

# Yes/No Formulae as a Description of Theories of Intuitionistic Kripke Models

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- Ehrenfeucht–Fraïssé Games
- Fraïssé–Hintikka theorem

# Kripke Model Theory

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Find a description for the notion of logical equivalence of two Kripke models by means of a single formula.

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$$Y_{\alpha,n}(\vec{p}) = \bigwedge \{\varphi \in I_n(\vec{p}) : \alpha \Vdash \varphi\},$$

$$N_{\alpha,n}(\vec{p}) = \bigvee \{\varphi \in I_n(\vec{p}) : \alpha \nVdash \varphi\}.$$



## Kripke model

By a Kripke model for a first-order language  $L$  we mean a structure  $\mathcal{K} = (K, \leq, \{K_\alpha : \alpha \in K\}, \Vdash)$ . To any node  $\alpha \in K$  there is assigned a classical first-order structure  $K_\alpha$  for  $L$ .

For any two nodes  $\alpha, \alpha' \in K$  we require that

$$\alpha \leq \alpha' \Rightarrow K_\alpha \subseteq K_{\alpha'}.$$

## Forcing relation $\Vdash_K$

Consider a node  $\alpha \in K$  and a sequence  $\bar{a} := a_1, \dots, a_n$  of elements of the structure  $K_\alpha$ , we put

- $\alpha \not\Vdash_K \perp$  and  $\alpha \Vdash_K \top$
- $\alpha \Vdash_K \varphi[\bar{a}] \iff K_\alpha \models \varphi[\bar{a}]$  for all atomic formulas  $\varphi(\bar{x})$
- $\alpha \Vdash_K (\varphi \wedge \psi)[\bar{a}] \iff \alpha \Vdash_K \varphi[\bar{a}]$  and  $\alpha \Vdash_K \psi[\bar{a}]$
- $\alpha \Vdash_K (\varphi \vee \psi)[\bar{a}] \iff \alpha \Vdash_K \varphi[\bar{a}]$  or  $\alpha \Vdash_K \psi[\bar{a}]$
- $\alpha \Vdash_K (\varphi \rightarrow \psi)[\bar{a}] \iff \forall \alpha' \geq \alpha (\alpha' \Vdash_K \varphi[\bar{a}] \Rightarrow \alpha' \Vdash_K \psi[\bar{a}])$
- $\alpha \Vdash_K \exists y \varphi[\bar{a}, y] \iff \alpha \Vdash_K \varphi[\bar{a}, b]$  for some element  $b \in K_\alpha$
- $\alpha \Vdash_K \forall y \varphi[\bar{a}, y] \iff \forall \alpha' \geq \alpha \alpha' \Vdash_K \varphi[\bar{a}, b]$  for all elements  $b \in K_{\alpha'}$

## Formula's characteristic

As a measure of formula's complexity, we define the *characteristic* of a formula  $\varphi(\bar{x})$ ,  $char(\varphi)$ . We put  $char(\varphi) := (\rightarrow^p, \forall^q, \exists^r)$  if and only if there are

- $p$  nested implications in  $\varphi$ ,
- $q$  nested universal quantifiers in  $\varphi$ ,
- $r$  nested existential quantifiers in  $\varphi$ .

- We put  $(\rightarrow p, \forall q, \exists r) \preceq (\rightarrow p', \forall q', \exists r')$  whenever  $(p, q, r)$  precedes  $(p', q', r')$  with respect to the product order.

## Logical equivalence

Given two Kripke models  $\mathcal{K} = (K, \leq, \{K_\alpha : \alpha \in K\}, \Vdash)$  and  $\mathcal{M} = (M, \leq, \{M_\beta : \beta \in M\}, \Vdash)$ , for nodes  $\alpha \in K$ ,  $\beta \in M$  and any sequences  $\bar{a}$  and  $\bar{b}$  of elements of structures  $K_\alpha$  and  $M_\beta$  respectively, we define a relation  $\equiv_{p,q,r}$  as follows

$$(\alpha, \bar{a}) \equiv_{p,q,r} (\beta, \bar{b}) : \Longleftrightarrow (\alpha \Vdash_{\mathcal{K}} \varphi[\bar{a}] \Leftrightarrow \beta \Vdash_{\mathcal{M}} \varphi[\bar{b}])$$

for all formulae  $\varphi(\bar{x})$  with  $\text{char}(\varphi) \leq (\rightarrow p, \forall q, \exists r)$ .

### Strongly finite Kripke model

We say that model  $\mathcal{K}$  is *strongly finite* if and only if both the frame  $(K, \leq)$  and first-order structures assigned to the nodes of  $K$  are finite.

Consider a strongly finite Kripke model

$\mathcal{K} = (K, \leq, \{K_\alpha : \alpha \in K\}, \Vdash)$  and its node  $\alpha \in K$ . Let  $\bar{a}$  be a sequence of elements of the structure  $K_\alpha$ . With a symbol

$$Y_{p,q,r}^{\alpha, \bar{a}}$$

we will denote a formula of characteristic at most  $(\rightarrow p, \forall q, \exists r)$  that is forced at  $\alpha$  by the tuple  $\bar{a}$ . Similarly, a formula of characteristic at most  $(\rightarrow p, \forall q, \exists r)$  that is refuted at  $\alpha$  by the tuple  $\bar{a}$  will be denoted by

$$N_{p,q,r}^{\alpha, \bar{a}}.$$

Formulas  $Y_{p,q,r}^{\alpha,\bar{a}}$  and  $N_{p,q,r}^{\alpha,\bar{a}}$  are defined inductively over  $p, q, r \geq 0$  in the following way:

$$Y_{0,0,0}^{\alpha,\bar{a}}(\bar{x}) = (\bigwedge \{ \varphi : \text{char}(\varphi) = (\rightarrow 0, \forall 0, \exists 0), \alpha \Vdash \varphi(\bar{a}) \}) (\bar{x})$$

$$N_{0,0,0}^{\alpha,\bar{a}}(\bar{x}) = (\bigvee \{ \varphi : \text{char}(\varphi) = (\rightarrow 0, \forall 0, \exists 0), \alpha \nVdash \varphi(\bar{a}) \}) (\bar{x})$$



$$Y_{p+1,q,r}^{\alpha,\bar{a}}(\bar{x}) = \bigvee_{\alpha' \geq \alpha} (N_{p,q,r}^{\alpha',\bar{a}} \rightarrow Y_{p,q,r}^{\alpha',\bar{a}})(\bar{x})$$

$$N_{p+1,q,r}^{\alpha,\bar{a}}(\bar{x}) = \bigvee_{\alpha' \geq \alpha} (Y_{p,q,r}^{\alpha',\bar{a}} \rightarrow N_{p,q,r}^{\alpha',\bar{a}})(\bar{x})$$

$$Y_{p,q+1,r}^{\alpha,\bar{a}}(\bar{x}) = \forall_y \bigvee_{\alpha' \geq \alpha} \bigvee_{a \in K_{\alpha'}} Y_{p,q,r}^{\alpha',\bar{a}a}(\bar{x}, y)$$

$$N_{p,q+1,r}^{\alpha,\bar{a}}(\bar{x}) = \bigvee_{\alpha' \geq \alpha} \bigvee_{a \in K_{\alpha'}} \forall_y N_{p,q,r}^{\alpha',\bar{a}a}(\bar{x}, y)$$

$$Y_{p,q,r+1}^{\alpha,\bar{a}}(\bar{x}) = \bigwedge_{a \in K_\alpha} \exists y \, Y_{p,q,r}^{\alpha,\bar{a}a}(\bar{x}, y)$$

$$N_{p,q,r+1}^{\alpha,\bar{a}}(\bar{x}) = \exists y \bigwedge_{a \in K_\alpha} N_{p,q,r}^{\alpha,\bar{a}a}(\bar{x}, y)$$

## Theorem

*Consider a strongly finite Kripke model  $\mathcal{K}$  and a node  $\alpha \in K$ . Let  $\bar{a}$  be a sequence of elements of the structure  $K_\alpha$ . Then*

$$Y_{p,q,r}^{\alpha,\bar{a}} \vdash Th_{p,q,r}(\alpha, \bar{a}) \quad \text{and} \quad N_{p,q,r}^{\alpha,\bar{a}} \vdash \widetilde{Th}_{p,q,r}(\alpha, \bar{a}).$$

## Theorem

Consider strongly finite Kripke models  $\mathcal{K}$  and  $\mathcal{M}$ , and nodes  $\alpha \in K$ ,  $\beta \in M$ . Let  $\bar{a}$  and  $\bar{b}$  be sequences of elements of worlds  $K_\alpha$  and  $M_\beta$  respectively. For  $p, q, r \geq 0$ ,

$$(\alpha, \bar{a}) \equiv_{p,q,r} (\beta, \bar{b})$$

if and only if

$$\beta \Vdash_{\mathcal{M}} Y_{p,q,r}^{\alpha, \bar{a}}(\bar{b}) \quad \text{and} \quad \beta \nVdash_{\mathcal{M}} N_{p,q,r}^{\alpha, \bar{a}}(\bar{b}).$$

## Theorem

*Let  $(\mathcal{K}, \alpha)$  and  $(\mathcal{M}, \beta)$  be strongly finite rooted Kripke models.  
Then, for  $p, q, r \geq 0$*





$$(\mathcal{K}, \alpha) \equiv_{p,q,r} (\mathcal{M}, \beta)$$

*if and only if*

$$\beta \Vdash_{\mathcal{M}} Y_{p,q,r}^{\alpha, \bar{a}}(\bar{b}) \quad \text{and} \quad \beta \nVdash_{\mathcal{M}} N_{p,q,r}^{\alpha, \bar{a}}(\bar{b})$$

*for all sequences  $\bar{a}$  of  $K_{\alpha}$  and  $\bar{b}$  of  $M_{\beta}$ .*

Thank you for your attention.

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### Theorem (Ehrenfeucht–Fraïssé)

*Two classical structures  $\mathcal{A}$  and  $\mathcal{B}$  are elementarily equivalent with respect to all sentences with quantifier complexity not greater than  $n$ ,  $\mathcal{A} \equiv_n \mathcal{B}$ , whenever there exists a winning strategy for Duplicator in Ehrenfeucht–Fraïssé game of length  $n$  on  $\mathcal{A}$  and  $\mathcal{B}$ .*

## Theorem (Fraïssé–Hintikka, [3])

Let  $L$  be a first-order language with finite signature. Then we can effectively find for each  $k, n < \omega$  a finite set  $\Theta_{n,k}$  of unnested formulas  $\theta(x_1, \dots, x_n)$  of quantifier rank at most  $k$ , such that

- (a) for every first-order structure  $\mathcal{A}$  of  $L$  and each  $n$ -tuple  $\bar{a} = (a_1, \dots, a_n)$  of elements of  $\mathcal{A}$ , there is exactly one formula  $\theta \in \Theta_{n,k}$  such that  $\mathcal{A} \models \theta(\bar{a})$ ,
- (b) for every pair of first-order structures  $\mathcal{A}, \mathcal{B}$  of  $L$ , if  $\bar{a}, \bar{b}$  are respectively  $n$ -tuples of elements of  $\mathcal{A}$  and  $\mathcal{B}$ , then  $(\mathcal{A}, \bar{a}) \equiv_k (\mathcal{B}, \bar{b})$  if and only if there is  $\theta \in \Theta_{n,k}$  such that  $\mathcal{A} \models \theta(\bar{a})$  and  $\mathcal{B} \models \theta(\bar{b})$ ,
- (c) for every unnested formula  $\varphi(\bar{x})$  with  $n$  free variables  $\bar{x}$  and quantifier rank at most  $k$ , we can effectively find a disjunction  $\theta_1 \vee \dots \vee \theta_m$  of formulas  $\theta_i(\bar{x}) \in \Theta_{n,k}$  which is logically equivalent to  $\varphi$ .