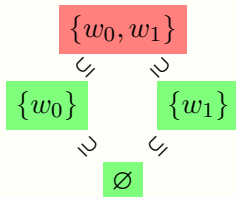
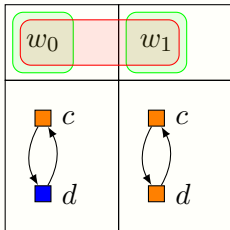
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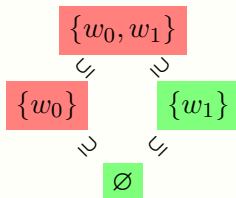
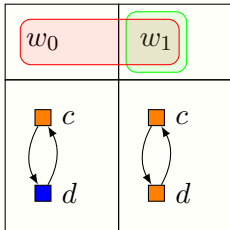
Fact 2: The info states that support a formula form an *ideal*,
but in general *not principal* (Persistency).

Uniform substitution does not hold!

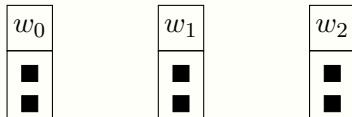
Fact 3: ϕ is truth-conditional iff is equivalent to a FOL formula.

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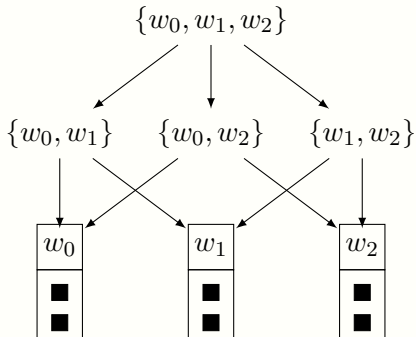
$$\exists x. [f(x) = x] \equiv \text{“Which is a fixed point of } f\text{?”}$$



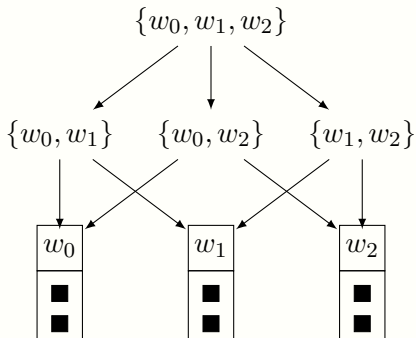
Some insight. . . Information structures as Kripke models



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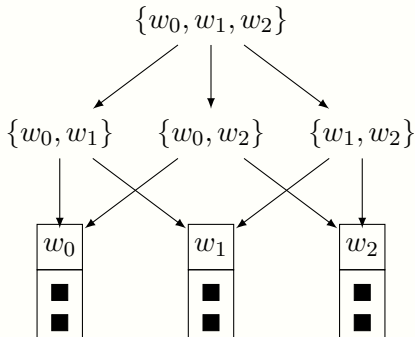


Some insight... Information structures as Kripke models



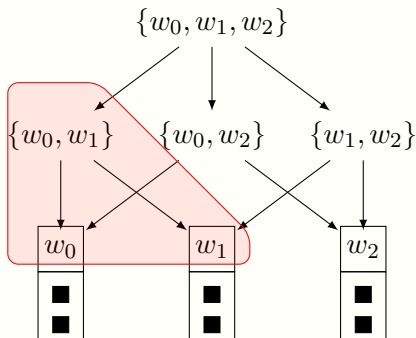
- $\text{Frame} = \langle \mathcal{P}(W) \setminus \{\emptyset\}, \sqsubseteq \rangle$

Some insight... Information structures as Kripke models



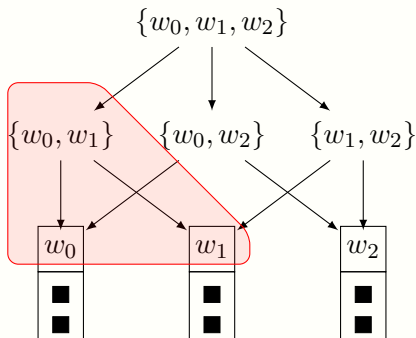
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- Constant domain $D^{\mathcal{M}}$.

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- $\llbracket A \rrbracket_g = \{w \mid M_w \models_g^{\text{FOL}} A\}^\downarrow$ for A atomic

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Fact: InqBQ is the logic of a class of *Kripke models*.

Theorem (Disjunction and Existence Property)

Consider Γ a FOL theory. Then

- *If $\Gamma \models \phi \vee \psi$ then $\Gamma \models \phi$ or $\Gamma \models \psi$.*
- *If $\Gamma \models \exists x.\phi(x)$ then $\Gamma \models \phi(t)$ for some term t .*

Corollary

If $\Gamma \models \forall \bar{x} \exists! y.\phi(\bar{x}, y)$ (i.e., ϕ defines a function), then there exists a term t such that $\Gamma \models \forall \bar{x}.\phi(\bar{x}, t)$.

The Main Result: DP and EP in InqBQ

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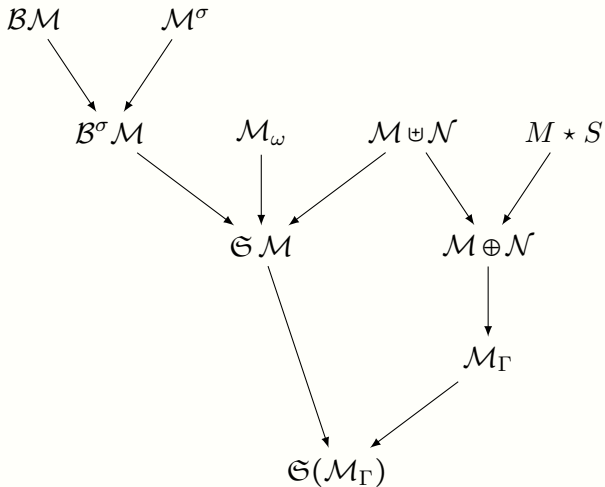
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But how do we prove this?

By playing with the models!

Model-theoretic constructions



Disjunction Property

Disjunction Property - Proof idea

$$\Gamma \not\models \phi \text{ and } \Gamma \not\models \psi \implies \Gamma \not\models \phi \vee \psi$$

\mathcal{M}

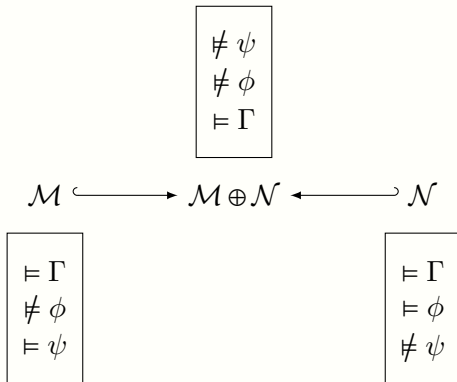
$$\begin{array}{l} \models \Gamma \\ \not\models \phi \\ \models \psi \end{array}$$

\mathcal{N}

$$\begin{array}{l} \models \Gamma \\ \models \phi \\ \not\models \psi \end{array}$$

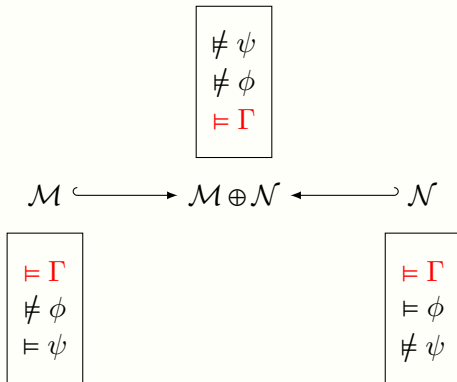
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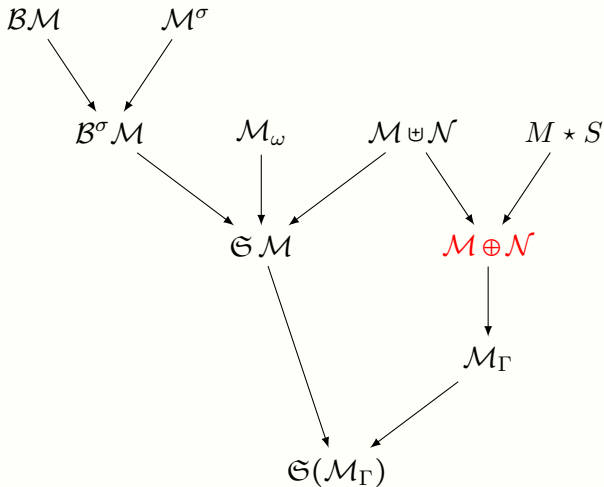


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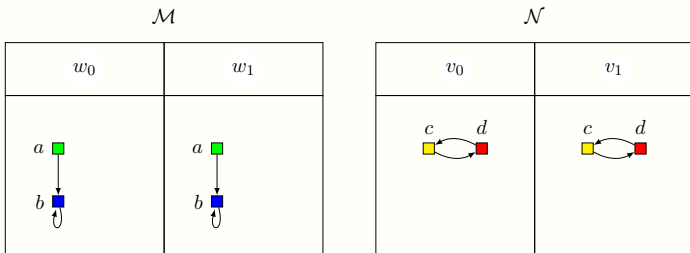


Combining models - the direct sum \oplus



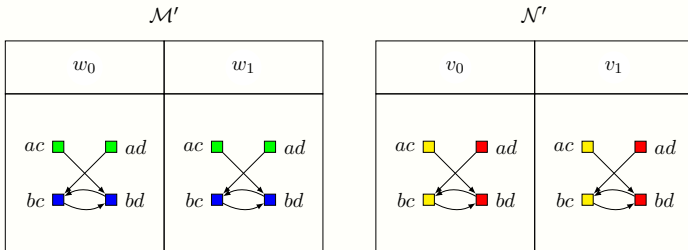
We can define a model $\mathcal{M} \oplus \mathcal{N}$ such that

$$W^{\mathcal{M} \oplus \mathcal{N}} = W^{\mathcal{M}} \sqcup W^{\mathcal{N}} \quad \text{and} \quad D^{\mathcal{M} \oplus \mathcal{N}} = D^{\mathcal{M}} \times D^{\mathcal{N}}$$



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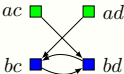
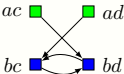
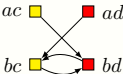
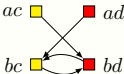


$$\begin{aligned}
 & \mathbf{f}^{\mathcal{M}'}(ac) &= & \langle \mathbf{f}^{\mathcal{M}}(a), \mathbf{f}^{\mathcal{N}}(c) \rangle \\
 \langle x, y \rangle \sim_{w_0}^{\mathcal{M}'} \langle x', y' \rangle & \iff & x \sim_{w_0}^{\mathcal{M}} x'
 \end{aligned}$$

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$\mathcal{M} \oplus \mathcal{N}$

w_0	w_1	v_0	v_1
			

Theorem (Main property of \oplus)

Let $s \subseteq W^{\mathcal{M}}$, $g: \text{Var} \rightarrow D^{\mathcal{M}} \times D^{\mathcal{N}}$ an assignment, ϕ a formula.
Then:

$$\mathcal{M} \oplus \mathcal{N}, s \models_g \phi \iff \mathcal{M}, s \models_{\pi_1 g} \phi$$

Corollary

- Let Γ be a FOL theory. If $\mathcal{M} \models_{\pi_1 g} \Gamma$ and $\mathcal{N} \models_{\pi_2 g} \Gamma$ then $\mathcal{M} \oplus \mathcal{N} \models_g \Gamma$.
- Let ϕ be a formula. If $\mathcal{M} \not\models_{\pi_1 g} \phi$ then $\mathcal{M} \oplus \mathcal{N} \not\models_g \phi$.

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Corollary

- Let Γ be a FOL theory. If $\mathcal{M} \models_{\pi_1 g} \Gamma$ and $\mathcal{N} \models_{\pi_2 g} \Gamma$ then $\mathcal{M} \oplus \mathcal{N} \models_g \Gamma$.
- Let ϕ be a formula. If $\mathcal{M} \not\models_{\pi_1 g} \phi$ then $\mathcal{M} \oplus \mathcal{N} \not\models_g \phi$.

And this is exactly what we needed!

Corollary

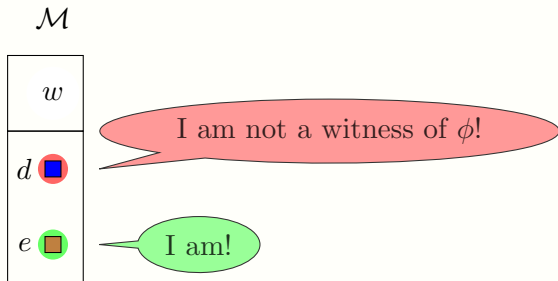
A FOL theory Γ has the disjunction property.

Existence Property

Existence Property - Proof Strategy

$$\Gamma \not\models \phi(t) \text{ for all } t \implies \Gamma \not\models \exists x.\phi(x)$$

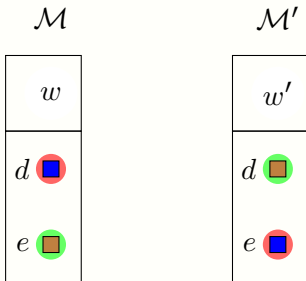
Strategy



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Strategy

$$\mathcal{M} \uplus \mathcal{M}'$$

w	w'
d 	d 
e 	e 

I am not a witness of ϕ !





Neither am I!

Existence Property - Proof Strategy

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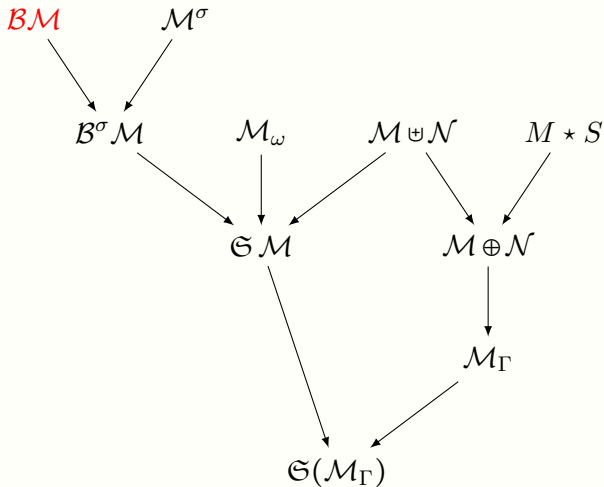
Strategy

~~$\mathcal{M} \cup \mathcal{M}'$~~

w	w'
d 	d 
e 	e 

We need a way to deal with the interpretation of the functions.

Relaxing the structure - the blow up model \mathcal{BM}

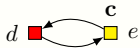


We want to define a model \mathcal{BM} *elementary equivalent* to \mathcal{M} such that

$$W^{\mathcal{BM}} = W^{\mathcal{M}}$$

$$D^{\mathcal{BM}} = \{\text{closed terms of } \Sigma(D^{\mathcal{M}})\}$$

$$\Sigma = \{c; f^{(1)}\}$$



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\underline{d} ■

\underline{e} ■

c ■

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$$\vdots$$

$$\vdots$$

$$\vdots$$

$$\Sigma = \{c; f^{(1)}\}$$

$$f(f(\underline{d})) \blacksquare$$

$$f(f(\underline{e})) \blacksquare$$

$$f(f(c)) \blacksquare$$

$$f(\underline{d}) \blacksquare$$

$$f(\underline{e}) \blacksquare$$

$$f(c) \blacksquare$$



$$\underline{d} \blacksquare$$

$$\underline{e} \blacksquare$$

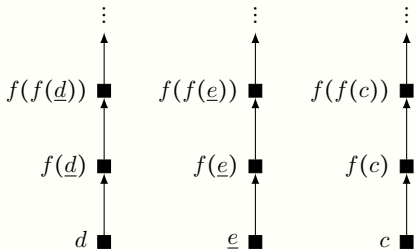
$$c \blacksquare$$

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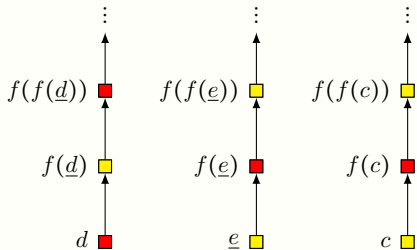
$$\mathbf{f}^{\mathcal{BM}}(t) = f(t)$$

We want to define a model \mathcal{BM} *elementary equivalent* to \mathcal{M} such that

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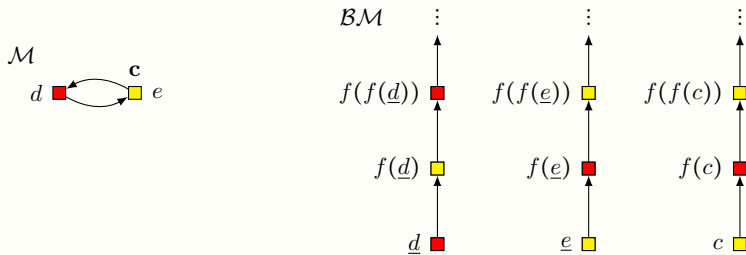
$$t_1 \sim^{\mathcal{BM}} t_2 \iff t_1^{\mathcal{M}} \sim^{\mathcal{M}} t_2^{\mathcal{M}}$$

Theorem (Blow-up main property)

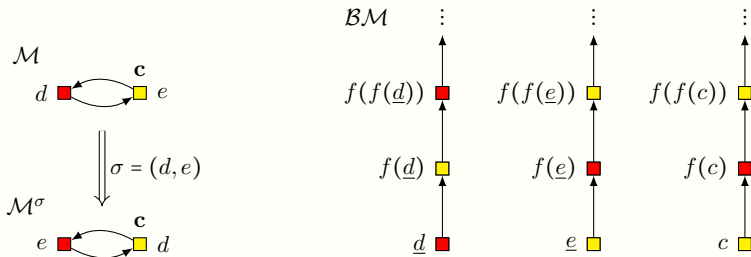
Let $s \subseteq W^{\mathcal{M}}$ be an info state, t_1, \dots, t_n closed terms of $\Sigma(D^{\mathcal{M}})$ and $\phi(x_1, \dots, x_n)$ a formula. Then

$$\mathcal{BM}, s \models \phi(t_1, \dots, t_n) \iff \mathcal{M}, s \models \phi(t_1^{\mathcal{M}}, \dots, t_n^{\mathcal{M}})$$

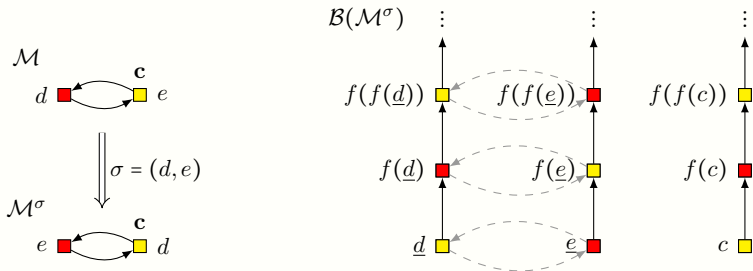
Now that we relaxed the structure, we can permute the elements of \mathcal{M} preserving the skeleton.



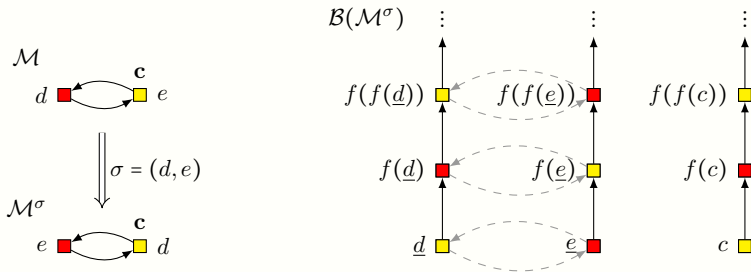
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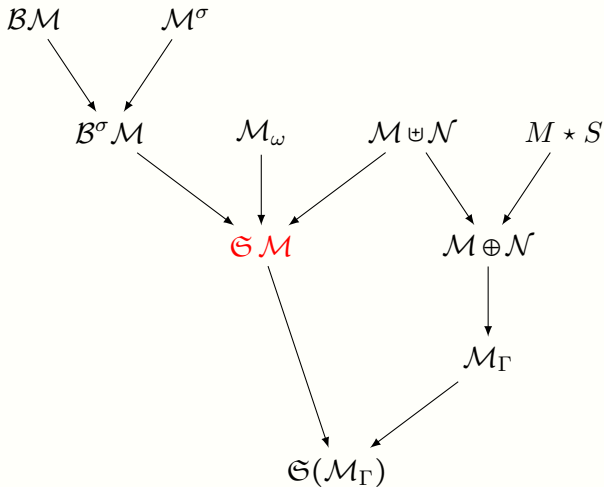


Now that we relaxed the structure, we can permute the elements of \mathcal{M} preserving the skeleton.



The role of the elements \underline{d} and \underline{e} has been reversed, while c assumes the same role.

Swapping and gluing - full permutation model $\mathfrak{S}\mathcal{M}$



$$\mathcal{M} \rightsquigarrow \mathcal{B}\mathcal{M} \rightsquigarrow \mathcal{B}^\sigma\mathcal{M} \rightsquigarrow \mathfrak{S}\mathcal{M}$$

The full permutation model - $\mathfrak{S}\mathcal{M}$

The idea to build up the model $\mathfrak{S}\mathcal{M}$ is to consider all the models $\mathcal{B}^\sigma\mathcal{M}$ for $\sigma \in \mathfrak{S}(D^\mathcal{M})$ and combine them into a unique structure. This is possible because the models $\mathcal{B}^\sigma\mathcal{M}$ *share the same skeleton*.

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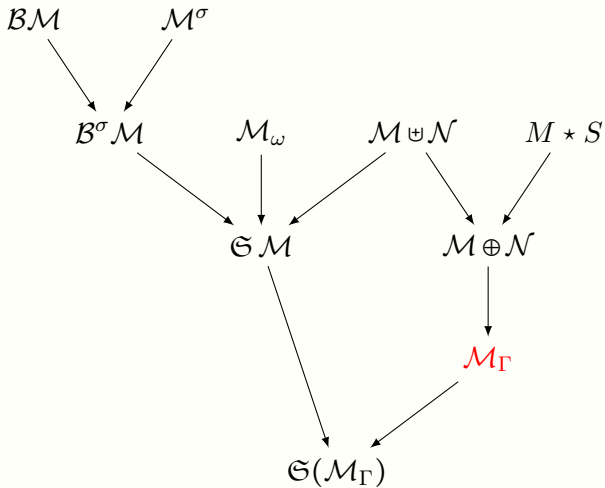
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Theorem (Properties of $\mathfrak{S}\mathcal{M}$)

- Let Γ be a FOL theory. If $\mathcal{M} \models \Gamma$ then $\mathfrak{S}\mathcal{M} \models \Gamma$.
- Let g be a fixed assignment. If $\mathcal{M} \not\models_g \phi(t)$ for every term t , then $\mathfrak{S}\mathcal{M} \not\models \exists x.\phi(x)$.

The characteristic model of a FOL theory - \mathcal{M}_Γ



Theorem (The characteristic model of Γ)

Given Γ a FOL theory, there exists a model \mathcal{M}_Γ and an evaluation g_Γ such that

$$\mathcal{M}_\Gamma \models_{g_\Gamma} \phi \iff \Gamma \models \phi$$

Idea to build \mathcal{M}_Γ

- For every non-entailment $\Gamma \not\models \psi$ choose $\langle \mathcal{M}_\psi, g_\psi \rangle$ such that

$$\mathcal{M}_\psi \models \Gamma \quad \mathcal{M}_\psi \not\models_{g_\psi} \psi$$

- Combine the models and assignments chosen.

Existence property - proof

Theorem

Let Γ be a closed FOL theory. Then

$$\Gamma \not\models \phi(t) \text{ for every } t \text{ term} \implies \Gamma \not\models \exists x.\phi(x)$$

Proof

Consider the characteristic model \mathcal{M}_Γ and the assignment g_Γ .
Then

$$\begin{aligned} \mathcal{M}_\Gamma \models \Gamma &\implies \mathfrak{S}(\mathcal{M}_\Gamma) \models \Gamma \\ \mathcal{M}_\Gamma \not\models_{g_\Gamma} \phi(t) \text{ for every } t &\implies \mathfrak{S}(\mathcal{M}_\Gamma) \not\models \exists x.\phi(x) \end{aligned}$$

Thus $\Gamma \not\models \exists x.\phi(x)$ as wanted.

Thank you for your attention!



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Definition (Support semantics)

Let $\mathcal{M} = \langle M_w | w \in W^{\mathcal{M}} \rangle$ be a model, $s \subseteq W^{\mathcal{M}}$ an info state and $g : \text{Var} \rightarrow D^{\mathcal{M}}$ an assignment. We define

$$\begin{array}{ll} \mathcal{M}, s \models_g \perp & \iff s = \emptyset \\ \mathcal{M}, s \models_g [t_1 = t_2] & \iff \forall w \in s. [g(t_1) \sim_w^{\mathcal{M}} g(t_2)] \\ \mathcal{M}, s \models_g R(t_1, \dots, t_n) & \iff \forall w \in s. [\mathbf{R}_w^{\mathcal{M}}(g(t_1), \dots, g(t_n))] \\ \mathcal{M}, s \models_g \phi \wedge \psi & \iff \mathcal{M}, s \models_g \phi \text{ and } \mathcal{M}, s \models_g \psi \\ \mathcal{M}, s \models_g \phi \rightarrow \psi & \iff \forall t \subseteq s. [\mathcal{M}, t \models_g \phi \Rightarrow \mathcal{M}, t \models_g \psi] \\ \mathcal{M}, s \models_g \forall x. \phi & \iff \forall d \in D^{\mathcal{M}}. \mathcal{M}, s \models_{g[x \mapsto d]} \phi \\ \\ \mathcal{M}, s \models_g \phi \vee \psi & \iff \mathcal{M}, s \models_g \phi \text{ or } \mathcal{M}, s \models_g \psi \\ \mathcal{M}, s \models_g \exists x. \phi & \iff \exists d \in D^{\mathcal{M}}. \mathcal{M}, s \models_{g[x \mapsto d]} \phi \end{array}$$

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Definition (Direct sum - \oplus)

- $W^{\mathcal{M} \oplus \mathcal{N}} = W^{\mathcal{M}} \sqcup W^{\mathcal{N}}$
- $D^{\mathcal{M} \oplus \mathcal{N}} = D^{\mathcal{M}} \times D^{\mathcal{N}}$
- $f^{\mathcal{M} \oplus \mathcal{N}} = \langle f^{\mathcal{M}}; f^{\mathcal{N}} \rangle$
- If $w \in W^{\mathcal{M}}$ then $\langle d_1, e_1 \rangle \sim_w^{\mathcal{M} \oplus \mathcal{N}} \langle d_2, e_2 \rangle \iff d_1 \sim^{\mathcal{M}} d_2$
If $w \in W^{\mathcal{N}}$ then $\langle d_1, e_1 \rangle \sim_w^{\mathcal{M} \oplus \mathcal{N}} \langle d_2, e_2 \rangle \iff e_1 \sim^{\mathcal{N}} e_2$
- If $w \in W^{\mathcal{M}}$ then
 $R_w^{\mathcal{M} \oplus \mathcal{N}}(\langle d_1, e_1 \rangle, \dots, \langle d_n, e_n \rangle) = R_w^{\mathcal{M}}(d_1, \dots, d_n)$
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Definition (Blowup Model)

Given a model \mathcal{M} we define its **blow-up** as the model

$$\mathcal{BM} = \langle W^{\mathcal{M}}, D^{\mathcal{BM}}, I^{\mathcal{BM}}, \sim^{\mathcal{BM}} \rangle$$

where

- $D^{\mathcal{BM}}$ is the set of terms in the signature $\Sigma \sqcup \{\underline{d} \mid d \in D^{\mathcal{M}}\}$
- Given $\underline{t}_1, \underline{t}_2, \dots \in D^{\mathcal{BM}}$ we define

$$\begin{aligned} \underline{t}_1 \sim_w^{\mathcal{BM}} \underline{t}_2 &\iff t_1 \sim_w^{\mathcal{M}} t_2 \\ R_w^{\mathcal{BM}}(\underline{t}_1, \dots, \underline{t}_n) &\iff R_w^{\mathcal{M}}(t_1, \dots, t_n) \end{aligned}$$

- $f^{\mathcal{BM}}$ is defined as the formal term combinator

$$f^{\mathcal{BM}}(\underline{t}_1, \dots, \underline{t}_n) = \underline{f(t_1, \dots, t_n)}$$

Definition (The permutation model $\mathcal{B}_\sigma\mathcal{M}$)

Given \mathcal{M} a model and $\sigma \in \mathfrak{S}(D^\mathcal{M})$ a permutation, we define

$$\mathcal{B}_\sigma\mathcal{M} = \langle W^\mathcal{M}, D^{\mathcal{B}_\sigma\mathcal{M}}, I^{\mathcal{B}_\sigma\mathcal{M}}, \sim^{\mathcal{B}_\sigma\mathcal{M}} \rangle$$

where

- $f^{\mathcal{B}_\sigma\mathcal{M}} = f^{\mathcal{B}_\sigma\mathcal{M}}$ is the formal combinator of terms.
- Given $\underline{t}_1, \underline{t}_2, \dots \in D^{\mathcal{B}_\sigma\mathcal{M}}$ it holds

$$\begin{aligned} R_w^{\mathcal{B}_\sigma\mathcal{M}}(\underline{t}_1, \dots, \underline{t}_n) &\iff R_w^{\mathcal{B}_\sigma\mathcal{M}}(\sigma^{-1}\underline{t}_1, \dots, \sigma^{-1}\underline{t}_n) \\ \underline{t}_1 \sim_w^{\mathcal{B}_\sigma\mathcal{M}} \underline{t}_2 &\iff \sigma^{-1}\underline{t}_1 \sim_w^{\mathcal{B}_\sigma\mathcal{M}} \sigma^{-1}\underline{t}_2 \end{aligned}$$










Hospital protocol: formalization

The protocol:

$$\tau \equiv Q(x) \leftrightarrow S_1(x) \vee \forall y. S_2(y)$$

The dependence:

$$\tau, ?S_1(x) \wp ?\forall y. S_2(y) \models ?Q(x)$$

w_0	w_1	w_2
 S_1, S_2, Q	 S_2	 S_1, S_2, Q
 S_1, Q		 S_2, Q
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